1 2 3 4 5	BIBIYAN LAW GROUP, P.C. David D. Bibiyan (SBN 287811) david@tomorrowlaw.com Vedang J. Patel (SBN 328647) vedang@tomorrowlaw.com 8484 Wilshire Boulevard, Suite 500 Beverly Hills, California 90211 Tel: (310) 438-5555; Fax: (310) 300-1705	Superior Co County of 07/2 David W. Slayton, Exec	LED ourt of California f Los Angeles 8/2023 outive Officer / Clerk of Court Navarro Deputy
6	Attorneys for Plaintiffs Lopez and Leyva, on behalf of themselves and all others similarly s	situated and aggrieved	
7	[Additional Counsel Listed on Next Page]		
8			
9	SUPERIOR COURT OF TH	E STATE OF CALIFOR	RNIA
10	COUNTY OF LOS ANGEL	LES, CENTRAL DISTRI	СТ
11	SPRING STREE	F COURTHOUSE	
12	Alejandro Lopez and Noelia Leyva, on behalf of	Case No.: 20STCV17212	2
13 14	themselves and all others similarly situated,	[Assigned for all purpose Moren E. Nalson Dort 1	
15	Plaintiffs,	Maren E. Nelson, Dept. 1	. /]
16	V.	-[proposed] JUDGMEN'	T PURSUANT TO
17		CLASS ACTION AND SETTLEMENT	
18	WALDORF ASTORIA MANAGEMENT LLC, a Delaware limited liability company; WALDORF=ASTORIA EMPLOYER LLC, a	Hearing Date:	July 19, 2023
19 20	Delaware limited liability company; and DOES 1 through 100, inclusive,	Hearing Time:	9:00 a.m. Dept. 17
20	Defendants.		
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	Judgment Pursuant to Class Action and PAGA Settlement		Case No. 20STCV17212

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2	S. Adam Spiewak (SBN 230872) DYCHTER LAW OFFICES, APC
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4	Telephone: (619) 487-0777
5	Facsimile: (619) 330-1827 E-Mail: Alex@DychterLaw.com E-Mail: Adam@DychterLaw.com
6	Walter L. Haines (SBN 71075)
7	United Employees Law Group, PC 4276 Katella Ave., #301
8	Los Alamitos, California 90720 Telephone: (562) 256-1047
9	Facsimile: (562) 256-1006 E-Mail: whaines@uelglaw.com
10	Attorneys for Plaintiffs Lopez and Leyva,
11	on behalf of themselves and all others similarly situated and aggrieved
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	Judgment Pursuant to Class Action and PAGA Settlement - 2

1	WHEREAS, on March 16, 2023, upon this Court's review of the First Amended Joint		
2	Stipulation Re: Class Action and Representative Action Settlement (the "Settlement") (a copy of		
3	which is attached hereto as Exhibit 1 and incorporated herein by this reference; "Settlement" or		
4	"Agreement") entered into between Plaintiffs Alejandro Lopez and Noelia Leyva (collectively		
5	hereinafter the "Plaintiffs") on the one hand, and Defendants Waldorf Astoria Management, LLC		
6	and Waldorf=Astoria Employer LLC (collectively hereinafter the "Defendants") on the other		
7	hand, this Court granted preliminary approval of the class action settlement;		
8	WHEREAS, on July 19, 2023, this Court granted the Motion for Final Approval of Class		
9	Action Settlement, finding the settlement to be fair, reasonable, and adequate with respect to the		
10	Settlement Class, about which Class Members were provided notice and the opportunity to		
11	attend the hearing;		
12	IT IS HEREBY ORDERED, ADJUDGED AND DECREED that:		
13	1. All defined terms contained herein shall have the same meanings as set forth in		
14	the Agreement.		
15	2. The Notice of Proposed Class Action Settlement ("Class Notice") was sent to		
16	each Class Member by U.S. Mail. The Class Notice informed Class Members of the terms of the		
17	Settlement, the process available to obtain monetary relief, the right to opt out and pursue their		
18	own remedies, the opportunity to file written objections and the right to appear in person or by		
19	counsel at the fairness hearing and be heard regarding the approval of the Settlement. Adequate		
20	periods of time were provided by each of these procedures.		
21	3. No Class Members objected, and one opted-out. The individual who timely and		
22	validly opted-out of the Settlement is Mr. Jason R. Melo.		
23	4. The Court finds and determines that these procedures afforded adequate		
24	protections to Class Members and provide the basis for the Court to make an informed decision		
25	regarding approval of the Settlement based on the Class Members' responses thereto. The Court		
26	finds and determines that the Class Notice provided in this case was the best notice practicable,		
27	which satisfied the requirements of California Civil Code section 1781(e), California Rules of		
28	Court, Rule 3.769, and due process.		

Judgment Pursuant to Class Action and PAGA Settlement - 3

1	5. The Agreement is <u>clearly</u> fair, adequate, and reasonable, in the best interests of		
2	3. The Agreement is <u>clearly</u> fair, adequate, and reasonable, in the best interests of إ المُوَهُ المُعَامَةِ اللهُ العَامَةِ اللهُ الل		
2	the Class as a whole, and represents an excellent outcome in light of the first and costs of further- litigation and defenses raised. The Agreement is the product of arms-length, serious, informed,		
4	non-collusive, and non-overreaching negotiations.		
5	6. Pursuant to California law, the Court hereby grants final approval to the		
6	Agreement, which is expressly incorporated by reference into this Judgment and which shall		
7	have the full force and effect of a Judgment of the Court, and hereby directs that the Agreement		
8	be consummated in accordance with its terms and conditions, including the following:		
9	a. Pursuant to the terms of the Settlement, the Effective Date of this Judgement		
10	is the date this judgment is signed and entered.		
11	b. The "Settlement Class" or "Settlement Class Members" or "Class Members"		
12	are defined as all participating current and former non-exempt, hourly paid		
13	employees who worked for Defendants at the La Quinta Resort & Club		
14	located at 49499 Eisenhower Drive, La Quinta, California 92253 at any time		
15	from March 2, 2018 through November 17, 2022.		
16	c. The "Aggrieved Employees" are defined as all Class Members who worked		
17	for Defendants at the La Quinta Resort & Club located at 49499 Eisenhower		
18	Drive, La Quinta, California 92253 at any time from March 2, 2019 through		
19	November 17, 2022.		
20	d. Defendants are ordered to deposit into the Qualified Settlement Fund the		
21	Gross Settlement Amount of \$1,610,000.00, plus the Employer-side Taxes,		
22	consistent with the deadlines set forth in the Agreement.		
23	e. Phoenix Settlement Administrators (hereinafter "PSA") is ordered to post on		
24	its website the notice of the final judgment for 120 days following the		
25	Effective Date of this Judgment.		
26	f. The Court orders and determines that \$19,500 be paid to PSA from the Gross		
27	Settlement Amount for all of its agreed work done and to be done until the		
28	completion of this matter and is appropriate.		
	Judgment Pursuant to Class Action and PAGA Settlement Case No. 20STCV17212		

Judgment Pursuant to Class Action and PAGA Settlement - 4

1	g.	Alejandro Lopez and Noelia Lopez are hereby approved as Class
2		Representatives and are hereby approved to receive a Service Award in the
3		sum of \$5,000 each , with no deductions.
4	h.	Attorneys' fees in the amount of \$536,666.66 is approved for all the work
5		done and to be done until the completion of this matter, and PSA is ordered to
6		pay said amounts from the Gross Settlement Amount to Class Counsel
7		consistent with the deadlines set forth in the Agreement.
8	i.	Attorneys' costs in the amount of \$30,128.62 to Class Counsel is approved for
9		all the work done and to be done until the completion of this matter, and PSA
10		is ordered to pay said amounts from the Gross Settlement Amount to Class
11		Counsel consistent with the deadlines set forth in the Agreement.
12	j.	PSA is ordered to distribute the Net Settlement Amount ("NSA") of about
13		\$976,204.72 to the Participating Class Members and Aggrieved Employees
14		consistent with the deadlines set forth in the Agreement.
15	k.	If, after 180 calendar days from the date of PSA's mailing of the settlement
16		payments to the Participating Class, there remains uncashed checks, PSA is
17		ordered to void all such uncashed checks. PSA is ordered to then immediately
18		pay any monies remaining in the distribution account to the Controller of the
19		State of California, which shall be held pursuant to the Unclaimed Property
20		Law, California Civil Code § 1500 et seq., for the benefit of those
21		Participating Class Members who did not cash their checks until such time
22		that they claim their property.
23	1.	In accordance with its duty under California Labor Code § 2699.3(b)(4), in
24		reviewing and approving of this Settlement, the Court directs PSA to make
25		payment of \$37,500.00 to be sent to the State of California Labor and
26		Workforce Development Agency ("LWDA"), pursuant to the Private
27		Attorneys General Act ("PAGA").
28	///	
	Judgment Dursuant to	Class Action and PAGA Settlement Case No. 20STCV17212

7. The Court retains jurisdiction of all matters relating to the interpretation, administration, implementation and effectuation of this Order and the Settlement.

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8. 3 Upon satisfaction of all payments and obligations under the Settlement and under 4 this Order, all Participating Class Members are barred from prosecuting against the Released 5 Parties (as defined in the Settlement) any released claims (as defined in the Settlement and 6 herein). Plaintiffs and the Participating Class Members release, and discharge the Released 7 Parties of all claims against the Released Parties asserted in the Operative Complaint in the 8 Lopez Action, and any and all claims, demands, rights, liabilities, and/or cases of action, of any 9 form whatsoever, that may be asserted against the Released Parties based on or arising out of, the 10 factual allegations and claims in the Operative Complaint filed in the Lopez Action, including, 11 but not limited to the following, for the duration of the Class Period (i.e. March 2, 2018 through 12 November 17, 2022): (a) all claims for failure to pay overtime wages and/or failure to pay 13 overtime at the appropriate rate of pay; (b) all claims for failure to pay minimum wages;(c) all 14 claims for failure to provide compliant meal and rest periods and/or premiums for noncompliant 15 meal and/or rest periods; (d) all claims for failure to timely pay all wages due, including upon termination or resignation; (e) all claims for failure to provide timely, accurate and fully 16 17 compliant wage statements; (f) all claims for failure to reimburse employees for work related 18 expenses; (g) all claims asserted through California Business & Professions Code § 17200 et seq. 19 arising out of the Labor Code violations referenced in the Operative Complaint; (h) all claims for 20 violation of Labor Code sections 200, 201, 202, 203, 226, 226.2, 226.3, 226.7, 510, 512, 1174, 21 1194, 1194.2, 1197, 2802, and applicable portions of California Code of Regulations, Title 8, 22 section 11070, Wage Order No. 5-2001; and (i) all claims and/or causes of action not otherwise 23 specified that were pleaded in the Operative Complaint or could have been pleaded based on the 24 facts alleged in the Operative Complaint or which arise out of or such facts.

