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1/		ex rel. its Department of Corrections
18	·	
19	UNITED STATES	DISTRICT COURT
	DISTRICT	OF NEVADA
20	·	OF NEVADA
21	DONALD WALDEN, JR., et al., etc.,	Case No.: 3:14-cv-00320-MMD-CSD
22	Plaintiffs,	JOINT STIPULATION OF COLLECTIVE
22		AND CLASS ACTION SETTLEMENT
23	V.	AND RELEASE BETWEEN PLAINTIFFS AND DEFENDANT
24	THE STATE OF NEVADA, EX REL. NEVADA	
	DEPARTMENT OF CORRECTIONS, and DOES 1-50,	
25	DOEG 1-30,	
26	Defendants.	
	:	
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This Joint Stipulation of Collective and Class Action Settlement and Release (the "Settlement") is made and entered into by and between Plaintiffs DONALD WALDEN JR., NATHAN ECHEVERRIA, AARON DICUS, BRENT EVERIST, TRAVIS ZUFELT, TIMOTHY RIDENOUR, and DANIEL TRACY on behalf of themselves and all other similarly situated individuals ("Plaintiffs"), and Defendant STATE OF NEVADA, *EX. REL.* ITS DEPARTMENT OF CORRECTIONS ("Defendant" or "NDOC") (collectively referred to as "the Parties").

### THE PARTIES STIPULATE AND AGREE as follows:

- 1. The following terms shall have the meanings ascribed to them below:
- a. "Action" means the lawsuit captioned as DONALD WALDEN, JR., ET AL. v. THE STATE OF NEVADA, EX REL. NEVADA DEPARTMENT OF CORRECTIONS, originally filed on May 12, 2014, in the First Judicial District Court of Nevada, Carson City, Case No. 140C000891B, Dept. 2, and removed on June 17, 2014, to the United States District Court for the District of Nevada, Case No. 3:14-cv-00320-MMD-CSD.
- b. "Claims Administrator" means Phoenix Class Action Administration Solutions, or such other claims administrator as may be mutually agreeable to the Parties.
- c. "Class Counsel" means Thierman Buck, LLP of Reno, Nevada, and Gabroy Messer of Henderson, Nevada.
- d. "Class Counsel Payment" shall have the meaning ascribed to it in Paragraph 13 below.
- e. "Class Members" means those individuals that are within the Settlement Class, each of whom is a "Class Member."
- f. "Class Period" means May 12, 2011, through the date of preliminary approval of this Settlement.
- g. "Class Representatives" mean Plaintiffs DONALD WALDEN JR., NATHAN ECHEVERRIA, AARON DICUS, BRENT EVERIST, TRAVIS ZUFELT, TIMOTHY RIDENOUR, and DANIEL TRACY.
- h. "Complaint" means the operative First Amended Class Action Complaint on file in the Action that was filed on April 19, 2017.
  - i. "Court" means the United States District Court for the District of Nevada.

v. "Service Award" means, subject to approval by the Court, a maximum payment of \$20,000.00 to each Class Representative from the "Maximum Settlement Amount" (defined below), which is in addition to the Class Representative's individual "Settlement Award" (defined below) pursuant to this Settlement.

- w. "Settlement" or "Agreement" means this Collective and Class Action Settlement and Release between Plaintiffs and Defendant.
- x. "Settlement Awards" means Settlement amounts to be paid to eligible Class Members according to a specified formula as further described herein.
- y. "Settlement Class" means "All current and former non-exempt hourly paid employees, including sergeants and lieutenants, who have been employed by Defendant as correctional officers at any time during the Class Period."
- 2. Plaintiffs initiated this Action on May 12, 2014. (ECF No. 1.) Plaintiff filed the operative First Amended Complaint on April 19, 2017, asserting that Defendants failed to (1) pay wages for all hours worked in violation of the Fair Labor Standards Act (FLSA), 29 U.S.C. § 201, et seq.; (2) pay overtime in violation of 29 U.S.C. § 207; (3) pay minimum wages in violation of the Nevada Constitution; (4) pay overtime in violation of NRS 284.180; and (5) comply with the terms of its contract with Plaintiffs to pay an agreed upon hourly wage for all hours worked. (ECF No. 95.) Defendant filed its operative Answer on April 19, 2018. (ECF No. 175.)
- 3. The Parties have heavily litigated this Action for more than eight (8) years with intense discovery, two separate appellate proceedings (including a writ petition to the United States Supreme Court), and numerous dispositive motions. The Parties had previously engaged in two (2) failed prior private mediation sessions and were only able to finally resolve this Action after an all-day mandatory settlement conference with the Honorable Magistrate Judge Craig S. Denney.
  - 4. The Parties now enter into this Settlement for preliminary and final Court approval.
- 5. This Action has already been conditionally certified pursuant to the FLSA on behalf of the following collective group: "[A]ll current and former non-exempt hourly paid employees, including sergeants and lieutenants, who were employed by NDOC as correctional officers at any time from May 12, 2011, to the present." (ECF No. 45.) Seven hundred and forty-eight (748) individuals have affirmatively opted-in to this Action as of the date of the Court's May 23, 2022,

Order. (ECF No. 407.) For the purposes of this Settlement only, the Parties stipulate and agree to class certification