9. Upon satisfaction of all payment and obligations under the Settlement and under
this Order, Plaintiffs and all Aggrieved Employees are barred from prosecuting against the
Released Parties (as defined in the Settlement) any released claims (as defined in the Settlement
and herein). For Plaintiffs and the Aggrieved Employees and, to the extent permitted by law, the

1 State of California, the release includes for the duration of the PAGA Period (*i.e.* March 2, 2019) 2 through November 17, 2022), all claims, demands, rights, liabilities, and/or causes of action, of 3 any form whatsoever, that were asserted or could have been asserted against the Released Parties 4 based on or arising out of the allegations and claims in the Leyva PAGA Notice, the Lopez 5 PAGA Notice (collectively, the "PAGA Notices") and the Operative Complaint, including but 6 not limited to claims for PAGA civil penalties pursuant to Labor Code sections 210, 226.3, 558, 7 1197.1, and 2699 in connection with alleged violations of Labor Code sections 201, 202, 203, 8 204, 226, 226.7, 246, 432, 510, 512, 1174, 1194, 1197, 1198.5, 2802, and 2810.5.

9 10. Upon satisfaction of all payment and obligations under the Settlement and under this Order, Plaintiffs individually and on behalf of Plaintiffs' heirs, executors, administrators, 10 11 representatives, attorneys, successors, and assigns knowingly and voluntarily release and forever 12 discharge the Released Parties from all claims, demands, rights, liabilities and causes of action of 13 every nature and description whatsoever, known or unknown, asserted or that might have been asserted, whether in tort, contract, or for violation of any state or federal statute, rule, law or 14 15 regulation arising out of, relating to, or in connection with any act or omission of the Released Parties through the date of full execution of the Agreement in connection with their employment 16 17 or the termination thereof. With respect to the General Release, Plaintiffs stipulate and agree 18 that, through the Final Approval Date (i.e. July 19, 2023), Plaintiffs shall be deemed to have, and 19 by operation of the Final Judgment and payment to the Settlement Administrator, expressly 20 waived and relinquished, to the fullest extent permitted by law, the provisions, rights and 21 benefits of Section 1542 of the California Civil Code, or any other similar provision under 22 federal or state law, which provides: 23 A general release does not extend to claims that the creditor or releasing party does not know or suspect to exist in his or her favor 24 at the time of executing the release and that, if known by him or her, would have materially affected his or her settlement with the 25 debtor or released party. 26 27 The release expressly excludes Plaintiff Lopez's claims under the Fair Employment and Housing 28 Act, the California Family Rights Act, discrimination, retaliation, harassment, wrongful

 infliction of emotional distress, and related claims that are the subject of a separate matter between Plaintiff Lopez and Defendants. 11. A Non-Appearance Case Review re: Final Report re: Distribution of Settlement Funds shall be set on April 9, 2024 at 8:30 a.m. regarding the status of payments to be made pursuant to the Settlement with a Final Report to be filed with the Court on or before April 2, 2024. B IT IS SO ORDERED. DATED: 07/28/2023	1	termination in v	iolation of public polic	cv. intentional infliction of emotic	onal distress, negligent
3 Plaintiff Lopez and Defendants. 4 11. A Non-Appearance Case Review re: Final Report re: Distribution of Settlement Funds shall be set on April 9, 2024 at 8:30 a.m. regarding the status of payments to be made 6 pursuant to the Settlement with a Final Report to be filed with the Court on or before April 2, 2024. 7 2024. 8 IT IS SO ORDERED. 9 DATED: 07/28/2023 10 DATED: 07/28/2023 11 Maren E. Nelson 12 Judge of the Superior Court 4880-0494-5522, v. 1 4880-0494-5522, v. 1 14 15 15 07/28/2023 16 14 17 14 18 14 19 14 10 14 11 14 12 14 13 14 14 15 15 14 16 14 17 14 18 14 19 14 10 14 11 14 12 14 <		termination in violation of public policy, intentional infliction of emotional distress, negligent infliction of emotional distress, and related claims that are the subject of a separate matter between			
4 11. A Non-Appearance Case Review re: Final Report re: Distribution of Settlement 5 Funds shall be set on April 9, 2024 at 8:30 a.m. regarding the status of payments to be made 6 pursuant to the Settlement with a Final Report to be filed with the Court on or before April 2, 2024. 7 2024. 8 IT IS SO ORDERED. 9 DATED: 07/28/2023				5	1
Funds shall be set on April 9, 2024 at 8:30 a.m. regarding the status of payments to be made pursuant to the Settlement with a Final Report to be filed with the Court on or before April 2, 2024. II DATED: 07728/2023 Maren E. Nelson Judge of the Superior Court 4860-0494-5522, v. 1 4860-0494-5522, v. 1	4			e Review re: Final Report re: Distri	bution of Settlement
7 2024. IT IS SO ORDERED. 9 10 DATED: 07/28/2023 Maren E. Nelson Judge of the Superior Court 4660-0494-5522, v. 1 47 18 19 20 21 22 23 24 25 26 27 28 Judgment Pursuant to Class Action and PAGA Settlement	5	Funds shall be se	et on April 9, 2024 at 8:	:30 a.m. regarding the status of pay	ments to be made
8 IT IS SO ORDERED. 9 DATED: 07/28/2023 10 DATED: 07/28/2023 11 Maren E. Nelson 12 Judge of the Superior Court 13 4860-0494-5522, v. 1 14 1 15 1 16 1 17 1 18 1 19 1 20 1 21 1 22 1 23 1 24 1 25 1 26 1 27 1 28 1 29 1 20 1 21 1 22 1 23 1 24 1 25 1 26 1 27 1 28 1 29 1 20 1 21 2 22 3 33 3<	6	pursuant to the S	Settlement with a Final J	Report to be filed with the Court or	1 or before April 2,
9 DATED: 07/28/2023 Image: Addised 11 Maren E. Nelson 12 Judge of the Superior Court 480-0494-5522, v. 1 480-0494-5522, v. 1 14 15 15 1 16 1 17 1 18 1 19 1 20 1 21 1 22 1 23 1 24 1 25 1 26 1 27 1 28 1 29 1 20 2 21 2 22 3 23 1 24 1 25 1 26 1 27 1 28 1 29 1 20 2 21 2 22 3 23 3 24 3 25 </td <td>7</td> <td>2024.</td> <td></td> <td></td> <td></td>	7	2024.			
10 DATED: 07/28/2023 Maren E. Nelson 11 Maren E. Nelson 12 Judge of the Superior Court 13 4860-0494-5522, v. 1 14	8	IT IS SO) ORDERED.		
11 Marcn E. Nelson 12 Judge of the Superior Court 13 460-0494-5522, v. 1 14 1 15 1 16 1 17 1 18 1 19 1 20 1 21 1 22 1 23 1 24 1 25 1 26 1 27 1 28 1 29 1 20 1 21 1 22 1 23 1 24 1 25 1 26 1 27 1 28 1 29 1 20 1 21 1 22 1 23 1 24 1 25 1 26 1 27	9				
Image: State of the Superior Court Judge of the Superior Court 4860-0494-5522, v. 1 4860-0494-5522, v. 1 14 15 16 17 18 19 20 21 22 23 24 25 26 27 28 Judgment Pursuant to Class Action and PAGA Settlement Case No. 20STCV17212	10	DATED:	07/28/2023	Mum E. A.	Ism
13 4860-0494-5522, v. 1 14 15 15 16 17 18 18 19 20 21 21 22 23 24 24 25 26 27 28 Judgment Pursuant to Class Action and PAGA Settlement Case No. 20STCV17212	11			Maren E. Nelson	
13 14 15 16 17 18 19 20 21 22 23 24 25 26 27 28 Judgment Pursuant to Class Action and PAGA Settlement Case No. 20STCV17212	12			Judge of the Superior Court	
15 16 17 18 19 20 21 23 24 25 26 27 28 Judgment Pursuant to Class Action and PAGA Settlement Case No. 20STCV17212	13	4860-0494-5522, v. 1			
16 17 18 19 20 21 22 23 24 25 26 27 28 Judgment Pursuant to Class Action and PAGA Settlement Case No. 20STCV17212	14				
17 18 19 20 21 22 23 24 25 26 27 28 Judgment Pursuant to Class Action and PAGA Settlement Case No. 20STCV17212	15				
18 19 20 21 22 23 24 25 26 27 28 Judgment Pursuant to Class Action and PAGA Settlement Case No. 20STCV17212	16				
19 20 21 22 23 24 25 26 27 28 Judgment Pursuant to Class Action and PAGA Settlement Case No. 20STCV17212	17				
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	28				
		Judgment Pursuant	to Class Action and PAGA S		Case No. 20STCV17212

EXHIBIT 1

1 2	BIBIYAN LAW GROUP, P.C. David D. Bibiyan (Cal. Bar No. 287811)	
	<i>david@tomorrowlaw.com</i> Diego Aviles (Cal. Bar No. 315533)	
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	Attorneys for Plaintiff, ALEJANDO LOPEZ,	
6	on behalf of himself and all others similarly situa	ted and aggrieved
7	[Additional Counsel Listed on Next Page]	
8		
9		
10	SUPERIOR COURT OF THE	E STATE OF CALIFORNIA
11	FOR THE COUNTY OF LOS ANGELE	S – SPRING STREET COURTHOUSE
12	ALEJANDRO LOPEZ and NOELIA LEYVA,	CASE NO.: 20STCV17212
13	on behalf of themselves and all others similarly situated and aggrieved,	[Assigned to the Hon. Maren E. Nelson in Dept. 17]
14	Plaintiffs,	1 5
15	v.	CLASS ACTION
16	WALDORF ASTORIA MANAGEMENT	FIRST AMENDED JOINT
17	LLC., a Delaware limited liability company; WALDORF=ASTORIA EMPLOYER LLC., a Delaware limited liability company;	STIPULATION RE: CLASS ACTION AND REPRESENTATIVE ACTION SETTLEMENT
18 19	BEATRICE VATTIMA, an individual; HOUSSEM TASCO, an individual; and DOES 1 through 100, inclusive,	
20	Defendants.	Action Filed: May 4, 2020
21		Trial Date: None Set
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	FIRST AMENDED JOINT STIP. RE: CLASS ACTION	AND REPRESENTATIVE ACTION SETTLEMENT

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9	Attorneys for Plaintiff NOELIA LEYVA, on behalf of herself
10	and all others similarly situated and aggrieved
11	Cynthia L. Filla (SBN 184638)
12	Connie L. Chen (SBN 275649) Paul J. Cohen (SBN 293797)
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16	Cynthia.Filla@jacksonlewis.com Connie.Chen@jacksonlewis.com
17	Paul.Cohen@jacksonlewis.com
18	Attorneys for Defendants WALDORF ASTORIA MANAGEMENT LLC; and
19	WALDORF=ASTORIA EMPLOYER LLC
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	2 FIRST AMENDED JOINT STIP. RE: CLASS ACTION AND REPRESENTATIVE ACTION SETTLEMENT

1 This First Amended Joint Stipulation re: Class Action and Representative Action Settlement 2 ("Settlement" or "Agreement" or "Settlement Agreement") is made by, between and among 3 plaintiffs Alejandro Lopez ("Plaintiff Lopez") and Noelia Leyva ("Plaintiff Leyva" and with 4 Plaintiff Lopez, "Plaintiffs"), individually and on behalf of the Settlement Class, on the one hand; 5 and defendants Waldorf Astoria Management LLC ("WA Management") and Waldorf=Astoria Employer LLC ("WA Employer" and with WA Management, "Defendants"), on the other hand, 6 7 in the lawsuits entitled Lopez v. Waldorf Astoria Management LLC, et al. filed in Los Angeles 8 County Superior Court, Case No. 20STCV17212 and Leyva v. Waldorf Astoria Management 9 LLC, et al. filed in Riverside County Superior Court, Case No. RIC2000943. This Agreement is 10 intended by the Parties to fully, finally, and forever resolve, discharge and settle the claims as set 11 forth herein, based upon and subject to the terms and conditions of this Agreement.

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1.

DEFINITIONS

A. "Actions" means, collectively, *Lopez v. Waldorf Astoria Management et al.*, filed
 in Los Angeles County Superior Court, Case No. 20STCV17212 and *Leyva v. Waldorf Astoria Management LLC, et al.* filed in Riverside County Superior Court, Case No. RIC2000943.

B. "Aggrieved Employees" means Class Members who worked for Defendants at
the La Quinta Resort & Club located at 49499 Eisenhower Drive, La Quinta, California 92253
during the PAGA Period in California as non-exempt, hourly-paid employees.

C. "Class Counsel" means David D. Bibiyan of Bibiyan Law Group, P.C. and
Alexander I. Dychter of Dychter Law Offices, APC and Walter L. Haines of United Employees
Law Group, PC. The term "Class Counsel" shall be used synonymously with the term "Plaintiffs'
Counsel."

D. "Class Period" means the period from March 2, 2018 *through* November 17, 24 2022.

E. "Court" means the Superior Court of the State of California for the County of
Los Angeles.

F. "Defendants" shall collectively refer to defendants Waldorf Astoria
Management, LLC and Waldorf=Astoria Employer LLC.

G. "Defendants' Counsel" means Cynthia L. Filla, Connie L. Chen and Paul J.
 Cohen of Jackson Lewis P.C.

H. "Employer Taxes" means employer-funded taxes and contributions imposed on
the wage portions of the Individual Settlement Payments under the Federal Insurance
Contributions Act, the Federal Unemployment Tax Act, and any similar state and federal taxes
and contributions required of employers, such as for unemployment insurance.

7 I. "Effective Date" means the later of: (1) this Settlement Agreement has been 8 executed by all parties and by counsel for the Settlement Class and Defendants; (ii) the Court has 9 given preliminary approval to the Settlement; (iii) notice has been given to the putative members 10 of the Settlement Class, providing them with an opportunity to object to the terms of the 11 Settlement or to opt-out of the Settlement; (iv) the Court has held a formal fairness hearing and 12 entered a final order ("Final Approval") and judgment certifying the Class and approving this 13 Settlement Agreement; (v) if there is an objector, sixty (60) calendar days have passed following 14 the Court's entry of a Final Approval order and judgment certifying the Settlement Class and 15 approving the settlement; and (vi) in the event there are written objections filed prior to the formal fairness hearing which are not later withdrawn or denied, the later of the following events: (a) 16 17 five (5) business days after the period for filing any appeal, writ or other appellate proceeding 18 opposing the Court's Final Approval order approving the Settlement has elapsed without any 19 appeal, writ or other appellate proceeding having been filed; or (b) five (5) business days after 20 any appeal, writ or other appellate proceeding opposing the Settlement has been dismissed finally 21 and conclusively with no right to pursue further remedies or relief.

J. "General Release" means the general release of claims by Plaintiffs, which is in
addition to their limited release of claims as Participating Class Members.

K. "Gross Settlement Amount" means a non-reversionary settlement amount in the
 sum of One Million, Six Hundred Ten Thousand Dollars and Zero Cents (\$1,610,000.00),¹ which
 shall be paid by Defendants, and from which all payments for the Individual Settlement Payments
 to Participating Class Members, the Court-approved amounts for attorneys' fees and

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¹ As the same may be increased in accordance with Paragraph 17, below.

reimbursement of litigation costs and expenses to Class Counsel, Settlement Administration
 Costs, the Service Awards, the Individual PAGA Payment, and the LWDA Payment shall be
 paid. It expressly excludes Employer Taxes, which shall be paid by Defendants separate, apart,
 and in addition to the Gross Settlement Amount.

L. "Individual PAGA Payment" means a payment made to an Aggrieved
Employee for his or her share of the PAGA Payment, which may be in addition to his or her
Individual Settlement Share if he or she is also a Participating Class Member.

8 M. "Individual Settlement Payment" means a payment to a Participating Class
9 Member of his or her net share of the Net Settlement Amount.

- N. "Individual Settlement Share" means the gross amount of the Net Settlement
 Amount that a Settlement Class Member is eligible to receive based on the number of Workweeks
 that he or she worked as a Settlement Class Member during the Class Period if he or she does not
 submit a timely and valid Request for Exclusion.
- 14 0. "LWDA Payment" means the payment to the State of California Labor and 15 Workforce Development Agency ("LWDA") for its seventy-five percent (75%) share of the total amount allocated toward penalties under the PAGA all of which is to be paid from the Gross 16 17 Settlement Amount. The Parties have agreed that Fifty Thousand Dollars and Zero Cents 18 (\$50,000.00) shall be allocated toward PAGA penalties, of which Thirty-Seven Thousand Five 19 Hundred Dollars and Zero Cents (\$37,500.00) will be paid to the LWDA (i.e., the LWDA 20 Payment) and Twelve Thousand Five Hundred Dollars and Zero Cents (\$12,500.00) will be paid 21 to Aggrieved Employees on a pro rata basis based on the Workweeks worked for Defendants as 22 a non-exempt, hourly-paid employee in California in the PAGA Period (i.e. the PAGA Payment).
- P. "Net Settlement Amount" means the portion of the Gross Settlement Amount
 that is available for distribution to the Participating Class Members after deductions for the Courtapproved allocations for Settlement Administration Costs, Service Awards to Plaintiffs, an award
 of attorneys' fees, reimbursement of litigation costs and expenses to Class Counsel, the LWDA
 Payment, and the PAGA Payment.
- 28 / / / /

Q. "Operative Complaint" or "Complaint" means the Second AmendedComplaint to be filed with the Court in Lopez v. Waldorf Astoria Management et al., filed in LosAngeles County Superior Court, Case No. 20STCV17212 that effectively consolidates theActions for purposes of settlement approval.

- R. "PAGA Payment" is the 25% portion of the Fifty Thousand Dollars and Zero
 Cents (\$50,000.00) that is allocated toward PAGA penalties (Twelve Thousand Five Hundred
 Dollars and Zero Cents (\$12,500.00) that will be paid to Aggrieved Employees on a *pro rata*basis based on the Workweeks worked in the PAGA Period, which would be in addition to their
 Individual Settlement Share if they are Participating Class Members, as well.
- S. "PAGA Period" means the period from March 2, 2019 *through* November 17,
 2022.
- 12 T. "Participating Class Members" means all Settlement Class Members who do
 13 not submit a timely and valid Request for Exclusion.
- U. "Participating Individual Settlement Share" means the gross amount of the Net
 Settlement Amount that a Participating Class Member is eligible to receive based on the number
 of Workweeks that he or she worked as a Settlement Class Member during the Class Period once
 all opt-outs have been factored in, excluding any Individual PAGA Payment to which he or she
 may be entitled if he or she is also an Aggrieved Employee.
- 19

V. "Parties" shall refer to Plaintiffs and Defendants collectively.

W. "Plaintiffs, Named Plaintiffs, or Class Representatives" shall refer to
Alejandro Lopez and Noelia Leyva.

X. "Preliminary Approval Date" means the date on which the Court enters an
Order granting preliminary approval of the Settlement.

Y. "Released Parties" shall mean Defendants, owners of the La Quinta Resort &
Club, including but not limited to BRE Iconic LQR Owner LLC, LQR Property LL, LQR Golf
LLC and LQR Resort Desert Real Estate Inc. f/k/a MSR Resort Desert Real Estate Inc., and each
of their past, present, and future respective subsidiaries, dba's, related and affiliated entities, joint
ventures, parents, divisions, insurers and reinsurers, and company-sponsored employee benefit

1 plans of any nature, successors, predecessors in interest, and each of their past and present 2 officers, directors, shareholders, exempt employees, agents, members, managing entities, joint 3 employers, integrated enterprises, principals, heirs, representatives, accountants, auditors, 4 consultants, attorneys, administrators, fiduciaries, trustees, agents, anyone acting for any of them.

5 Z. "Response Deadline" means the deadline for Settlement Class Members to mail any Requests for Exclusion, Objections, or Workweek Disputes to the Settlement Administrator, 6 7 which is forty-five (45) calendar days from the date that the Class Notice is first mailed in English 8 and Spanish by the Settlement Administrator, unless a Class Member's notice is re-mailed. In 9 such an instance, the Response Deadline shall be fifteen (15) calendar days from the re-mailing, 10 or forty-five (45) calendar days from the date of the initial mailing, whichever is later, in which 11 to postmark a Request for Exclusion, Workweek Dispute or Objection. The date of the postmark 12 shall be the exclusive means for determining whether a Request for Exclusion, Objection, or 13 Workweek Dispute was submitted by the Response Deadline.

"Request for Exclusion" means a written request to be excluded from the 14 AA. 15 Settlement Class pursuant to Section 9.C below.

16 "Service Award" means monetary amount to be paid to Plaintiffs, in the amount BB. 17 of up to Seven Thousand, Five Hundred Dollars and Zero Cents (\$7,500.00) each to Plaintiff 18 Lopez and Plaintiff Leyva, subject to Court approval, which will be paid out of the Gross 19 Settlement Amount.

20 CC. "Settlement Administration Costs" means all costs incurred by the Settlement 21 Administrator in administration of the Settlement, including, but not limited to, translating the 22 Class Notice to Spanish, the distribution of the Class Notice to the Settlement Class in English 23 and Spanish, calculating Individual Settlement Shares, Individual Settlement Payments, 24 Individual PAGA Payments, and Participating Individual Settlement Shares, as well as associated 25 taxes and withholdings, providing reports and declarations, generating Individual Settlement 26 Payment checks and related tax reporting forms, doing administrative work related to unclaimed 27 checks, transmitting payment to Class Counsel for the Court-approved amounts for attorneys' 28 fees and reimbursement of litigation costs and expenses, to Plaintiffs for their Service Awards,

1 and to the LWDA for the LWDA Payment, providing weekly reports of opt-outs, objections and 2 related information, and any other actions of the Settlement Administrator as set forth in this 3 Agreement, all pursuant to the terms of this Agreement. The Settlement Administration Costs 4 are estimated not to exceed \$19,500.00. If the actual amount of the Settlement Administration 5 Costs is less than \$19,500.00, the difference between \$19,500.00 and the actual Settlement 6 Administration Costs shall be a part of the Net Settlement Amount. If the Settlement 7 Administration Costs exceed \$19,500.00 then such excess will be paid solely from the Gross 8 Settlement Amount and Defendants will not be responsible for paying any additional funds in 9 order to pay these additional costs.

10**DD.** "Settlement Administrator" means the Third-Party Administrator mutually11agreed upon by the Parties that will be responsible for the administration of the Settlement12including, without limitation, translating the Class Notice in Spanish, the distribution of the13Individual Settlement Payments to be made by Defendants from the Gross Settlement Amount14and related matters under this Agreement.

EE. "Settlement Class", "Settlement Class Members" or "Class Members" means
all current and former non-exempt, hourly-paid employees who worked for Defendants at the La
Quinta Resort & Club located at 49499 Eisenhower Drive, La Quinta, California 92253 at any
time during the Class Period.

FF. "Workweeks" means the number of weeks that a Settlement Class Member was
employed by and worked for the Defendants in a non-exempt, hourly position during the Class
Period in California, based on hire dates, re-hire dates, and termination dates. If a Settlement
Class Member disputes his/her Individual Settlement Share, it shall be termed a "Workweek
Dispute."

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2.

BACKGROUND

A. On or around March 2, 2020, Plaintiff Leyva filed a putative wage-and-hour class
action against Defendants in the Superior Court of California County of Riverside, Case Number
RIC2000943 (the "Leyva Action"). Plaintiff Leyva alleged Defendants, *inter alia*, (1) failed to
pay straight-time and overtime wages; (2) failed to provide compliant meal breaks; (3) failed to

1 authorize and permit compliant rest periods; (4) failed to provide accurate wage statements; (5) 2 failed to pay all wages owed upon separation; and (6) engaged in unfair competition under the 3 Business and Professions Code. On or around March 2, 2020, Plaintiff Leyva also submitted a 4 PAGA notice letter to the LWDA under Labor Code section 2699.3 ("Leyva PAGA Notice"). 5 Thereafter, on or around July 2, 2020, Plaintiff Leyva filed a First Amended Complaint ("FAC") 6 adding a cause of action under PAGA. Shortly thereafter, in light of Defendants' contention that 7 there existed an enforceable agreement to arbitrate between Plaintiff Leyva and Defendants, 8 Plaintiff Leyva and Defendants entered into a stipulation to dismiss Plaintiff Leyva's individual 9 and class claims without prejudice and proceed on a PAGA only basis.

10 On or around May 4, 2020, Plaintiff Lopez filed a putative wage-and-hour class В. 11 action against Defendants in the Superior Court of California for the County of Los Angeles, 12 Spring Street Courthouse, Case Number 20STCV17212 (the "Lopez Action"). Plaintiff Lopez alleged that during the relevant statutory period, with respect to himself and similarly situated 13 14 employees, Defendants, inter alia: (1) failed to pay overtime wages; (2) failed to pay minimum 15 wages; (3) failed to provide compliant rest periods or compensation in lieu thereof; (4) failed to 16 provide compliant meal periods or compensation in lieu thereof; (5) failed to pay wages due at 17 termination or resignation; (6) failed to provide accurate wage statements; (7) failed to reimburse 18 employees for work related expenses; and (8) engaged in unfair competition.

- 19 С. On or around May 4, 2020, Plaintiff Lopez also filed with the LWDA and served 20 on Defendants a notice under Labor Code section 2699.3 (the "Lopez PAGA Notice") stating 21 Plaintiff Lopez also intended to serve as a proxy of the LWDA to recover civil penalties against 22 Defendants for various Labor Code violations.
- 23

D. During the course of litigation, similar to Plaintiff Leyva, Defendants contended, 24 that Plaintiff Lopez and Defendants entered into an agreement to arbitrate their disputes. 25 Moreover, in or around the same time, Plaintiff Leyva and Plaintiff Lopez agreed to mediate both 26 matters on a PAGA only basis with Defendants. Thus, on or around November 6, 2020, Plaintiff 27 Lopez and Defendants entered into a stipulation to file a First Amended Complaint ("FAC") to 28 add a PAGA claim, dismiss individual and class claims without prejudice, and to stay the Lopez

Action pending mediation. Pursuant to that stipulation, on November 23, 2020, Plaintiff Lopez
 filed a FAC in the Lopez Action adding a cause of action under PAGA and removing class and
 individual allegations.

E. Thereafter, the Parties agreed to exchange informal discovery and attend an early
mediation for the purpose of reaching a global resolution of Plaintiffs' PAGA claims against
Defendants. Prior to mediation, Class Counsel was provided with, among other things: (1)
Plaintiff Lopez's personnel file; (2) Plaintiff Leyva's personnel file; (3) a sampling of 12.5% of
time and payroll records for all Aggrieved Employees; (4) hire dates and termination dates for
all Aggrieved Employees; and (5) Defendants' relevant written policies.

F. On May 14, 2021, the Parties attended an unsuccessful mediation before mediator
Lou Marlin, Esq. Thereafter, the parties engaged in further meet and confer efforts as to
allegations at issue and determined that a second day of mediation with another mediator may be
more fruitful.

G. On September 28, 2021, the Parties participated in a second mediation before
Mark Rudy, Esq. a well-regarded mediator experienced in mediating complex civil disputes.
With the aid of the mediator's evaluation and after weeks of further negotiations, the Parties
reached the Settlement to resolve the Action on both a class and PAGA basis.

18 H. Class Counsel has conducted significant investigation of the law and facts relating 19 to the claims asserted in the Actions and has concluded that that the Settlement set forth herein 20 is fair, reasonable, adequate, and in the best interests of the Settlement Class, taking into account 21 the sharply contested issues involved, the expense and time necessary to litigate the Actions 22 through trial and any appeals, the risks and costs of further litigation of the Actions, the risk of 23 an adverse outcome, the uncertainties of complex litigation, the purported arbitration agreements 24 signed by putative class members, including the named Plaintiffs, the information learned 25 through informal discovery regarding Plaintiff's allegations, and the substantial benefits to be 26 received by the Settlement Class Members.

I. Defendants have concluded that, because of the substantial expense of defending
against the Actions, the length of time necessary to resolve the issues presented herein, and the

inconvenience involved, it is in their best interest to accept the terms of this Agreement.
 Defendants deny each of the allegations and claims asserted against them in the Actions.
 However, Defendants nevertheless desire to settle the Actions for the purpose of avoiding the
 burden, expense and uncertainty of continuing litigation and for the purpose of putting to rest the
 controversies engendered by the Actions.

J. This Agreement is intended to and does effectuate the full, final, and complete
resolution of all Class Released Claims of Plaintiffs and Participating Class Members, and all
PAGA Released Claims of Plaintiffs and, to the extent permitted by law, of the State of California
and Aggrieved Employees.

10 3.

3. <u>JURISDICTION</u>

The Court has jurisdiction over the Parties and the subject matter of the Actions. The
Actions includes claims that, if proven, would authorize the Court to grant relief pursuant to the
applicable statutes. After the Court has granted Final Approval of the Settlement and entered
judgment, the Court shall retain jurisdiction over the Parties to enforce the terms of the judgment
pursuant to California Rule of Court, rule 3.769, subdivision (h).

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4. <u>STIPULATION OF CLASS CERTIFICATION</u>

The Parties stipulate to the certification of the Settlement Class under this Agreement forpurposes of settlement only.

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5. CONSOLIDATION OF ACTIONS AND MOTION FOR APPROVAL

20 The Parties have stipulated to the filing of a Second Amended Complaint ("SAC") in the 21 Lopez Action that: (1) effectively consolidates the Leyva Action and Lopez Action; and (2) adds 22 individual and class allegations for the causes of action originally alleged in the Leyva Action 23 and Lopez Action for the duration of the Class Period on behalf of Class Members. The allegations of the SAC to be filed in the Lopez Action shall hereinafter be referred to as the 24 25 "Lawsuit" or "Litigation". As part of the Agreement to effectively consolidate the Actions, 26 Plaintiff Leyva agrees to dismiss the Leyva Action in the Riverside Superior Court without 27 prejudice upon the filing of the SAC in the Lopez Action. After the Effective Date, Plaintiff 28 Leyva will dismiss the Leyva Action without prejudice.

1 After execution of this Agreement, Plaintiffs will move for an order granting preliminary 2 approval of the Settlement, approving and directing the mailing of the proposed Notice of Class 3 Action Settlement ("Class Notice"), conditionally certifying the Settlement Class for settlement 4 purposes only, and approving the deadlines proposed by the Parties for the submission of 5 Objections, Requests for Exclusion, and Workweek Disputes. If and when the Court preliminarily approves the Settlement, and after administration of the Class Notice in a manner 6 7 consistent with the Court's Preliminary Approval Order, Plaintiffs will move for an order finally 8 approving the Settlement and seek entry of a Judgment in line with this Settlement. The Parties 9 may both respond to any Objections lodged to final approval of the Settlement up to five (5) court 10 days before the Final Approval Hearing.

The Parties hereby expressly agree that whether or not the Court finally approves the
Settlement, Plaintiff Leyva's allegations from the Leyva Action will relate back to the date on
which Plaintiff Leyva filed the Leyva Action and Defendants will be estopped from making any
argument that there is any adverse effect on the statute of limitations caused by Plaintiff Leyva's
dismissal of the Leyva Action without prejudice to effectuate this consolidation.

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6.

STATEMENT OF NO ADMISSION

17 Defendants deny any wrongdoing of any sort and further deny any liability to A. 18 Plaintiffs and the Settlement Class with respect to any claims or allegations asserted in the 19 Actions. This Agreement shall not be deemed an admission by Defendants of any claims or 20 allegations asserted in the Actions. Except as set forth elsewhere herein, in the event that this 21 Agreement is not approved by the Court, or any appellate court, is terminated, or otherwise fails 22 to be enforceable, Plaintiffs will not be deemed to have waived, limited or affected in any way 23 any claims, rights or remedies, or defenses in the Actions, and Defendants will not be deemed to 24 have waived, limited, or affected in any way any of its objections or defenses in the Actions. The 25 Parties shall be restored to their respective positions in the Actions prior to the entry of this 26 Settlement.

B. Defendants deny that they have engaged in any unlawful activity, have failed to
comply with the law in any respect, have any liability to anyone under the claims asserted in the

1 Actions, or that but for the Settlement a class should be certified in the Actions. This Agreement 2 is entered into solely for the purpose of compromising highly disputed claims. Nothing in this 3 Agreement is intended or will be construed as an admission of liability or wrongdoing by 4 Defendants or the Released Parties, or an admission by Plaintiffs that any of the claims were non-5 meritorious or any defense asserted by Defendants was meritorious. This Settlement and the fact 6 that Plaintiffs and Defendants were willing to settle the Actions will have no bearing on, and will 7 not be admissible in connection with, any litigation (other than solely in connection with 8 effectuating the Settlement pursuant to this Agreement). Nothing in this Agreement shall be 9 construed as an admission by Defendants of any liability or wrongdoing as to Plaintiffs, Class 10 Members, PAGA Members or any other person, and Defendants specifically disclaim any such 11 liability or wrongdoing. Moreover, it is not, and it should not be construed as, any admission of 12 fact or law in this matter or any other matter that a class action is appropriate. The Parties have 13 entered into this Settlement with the intention of avoiding further disputes and litigation with the 14 attendant inconvenience, expenses and risks. Nothing in this Agreement shall be construed as an 15 admission by Plaintiffs that Plaintiffs' claims do not have merit or that class action is 16 inappropriate.

17 C. Whether or not the Judgment becomes Final, neither the Settlement, this 18 Agreement, any document, statement, proceeding or conduct related to the Settlement or the 19 Agreement, nor any reports or accounting of those matters, will be (i) construed as, offered or 20 admitted in evidence as, received as, or deemed to be evidence for any purpose adverse to 21 Plaintiffs or Defendants or any of the Released Parties, including, but not limited to, evidence of 22 a presumption, concession, indication or admission by any of the Released Parties of any liability, 23 fault, wrongdoing, omission, concession or damage; or (ii) disclosed, referred to or offered in 24 evidence against any of the Released Parties, in any further proceeding in the Action, or any other 25 civil, criminal or administrative action or proceeding except for purposes of effectuating the 26 Settlement pursuant to this Agreement.

D. This section and all other provisions of this Agreement notwithstanding, any and
all provisions of this Agreement may only be admitted in evidence and otherwise used in any

and all proceedings for the limited purpose of enforcing any or all terms of this Agreement or
defending any claims released or barred by this Agreement.

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7.

RELEASE OF CLAIMS

A. Release by All Participating Class Members.

5 Effective only upon the entry of an Order granting Final Approval of the Settlement, entry 6 of Judgment, and payment by Defendants to the Settlement Administrator of the full Gross 7 Settlement Amount and Employer's Taxes necessary to effectuate the Settlement, Plaintiffs and 8 all Participating Class Members release, and discharge the Released Parties of all claims against 9 the Released Parties asserted in the Operative Complaint in the Lopez Action, and any and all 10 claims, demands, rights, liabilities, and/or causes of action, of any form whatsoever, that may be 11 asserted against the Released Parties based on or arising out of, the factual allegations and claims 12 in the Operative Complaint filed in the Lopez Action, including, but not limited to the following, 13 for the duration of the Class Period: (a) all claims for failure to pay overtime wages and/or failure 14 to pay overtime at the appropriate rate of pay; (b) all claims for failure to pay minimum wages; 15 (c) all claims for failure to provide compliant meal and rest periods and/or premiums for 16 noncompliant meal and/or rest periods; (d) all claims for failure to timely pay all wages due, 17 including upon termination or resignation; (e) all claims for failure to provide timely, accurate 18 and fully compliant wage statements; (f) all claims for failure to reimburse employees for work 19 related expenses; (g) all claims asserted through California Business & Professions Code § 17200 20 et seq. arising out of the Labor Code violations referenced in the Operative Complaint; (h) all 21 claims for violation of Labor Code sections 200, 201, 202, 203, 226, 226.2, 226.3, 226.7, 510, 22 512, 1174, 1194, 1194.2, 1197, 2802, and applicable portions of California Code of Regulations, 23 Title 8, section 11070, Wage Order No. 5-2001; and (i) all claims and/or causes of action not 24 otherwise specified that were pleaded in the Operative Complaint or could have been pleaded 25 based on the facts alleged in the Operative Complaint or which arise out of or such facts ("Class 26 Released Claims").

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B. Release By Aggrieved Employees

2 For Aggrieved Employees, and, to the extent permitted by law, the State of California, 3 the release includes for the duration of the PAGA Period, all claims, demands, rights, liabilities, 4 and/or causes of action, of any form whatsoever, that were asserted or could have been asserted 5 against the Released Parties based on or arising out of the allegations and claims in the Leyva 6 PAGA Notice, the Lopez PAGA Notice (collectively, the "PAGA Notices") and the Operative 7 Complaint, including but not limited to claims for PAGA civil penalties pursuant to Labor Code 8 sections 210, 226.3, 558, 1197.1, and 2699 in connection with alleged violations of Labor Code 9 sections 201, 202, 203, 204, 226, 226.7, 246, 432, 510, 512, 1174, 1194, 1197, 1198.5, 2802, and 10 2810.5 (the "PAGA Released Claims"). The release expressly excludes all other claims, 11 including claims for vested benefits, wrongful termination, unemployment insurance, disability, 12 social security, workers' compensation, and any other claims outside of the Class Released 13 Claims of Participating Class Members arising during the Class Period and the PAGA Released 14 Claims of Aggrieved Employees (and, to the extent permitted by law, the State of California) 15 arising outside of the PAGA Period. The Class Released Claims and PAGA Released Claims shall be referred to herein as the "Released Claims". 16

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C. General Release.

18 Effective only upon the entry of an Order granting Final Approval of the Settlement, entry 19 of Judgment, and payment by Defendants to the Settlement Administrator of the full Gross 20 Settlement Amount and Employers' Taxes necessary to effectuate the Settlement, in addition to 21 the Released Claims, Plaintiffs makes the additional following General Release: Plaintiffs, 22 individually and on behalf of Plaintiffs' heirs, executors, administrators, representatives, 23 attorneys, successors, and assigns knowingly and voluntarily release and forever discharge the 24 Released Parties from all claims, demands, rights, liabilities and causes of action of every nature 25 and description whatsoever, known or unknown, asserted or that might have been asserted, 26 whether in tort, contract, or for violation of any state or federal statute, rule, law or regulation 27 arising out of, relating to, or in connection with any act or omission of the Released Parties 28 through the date of full execution of this Agreement in connection with their employment or the

termination thereof. With respect to the General Release, Plaintiffs stipulate and agree that,
through the Final Approval Date, Plaintiffs shall be deemed to have, and by operation of the Final
Judgment and payment to the Settlement Administrator, expressly waived and relinquished, to
the fullest extent permitted by law, the provisions, rights and benefits of Section 1542 of the
California Civil Code, or any other similar provision under federal or state law, which provides:

A general release does not extend to claims that the creditor or releasing party does not know or suspect to exist in his or her favor at the time of executing the release and that, if known by him or her, would have materially affected his or her settlement with the debtor or released party.

The release expressly excludes Plaintiff Lopez's claims under the Fair Employment and
Housing Act, the California Family Rights Act, discrimination, retaliation, harassment, wrongful
termination in violation of public policy, intentional infliction of emotional distress, negligent
infliction of emotional distress, and related claims that are the subject of a separate matter
between Plaintiff Lopez and Defendants.

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8. <u>SETTLEMENT ADMINISTRATOR</u>

Plaintiffs and Defendants, through their respective counsel, have selected Phoenix 16 17 Settlement Administrators to administer the Settlement, which includes but is not limited to 18 translating the Class Notice to Spanish, distributing and responding to inquiries about the Class Notice, reporting to counsel for the Parties, preparing necessary declarations, and calculating all 19 20 amounts to be paid from the Gross Settlement Amount. Charges and expenses of the Settlement 21 Administrator, currently estimated to be \$19,500.00 will be paid from the Gross Settlement 22 Amount. If the actual amount of the Settlement Administration Costs is less than \$19,500.00, 23 the difference between \$19,500.00 and the actual Settlement Administration Costs shall be a part 24 of the Net Settlement Amount. If the Settlement Administration Costs exceed \$19,500.00 then 25 such excess will be paid solely from the Gross Settlement Amount and Defendants will not be responsible for paying any additional funds in order to pay these additional costs. 26

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A.

NOTICE, WORKWEEK DISPUTE, OBJECTION, AND EXCLUSION PROCESS

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Notice to the Settlement Class Members.

3 (1)Within twenty-one (21) calendar days after the Preliminary Approval 4 Date, Defendants' Counsel shall provide the Settlement Administrator with information with 5 respect to each Settlement Class Member, including his or her: (1) full name; (2) last known 6 address(es) currently in Defendants' possession, custody, or control; (3) last known telephone 7 number(s) currently in Defendants' possession, custody, or control; (4) employee identification 8 number; (5) last known Social Security Number(s) in Defendants' possession, custody, or 9 control; and (6) the dates of employment (*i.e.*, hire dates, and, if applicable, re-hire date(s) and/or 10 separation date(s)) for each Settlement Class Member ("Class List"). This information will 11 remain confidential and will not be disclosed to anyone, except as required to applicable taxing 12 authorities, pursuant to Defendants' express written authorization, or by order of the Court. If 13 Class Counsel believe it is necessary to access information in the Class List to fulfill their duties 14 as Class Counsel under this Settlement Agreement, then Class Counsel and Defendants' Counsel 15 are required to meet and confer on the issue; however, if Defendants object to such access, then 16 Class Counsel may seek to obtain access through other available means. The Settlement 17 Administrator shall perform an address search using the United States Postal Service National 18 Change of Address ("NCOA") database and update the addresses contained on the Class List 19 with the newly-found addresses, if any. Within fourteen (14) calendar days of receiving the Class 20 List from Defendants, the Settlement Administrator shall mail the Class Notice in English and 21 Spanish to the Settlement Class Members via first-class regular U.S. Mail using the most current 22 mailing address information available. The Settlement Administrator shall maintain the Class 23 List and digital copies of all the Settlement Administrator's records evidencing the giving of 24 notice to any Settlement Class Member, for at least four (4) years from the Final Approval Date. 25 The Class Notice will set forth: (1)

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(a) the Settlement Class Member's estimated Individual Settlement
 Payment and Individual PAGA Payment, and the basis for each;

1	(b)	the information required by California Rule of Court, rule 3.766,	
2		subdivision (d);	
3	(c)	the material terms of the Settlement;	
4	(d)	the proposed Settlement Administration Costs;	
5	(e)	the definition of the Settlement Class;	
6	(f)	a statement that the Court has preliminarily approved the	
7		Settlement;	
8	(g)	how the Settlement Class Member can obtain additional	
9		information, including contact information for Class Counsel and	
10		Defendants' Counsel;	
11	(h)	information regarding exclusion and objection procedures;	
12	(i)	the date and location of the Final Approval Hearing; and	
13	(j)	that the Settlement Class Member must notify the Settlement	
14		Administrator no later than the Response Deadline if the	
15		Settlement Class Member disputes the accuracy of the number of	
16		Workweeks as set forth on his or her Class Notice ("Workweek	
17		Dispute"). If a Settlement Class Member fails to timely dispute	
18		the number of Workweeks attributed to him or her in conformity	
19		with the instructions in the Class Notice, then he or she shall be	
20	deemed to have waived any objection to its accuracy and any claim		
21	to any additional settlement payment based on different data.		
22	(2) If a Class Notice from the initial notice mailing is returned as		
23	undeliverable, the Settlement Administrator will attempt to obtain a current address for the		
24	Settlement Class Member to whom the returned Class Notice had been mailed, within five (5)		
25	calendar days of receipt of the returned Class Notice, by undertaking skip tracing. If the		
26	Settlement Administrator is successful in obtaining a new address, it will promptly re-mail the		
27	Class Notice to the Settlement Class Member. Further, any Class Notices that are returned to the		
28	Settlement Administrator w	ith a forwarding address before the Response Deadline shall be	
		18	
	FIRST AMENDED JOINT STIF	P. RE: CLASS ACTION AND REPRESENTATIVE ACTION SETTLEMENT	

1 promptly re-mailed to the forwarding address affixed thereto.

(3) No later than seven (7) business days from the Response Deadline, the
Settlement Administrator shall provide counsel for the Parties with a declaration attesting to the
completion of the notice process, including the number of attempts to obtain valid mailing
addresses for and re-sending of any returned Class Notices, as well as the identities, number of,
and copies of all Requests for Exclusion and objections/comments received by the Settlement
Administrator.

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B. Objections.

9 Only Participating Class Members may object to the Settlement. In order for any 10 Settlement Class Member to object to this Settlement in writing, or any term of it, he or she must 11 do so by mailing a written objection to the Settlement Administrator at the address or phone 12 number provided on the Class Notice no later than the Response Deadline. The Settlement 13 Administrator shall e-mail a copy of the Objection (including the mailing envelope evidencing 14 the postmark date) forthwith to Class Counsel and Defendants' counsel and attach copies of all 15 Objections to the Declaration it provides Class Counsel, which Class Counsel shall file in support 16 of Plaintiff's Motion for Final Approval. The Objection should set forth in writing: (1) the 17 Objector's full legal name; (2) the Objector's mailing address; (3) the last four digits of the 18 Objector's Social Security Number (for identity verification purposes); (4) the Objector's 19 signature; (5) a statement of whether the Objector plans to appear at the Final Approval Hearing; 20 and (6) the reason(s) for the Objection, along with whatever legal authority, if any, the Objector 21 asserts in support of the Objection. If a Settlement Class Member objects to the Settlement, the 22 Settlement Class Member will remain a member of the Settlement Class and if the Court approves 23 this Agreement, the Settlement Class Member will be bound by the terms of the Settlement in 24 the same way and to the same extent as a Settlement Class Member who does not object. The 25 date of mailing of the Class Notice to the objecting Settlement Class Member shall be 26 conclusively determined according to the records of the Settlement Administrator. Settlement 27 Class Members need not object in writing to be heard at the Final Approval Hearing; they may 28 object or comment in person at the hearing at their own expense. Class Counsel and Defendants'

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Counsel may respond to any objection lodged with the Court up to five (5) court days before the
 Final Approval Hearing.

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C.

Requesting Exclusion.

4 Any Settlement Class Member may request exclusion from (i.e., "opt out" of) the 5 Settlement by mailing a written request to be excluded from the Settlement ("Request for 6 Exclusion") to the Settlement Administrator, postmarked on or before the Response Deadline. 7 To be valid, a Request for Exclusion must include: (1) the Class Member's full legal name; (2) 8 the Class Member's Social Security Number (for identity verification purposes); (3) the Class 9 Member's signature; and (4) the following statement: "Please exclude me from the Settlement 10 Class in the Lopez, et al. v. Waldorf Astoria Management LLC, et al. matter" or any statement of 11 similar meaning standing for the proposition that the Class Member does not wish to participate 12 in the Settlement. The Settlement Administrator shall immediately provide copies of all Requests 13 for Exclusion to Defendants' Counsel (including the mailing envelope evidencing the postmark 14 date) and shall report the number of Requests for Exclusions that it receives, to the Court, in its 15 declaration to be provided in advance of the Final Approval Hearing. If Class Counsel believe 16 it is necessary to access information regarding who made a Request for Exclusion to fulfill their 17 duties as Class Counsel under this Settlement Agreement, then Class Counsel and Defendants' 18 Counsel are required to meet and confer on the issue; however, if Defendants object to such 19 access, then Class Counsel must move Ex Parte regarding their request to access information 20 regarding who made a Request for Exclusion. Any Settlement Class Member who requests 21 exclusion using this procedure will not be entitled to receive any payment from the Settlement 22 and will not be bound by the Settlement Agreement or have any right to object to, appeal, or 23 comment on the Settlement. Any Settlement Class Member who does not opt out of the 24 Settlement by submitting a timely and valid Request for Exclusion will be bound by all terms of 25 the Settlement, including those pertaining to the Released Claims, as well as any Judgment that 26 may be entered by the Court if Final Approval of the Settlement is granted. A Settlement Class 27 Member cannot submit both a Request for Exclusion and an objection. If a Settlement Class 28 Member submits an Objection and a Request for Exclusion, the Request for Exclusion will

control and the Objection will be overruled. Settlement Class Members who worked during the
 PAGA Period as Aggrieved Employees that submit a valid Request for Exclusion will still be
 deemed Aggrieved Employees, will still receive their Individual PAGA Payments, and will be
 bound by the release of the PAGA Released Claims.

5

D. Disputes Regarding Settlement Class Members' Workweek Data.

6 Each Settlement Class Member may dispute the number of Workweeks attributed to him 7 or her on his or her Class Notice ("Workweek Dispute"). Any such disputes must be mailed to 8 the Settlement Administrator by the Settlement Class Member, postmarked on or before the 9 Response Deadline. The Settlement Administrator shall immediately provide copies of all 10 disputes to Defendants' Counsel and shall immediately attempt to resolve all such disputes 11 directly with relevant Settlement Class Member(s) with the assistance of Defendants' Counsel. 12 If the dispute remains unresolved and Class Counsel believe it is necessary to access information 13 regarding the unresolved dispute to fulfill their duties as Class Counsel under this Settlement 14 Agreement, then Class Counsel and Defendants' Counsel are required to meet and confer on the 15 issue; however, if Defendants object to such access, then Class Counsel must move Ex Parte 16 regarding their request to access information regarding an unresolved dispute. If the dispute 17 cannot be resolved in this manner, the Court shall adjudicate the dispute.

18

19

10. <u>INDIVIDUAL SETTLEMENT PAYMENTS TO PARTICIPATING CLASS</u> <u>MEMBERS</u>

20 Individual Settlement Payments will be calculated and distributed to Participating Class 21 Members from the Net Settlement Amount on a *pro rata* basis, based on the Participating Class 22 Members' respective number of Workweeks during the Class Period. Individual PAGA 23 Payments to Aggrieved Employees will be calculated and distributed to Aggrieved Employees 24 from the PAGA Payment on a pro rata basis based on Aggrieved Employees' respective 25 number of Workweeks during the PAGA Period. Specific calculations of the Individual 26 Settlement Shares and Individual PAGA Payments to Aggrieved Employees will be made as 27 follows:

28 || / / /

1 The Settlement Administrator will determine the total number of Workweeks A. 2 worked by each Settlement Class Member during the Class Period ("Class Member's 3 Workweeks"), as well as the aggregate number of Workweeks worked by all Settlement Class 4 Members during the Class Period ("Class Workweeks"). Additionally, the Settlement 5 Administrator will determine the total number of Workweeks worked by each Aggrieved 6 Employee during the PAGA Period ("Aggrieved Employee's Workweeks"), as well as the 7 aggregate number of Workweeks worked by all Aggrieved Employees during the PAGA Period 8 ("PAGA Workweeks").

9 B. To determine each Settlement Class Member's Individual Settlement Share, the
10 Settlement Administrator will use the following formula: Individual Settlement Share =
11 (Settlement Class Member's Workweeks ÷ Class Workweeks) × Net Settlement Amount.

C. To determine each Participating Class Member's Participating Individual
Settlement Share, the Settlement Administrator will determine the aggregate number of
Workweeks worked by all Participating Class Members during the Class Period ("Participating
Class Workweeks") and use the following formula: Participating Individual Settlement Share
(Participating Class Member's Workweeks ÷ Participating Class Workweeks) × Net
Settlement Amount.

18 D. The net amount of the Participating Individual Settlement Share is to be paid out
19 to Participating Class Members by way of check and is referred to as "Individual Settlement
20 Payment(s)".

E. To determine each Aggrieved Employee's Individual PAGA Payment, the
Settlement Administrator will use the following formula: Aggrieved Employee's Individual
PAGA Payment = (Aggrieved Employee's Workweeks ÷ PAGA Workweeks) x \$12,500.00
(the PAGA Payment).

F. Individual Settlement Payments and Individual PAGA Payments shall be paid
to Participating Class Members and/or Aggrieved Employees by way of check. When a
Participating Class Member is also an Aggrieved Employee, one check may be issued that
aggregates both the Individual Settlement Payment and the Individual PAGA Payment.

1

11. <u>DISTRIBUTION OF PAYMENTS</u>

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A.

Distribution of Individual Settlement Payments.

3 Participating Class Members will receive an Individual Settlement Payment and 4 Aggrieved Employees will receive an Individual PAGA Payment. Individual Settlement 5 Payment and Individual PAGA Payment checks shall remain valid and negotiable for one hundred and eighty (180) calendar days after the date of their issuance. Within seven (7) 6 7 calendar days after expiration of the 180-day period, checks for such payments shall be 8 cancelled and funds associated with such checks will be distributed to the Controller of the 9 State of California to be held pursuant to the Unclaimed Property Law, California Civil Code 10 section 1500 et seq., for the benefit of those Settlement Class Members and PAGA Members 11 who did not cash their checks until such time as they claim their property. The Parties agree 12 that this disposition results in no "unpaid residue" under the California Civil Procedure section 13 384, as Settlement Payments will be paid out to Settlement Class Members and PAGA 14 Members, whether or not they cashed their Settlement Checks, and that Defendants will not be 15 required to pay interest on said amount.

16

В.

Funding of Settlement.

Defendants shall, within thirty (30) calendar days of the Effective Date, make payment of the Gross Settlement Amount (as the same may be escalated pursuant to Paragraph 17 of this Agreement) and Employer Taxes (which shall be determined by the Settlement Administrator), to the Settlement Administrator pursuant to Internal Revenue Code section 1.468B-1 for deposit in an interest-bearing qualified settlement account ("QSA") with an FDIC insured banking institution, for distribution in accordance with this Agreement and the Court's Orders and subject to the conditions described herein.

24

C. Time for Distribution.

Within seven (7) calendar days after payment of the full Gross Settlement Amount and
Employer Taxes by Defendants, or as soon thereafter as practicable, the Settlement Administrator
shall distribute Payments from the QSA for all of the following on the same date: (1) the Service
Award to Plaintiffs as specified in this Agreement and approved by the Court; (2) the Attorneys'

23

1 Fees and Cost Award to be paid to Class Counsel, as specified in this Agreement and approved 2 by the Court; (3) the Settlement Administrator Costs, as specified in this Agreement and 3 approved by the Court; (4) the LWDA Payment, as specified in this Agreement and approved by 4 the Court; (5) the Individual PAGA Payments as specified in this Agreement and approved by 5 the Court; and (6) the Net Settlement Amount from which Individual Settlement Payments shall 6 be made to Participating Class Members, less applicable taxes and withholdings. All interest 7 accrued shall be for the benefit of the Class Members and distributed on a pro rata basis to 8 Participating Class Members based on the number of Workweeks worked by them in the Class 9 Period.

10

12. <u>ATTORNEYS' FEES AND LITIGATION COSTS</u>

11 Class Counsel shall apply for, and Defendants shall not oppose, an award of attorneys' 12 fees of up to 35% of the Gross Settlement Amount, which, unless escalated pursuant to Paragraph 13 17 of this Agreement, amounts to Five Hundred, Sixty-Three Thousand, Five Hundred Dollars 14 and Zero Cents (\$563,500.00). Class Counsel shall further apply for, and Defendants shall not 15 oppose, an application or motion by Class Counsel for reimbursement of actual costs associated 16 with Class Counsel's prosecution of this matter as set forth by declaration testimony in an amount 17 up to Forty Thousand Dollars and Zero Cents (\$40,000.00). Awards of attorneys' fees and costs 18 shall be paid out of the Gross Settlement Amount for all past and future attorneys' fees and costs 19 necessary to prosecute, settle, and obtain Final Approval of the settlement in the Action. The 20 "future" aspect of the amounts stated herein includes, without limitation, all time and expenses 21 expended by Class Counsel (including any appeals therein). There will be no additional charge 22 of any kind to either the Settlement Class Members or request for additional consideration from 23 Defendants for such work unless, Defendants materially breach this Agreement, including any 24 term regarding funding, and further efforts are necessary from Class Counsel to remedy said 25 breach, including, without limitation, moving the Court to enforce the Agreement. Should the 26 Court approve attorneys' fees and/or litigation costs and expenses in amounts that are less than 27 the amounts provided for herein, then the unapproved portion(s) shall be a part of the Net 28 Settlement Amount.

13. <u>SERVICE AWARDS TO PLAINTIFFS</u>

Named Plaintiffs shall seek, and Defendants shall not oppose, Service Awards in an
amount not to exceed Seven Thousand Five Hundred Dollars and Zero Cents (\$7,500.00) each,
for a total of Fifteen Thousand Dollars (\$15,000.00) to Plaintiff Lopez and Plaintiff Leyva, for
participation in and assistance with the Actions. Any Service Awards awarded to Plaintiffs shall
be paid from the Gross Settlement Amount and shall be reported on an IRS Form 1099. If the
Court approves Service Awards to Plaintiffs in less than the amounts sought herein, then the
unapproved portion(s) shall be a part of the Net Settlement Amount.

9

14.

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TAXATION AND ALLOCATION

10 Each Individual Settlement Share shall be allocated as follows: 33% as wages (to A. be reported on an IRS Form W-2); and 67% as interest, premiums, and penalties (to be reported 11 12 on an IRS Form 1099). The Individual PAGA Payments to the Aggrieved Employees shall be 13 characterized as non-wages (to be reported on an IRS Form 1099). The Parties agree that the 14 employee's share of taxes and withholdings with respect to the wage-portion of the Individual 15 Settlement Share will be withheld from the Individual Settlement Share in order to yield the Individual Settlement Payment. Income tax withholding will also be made pursuant to applicable 16 17 state and/or local withholding codes or regulations.

B. Forms W-2 and/or Forms 1099 will be distributed by the Settlement Administrator
at times and in the manner required by the Internal Revenue Code of 1986 (the "Code") and
consistent with this Agreement. If the Code, the regulations promulgated thereunder, or other
applicable tax law, is changed after the date of this Agreement, the processes set forth in this
Section may be modified in a manner to bring Defendants into compliance with any such
changes.

C. All Employer Taxes shall be paid by Defendants separate, apart and above from
the Gross Settlement Amount. Defendants shall remain liable to pay the employer's share of
payroll taxes as described above.

D. The Parties make no representations as to the tax treatment or legal effect of the
payments specified herein, and Class Members are not relying on any statement or representation

by the Parties, Class Counsel or Defendants' Counsel in this regard. Participating Class Members, Aggrieved Employees, and Class Counsel understand and agree that they shall be responsible for the payment of all taxes and penalties assessed on the payments specified herein, and shall hold the Parties, Class Counsel and Defendants' Counsel free and harmless from and against any claims resulting from treatment of such payments as non-taxable, including the treatment of such payments as not subject to withholding or deduction for payroll and employment taxes.

8 E. The Parties acknowledge and agree that (1) no provision of this Agreement, and 9 no written communication or disclosure between or among the Parties, Class Counsel or 10 Defendants' Counsel and other advisers, is or was intended to be, nor shall any such 11 communication or disclosure constitute or be construed or be relied upon as, tax advice within 12 the meaning of United States Treasury Department Circular 230 (31 CFR Part 10, as amended); 13 (2) the acknowledging party (a) has relied exclusively upon his, her, or its own, independent legal 14 and tax counsel for advice (including tax advice) in connection with this Agreement, (b) has not 15 entered into this Agreement based upon the recommendation of any other party or any attorney 16 or advisor to any other party, and (c) is not entitled to rely upon any communication or disclosure 17 by any attorney or adviser to any other party to avoid any tax penalty that may be imposed on the 18 acknowledging party; and (3) no attorney or adviser to any other party has imposed any limitation 19 that protects the confidentiality of any such attorney's or adviser's tax strategies (regardless of 20 whether such limitation is legally binding) upon disclosure by the acknowledging party of the 21 tax treatment or tax structure of any transaction, including any transaction contemplated by this 22 Agreement.

23

15. <u>PRIVATE ATTORNEYS' GENERAL ACT ALLOCATION</u>

The Parties agree to allocate Fifty Thousand Dollars and Zero Cents (\$50,000.00) of the Gross Settlement Amount toward PAGA penalties. Pursuant to the PAGA, seventy-five percent (75%) of the amount allocated toward PAGA (\$37,500.00) will be paid to the LWDA (*i.e.*, the LWDA Payment), and twenty-five percent (25%) (\$12,500.00) will be distributed to Aggrieved Employees on a *pro rata* basis, based upon their respective Workweeks in the PAGA Period.

26

|| 16. <u>COURT APPROVAL</u>

2 This Agreement is contingent upon an order by the Court granting Final Approval of the 3 Settlement, and that the LWDA does not intervene and/or object to the Settlement. In the event 4 it becomes impossible to secure approval of the Settlement by the Court and the LWDA, the 5 Parties shall be restored to their respective positions in the Actions prior to entry of this 6 Settlement. If this Settlement Agreement is voided, not approved by the Court or approval is 7 reversed on appeal, it shall have no force or effect and no Party shall be bound by its terms except 8 to the extent: (a) the Court reserves any authority to issue any appropriate orders when denying 9 approval; and/or (b) there are any terms and conditions in this Settlement Agreement specifically 10 stated to survive the Settlement Agreement being voided or not approved, and which control in 11 such an event.

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17. <u>INCREASE IN WORKWEEKS</u>

13 Defendants represent that there are approximately 136,000 Workweeks worked during 14 the Class Period. If there is a 10% increase in the number of workweeks, which is estimated 15 to be approximately 136,000 workweeks, it would trigger an escalator provision where Defendants would have the choice between either (1) the gross settlement amount would 16 17 increase by 1% for every 1% increase in workweeks over the 10% threshold, or, at Defendants' 18 sole option (2) the post acceptance portion of the Covered Period of 90 days or the date of 19 preliminary approval would be scaled back to a date between the date the stipulation of 20 settlement is signed and the date the additional workweeks would reach a number that would 21 cause the escalator provision to be triggered.

22

18. <u>NOTICE OF JUDGMENT</u>

In addition to any duties set out herein, the Settlement Administrator shall provide notice of the Final Judgment entered in the Actions by posting the same on its website for at least three (3) years after the Judgment becomes final.

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- 27 || / / /
- 28 / / /

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A.

MISCELLANEOUS PROVISIONS

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Interpretation of the Agreement.

3 This Agreement constitutes the entire agreement between the Parties with respect to its 4 subject matter. Except as expressly provided herein, this Agreement has not been executed in 5 reliance upon any other written or oral representations or terms, and no such extrinsic oral or 6 written representations or terms shall modify, vary or contradict its terms. In entering into this 7 Agreement, the Parties agree that this Agreement is to be construed according to its terms and 8 may not be varied or contradicted by extrinsic evidence. The Agreement will be interpreted and 9 enforced under the laws of the State of California, both in its procedural and substantive aspects, 10 without regard to its conflict of law provisions. Any claim arising out of or relating to the 11 Agreement, or the subject matter hereof, will be resolved solely and exclusively in the Superior 12 Court of the State of California for the County of Los Angeles, and Plaintiffs and Defendants 13 hereby consent to the personal jurisdiction of the Court in the Action over it solely in connection 14 therewith. The foregoing is only limited to disputes concerning this Agreement. The Parties, 15 and each of them, participated in the negotiation and drafting of this Agreement and had available 16 to them the advice and assistance of independent counsel. As such, neither Plaintiffs nor 17 Defendants may claim that any ambiguity in this Agreement should be construed against the 18 other. The Agreement may be modified only by a writing signed by counsel for the Parties and 19 approved by the Court.

20

B. Further Cooperation.

21 The Parties and their respective attorneys shall proceed diligently to prepare and execute 22 all documents, to seek the necessary approvals from the Court, and to do all things reasonably 23 necessary to consummate the Settlement as expeditiously as possible. The Parties agree that they 24 will not take any action inconsistent with this Agreement, including, without limitation, 25 encouraging Class Members to opt out of the Settlement. In the event the Court finds that any 26 Party has taken actions inconsistent with the Settlement, including, without limitation, 27 encouraging Class Members to opt out of the Settlement, the Court may take any corrective 28 actions, including enjoining any Party from communicating regarding the Settlement on an ex

FIRST AMENDED JOINT STIP. RE: CLASS ACTION AND REPRESENTATIVE ACTION SETTLEMENT

parte basis, issuing (a) corrective notice(s), awarding monetary, issue, evidentiary and/or
 terminating sanctions against that Party, and/or enforcing this Agreement despite the presence of
 opt-outs and/or objections.

C. Counterparts.

The Agreement may be executed in one or more actual or non-original counterparts, all
of which will be considered one and the same instrument and all of which will be considered
duplicate originals.

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D. Authority.

9 Each individual signing below warrants that he or she has the authority to execute this
10 Agreement on behalf of the party for whom or which that individual signs.

11

E. No Third-Party Beneficiaries.

Plaintiff, Participating Class Members, Aggrieved Employees, the State of California,
Class Counsel, and Defendants are direct beneficiaries of this Agreement, but there are no thirdparty beneficiaries.

15

F. Deadlines Falling on Weekends or Holidays.

To the extent that any deadline set forth in this Agreement falls on a Saturday, Sunday,
or legal holiday, that deadline shall be continued until the following business day.

18

G. Severability.

In the event that one or more of the provisions contained in this Agreement shall for any
reason be held invalid, illegal, or unenforceable in any respect, such invalidity, illegality, or
unenforceability shall in no way effect any other provision if Defendants' Counsel and Class
Counsel, on behalf of the Parties and the Settlement Class, mutually elect in writing to proceed
as if such invalid, illegal, or unenforceable provision had never been included in this Agreement.

24

H. Confidentiality.

The Parties hereby expressly agree that any settlement that occurs between the Parties, including this Settlement Agreement, shall remain confidential until a stipulation for preliminary approval is filed with the Court.

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FIRST AMENDED JOINT STIP. RE: CLASS ACTION AND REPRESENTATIVE ACTION SETTLEMENT

I. Publicity.

2 Neither Plaintiffs nor Class Counsel will publicize the Settlement in any way. Class 3 Counsel will take all steps necessary to ensure the Class Representatives are aware of, and will 4 encourage them to adhere to, the restriction against any publicity. After preliminary approval, 5 neither Plaintiffs nor Class Counsel will issue any press release or initiate any communication 6 with and, if contacted by media will only discuss information publicly available related in any 7 way to the Settlement. Class Counsel will not include or use the settlement for any marketing or 8 promotional purposes or through any social media, website and/or professional organization such 9 as CELA.

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J. Binding Nature of Notice of Class Action Settlement.

It is agreed that, because the Class Members are so numerous, it is impossible or
impractical to have each Class Member execute the Agreement. The Class Notice shall advise
all Class Members of the binding nature of the Settlement, and the release of Released Claims
and shall have the same force and effect as if this Agreement were executed by each Participating
Class Member.

16

K. Settlement Payments Do Not Trigger Additional Benefits.

17 All settlement payments to Class Members and Aggrieved Employees shall be deemed 18 to be paid to such Class Members and Aggrieved Employees solely in the year in which such 19 payments actually are received by the Class Members and Aggrieved Employees. It is 20 expressly understood and agreed that the receipt of such individual settlement payments will 21 not entitle any Class Member or Aggrieved Employee to additional compensation or benefits 22 under any of Released Parties' bonus, commission or other compensation or benefit plan or 23 agreement in place during the period covered by the Settlement, including but not limited to 24 any collective bargaining agreement or related benefit agreement, nor will it entitle any Class 25 Member or Aggrieved Employee to any increased retirement fund, 401K benefits or matching 26 benefits, benefit plan, or deferred compensation benefits. It is the intent of this Settlement that 27 the individual settlement awards provided for in this Settlement are the sole payments to be 28 made by Defendants to the Class Members and Aggrieved Employees, and that the Class

30

Members and Aggrieved Employees are not entitled to any new or additional compensation or
 benefits as a result of having received the individual settlement awards (notwithstanding any
 contrary language or agreement in any benefit or compensation plan document that might have
 been in effect during the period covered by this Settlement).

5

L. No Prior Assignments.

The Plaintiffs and their counsel represent, covenant, and warrant that they have not
directly or indirectly assigned, transferred, encumbered, or purported to assign, transfer, or
encumber to any person or entity any portion of any liability, claim, demand, action, cause of
action or right herein released and discharged.

10

M. Rescission of Settlement Agreement by Defendants.

11 If Class Members comprising more than three percent (3%) of the total Workweeks 12 worked by Class Members during the Class Period opt-out of the Settlement by submitting 13 Request for Exclusion forms, Defendants may, at their option, rescind and void the Settlement 14 and all actions taken in furtherance of it will thereby be null and void. Defendants must 15 exercise this right of rescission, in writing, to Class Counsel within twenty-one (21) calendar days after the Settlement Administrator notifies the Parties of the total number of Requests for 16 17 Exclusion received by the Response Deadline and total number of shifts associated with such 18 Requests for Exclusion.

19

N. Nullification of Settlement Agreement.

In the event that: (a) the Court does not finally approve the Settlement as provided herein; or (b) the Settlement does not become final for any other reason, then this Settlement Agreement, and any documents generated to bring it into effect, will be null and void. Any order or judgment entered by the Court in furtherance of this Settlement Agreement will likewise be treated as void from the beginning.

25

O. Acknowledgement that the Settlement is Fair and Reasonable.

The Parties believe this Settlement Agreement is a fair, adequate, and reasonable settlement of the Action and have arrived at this Settlement after arm's-length negotiations and in the context of adversarial litigation, taking into account all relevant factors, present and potential. The Parties further acknowledge that they are each represented by competent counsel
 and that they have had an opportunity to consult with their counsel regarding the fairness and
 reasonableness of this Agreement.

4 ||

P. Invalidity of Any Provision.

5 Before declaring any provision of this Settlement Agreement invalid, the Court will 6 first attempt to construe the provision as valid to the fullest extent possible consistent with 7 applicable precedents so as to define all provisions of this Settlement Agreement valid and 8 enforceable.

9

Q. Plaintiffs' Waiver of Right to Be Excluded and Object.

Plaintiffs agree to sign this Settlement Agreement and, by signing this Settlement Agreement, are hereby bound by the terms herein. For good and valuable consideration, Plaintiffs further agree that they will not request to be excluded from the Settlement Agreement, nor object to any terms herein. Any such request for exclusion or objection by Plaintiffs will be void and of no force or effect. Any efforts by Plaintiffs to circumvent the terms of this paragraph will be void and of no force or effect.

16

R. Captions/Headings.

The captions, headings and section numbers in this Agreement are inserted for the reader's convenience, and in no way define, limit, construe or describe the scope or intent of the provisions of this Agreement.

20

S. Waiver.

No waiver of any condition or covenant contained in this Agreement or failure to
exercise a right or remedy by any of the Parties hereto will be considered to imply or constitute
a further waiver by such party of the same or any other condition, covenant, right or remedy.

24

T. Mutual Preparation.

The Parties have had a full opportunity to negotiate the terms and conditions of this Agreement. Accordingly, this Agreement will not be construed more strictly against one party than another merely by virtue of the fact that it may have been prepared by counsel for one of the Parties, it being recognized that, because of the arms-length negotiations between the 1 || Parties, all Parties have contributed to the preparation of this Agreement.

U. Integration Clause.

This Settlement Agreement contains the entire agreement between the Parties relating to the settlement and transaction contemplated hereby, and all prior or contemporaneous agreements, understandings, representations, and statements, whether oral or written and whether by a party or such party's legal counsel, are merged herein. No rights hereunder may be waived except in writing.

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V. Notice.

9 All notices, demands or other communications given under this Agreement will be in
10 writing and deemed to have been duly given as of the third business day after mailing by United
11 States mail, addressed as follows:

12 W. To Plaintiffs and the Class: 13 **BIBIYAN LAW GROUP, P.C.** David D. Bibiyan (Cal. Bar No. 287811) 14 david@tomorrowlaw.com Diego Aviles (Cal. Bar No. 315533) 15 diego@tomorrowlaw.com 8484 Wilshire Boulevard, Suite 500 Beverly Hills, California 90211 16 Tel: (310) 438-5555; Fax: (310) 300-1705 17 Alexander I. Dychter (SBN 234526) S. Adam Spiewak (SBN 230872) 18 **DYCHTER LAW OFFICES, APC** 180 Broadway, Suite 1835 19 San Diego, California 92101 Tel: (619) 487-0777; Fax: (619) 330-1827 20 E-Mail: alex@dychterlaw.com E-Mail: adam@dychterlaw.com 21 Walter L. Haines (SBN 71075) 22 United Employees Law Group, PC 4276 Katella Ave., Suite 301 23 Los Alamitos, California 90720 Telephone: (562) 256-1047 24 Facsimile: (562) 256-1006 E-Mail: admin@uelglaw.com 25 26 27 28

FIRST AMENDED JOINT STIP. RE: CLASS ACTION AND REPRESENTATIVE ACTION SETTLEMENT

1	To Defendants:				
2	Connie L. Chen (SBN 275649)				
3	Paul J. Cohen (SBN 293797) Jackson Lewis, PC	`			
4	715 S. Figueroa Street, Suite 2500 Los Angeles, California 90017-54) 108			
5	Telephone: (213) 689-0404 Facsimile: (213) 689-0430				
6	E-Mail: Cynthia.Filla@jacksonle Connie.Chen@jacksonle	ewis.com			
7	Paul.Cohen@jacksonlew	vis.com			
8	X. Stay of Litigation.				
9	The Parties agree that upor	n the executi	ion of this Agreement the litigation shall be		
10	stayed, except to effectuate the terms of this Agreement.				
11	IT IS SO AGREED:				
12	Dated: 2/08/2023		- an-		
13	Dated: 2/00/2023	_, 2023	Alejandro Lopez (Feb 8, 2023 12:52 PST) ALEJANDRO LOPEZ		
14			Plaintiff and Class Representative		
15					
16	Dated:	, 2023			
17		_	NOELIA LEYVA Plaintiff and Class Representative		
18			r funitifi and Class Representative		
19					
20	Dated:	. 2023			
21			Name:		
22			WALDORF ASTORIA MANAGEMENT		
23			LLC		
24					
25	Dated:	_, 2023	Name		
26			Name:		
27			WALDORF=ASTORIA EMPLOYER LLC		
28					
			24		
	FIRST AMENDED JOINT STIP. RE: 0		34 DN AND REPRESENTATIVE ACTION SETTLEMENT		

1	AGREED AS	S TO FORM:		2
2	Dated: Feb	oruary 8	, 2023	Vedang J. Patel
3			_^	DAVID D. BIBIYAN VEDANG J. PATEL
4				Bibiyan Law Group, P.C.
5				Counsel for Plaintiffs Alejandro Lopez
6 7	Dated:		, 2023	
8				Alexander I. Dychter
9				Dychter Law Offices, APC Co-Counsel for Plaintiff Noelia Leyva
10				Co-Counsel for Traintin Notha Leyva
11	Dated:		_, 2023	
12				Walter L. Haines
13				United Employees Law Group, PC Co-Counsel for Plaintiff Noelia Leyva
14				
15	Dated:		_, 2023	
16				Connie L. Chen
17				Paul J. Cohen Jackson Lewis P.C.
18				Counsel for Defendants Waldorf Astoria Management LLC; and Waldorf=Astoria
19				Employer LLC
20				
21				
22				
23				
24				
25				
26				
27				
28				
				35
	FIRST AMEND	DED JOINT STIP. RE: C	LASS ACTIC	ON AND REPRESENTATIVE ACTION SETTLEMENT

To I	Defendants:		
Connie Paul J.	L. Chen (SBN 27564 Cohen (SBN 293797	49))	
Jackson	n Lewis, PC Figueroa Street, Suit		
Los An	geles. California 900	017-5408	
Facsimi	one: (213) 689-0404 le: (213) 689-0430 Cynthia.Filla@jacl	csonlewis com	
L 1010011.	Connie.Chen@jack	ksonlewis.com	
	T dui. Cononaguor.	Joine W13. Com	
	X. Stay of Litig	ation.	
,	The Parties agree that	at upon the execu	tion of this Agreement the litigation shall be
stayed,	except to effectuate	the terms of this	Agreement.
IT IS S	SO AGREED:		
Dated:		, 2023	ALEJANDRO LOPEZ
			Plaintiff and Class Representative
Dated:	Feb 6, 2023	, 2023	Maline
			NOELIA LEYVA Plaintiff and Class Representative
			· · · · · · · · · · · · · · · · · · ·
Dated:		, 2023	
			Name:
			WALDORF ASTORIA MANAGEMENT
			LLC
Dated:		, 2023	Name
			Name:
			WALDORF=ASTORIA EMPLOYER LLC

1	AGREED AS TO FORM:	
2		
3	Dated:, 202	23 DAVID D. BIBIYAN
4		VEDANG J. PATEL
5		Bibiyan Law Group, P.C. Counsel for Plaintiffs Alejandro Lopez
6		
7	Dated: FEBRUAry 6, 2	023 Ala Tath
8		Alexander I. Dychter
9		Dychter Law Offices, APC Co-Counsel for Plaintiff Noelia Leyva
10		- 11
11	Dated: February 6 , 2	1023 Alc Haine
12		Walter L. Haines
13		United Employees Law Group, PC Co-Counsel for Plaintiff Noelia Leyva
14		
15	Dated:, 2	023
16		Connie L. Chen
17		Paul J. Cohen Jackson Lewis P.C.
18		Counsel for Defendants Waldorf Astoria Management LLC; and Waldorf=Astoria
19		Employer LLC
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	FIRST AMENDED JOINT STIP. RE: CLASS	S ACTION AND REPRESENTATIVE ACTION SETTLEMENT

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1	To Defendants:					
2	Connie L. Chen (SBN 275649) Paul J. Cohen (SBN 293797)					
3	Jackson Lewis, PC 715 S. Figueroa Street, Suite 2500					
4	Los Angeles, California 90017-5408 Telephone: (213) 689-0404 Facsimile: (213) 689-0430					
6	E-Mail: Cynthia.Filla@jacksonlewis.com Connie.Chen@jacksonlewis.com					
7	Paul.Cohen@jacksonlewis.com					
8	X. Stay of Litigation.					
9	The Parties agree that upon the execution of this Agreement the litigation shall be					
10	stayed, except to effectuate the terms of this Agreement.					
11	IT IS SO AGREED:					
12						
13	Dated:, 2023					
14	Plaintiff and Class Representative					
15						
16	Dated: , 2023	-				
17	NOELIA LEYVA Plaintiff and Class Representative					
18						
19						
20	Dated:, 2023	2				
21	Name: William Steven Standefer Its: Senior Vice President of	-				
22	WALDORF ASTORIA MANAGEMENT LLC					
23						
24	February 8 2022 (11 11 11					
25	Dated:, 2023 Name: William Steven Standefer					
26	Its: President of WALDORF=ASTORIA EMPLOYER					
27	LLC					
28						
	34					
	FIRST AMENDED JOINT STIP. RE: CLASS ACTION AND REPRESENTATIVE ACTION SETTLEMENT					

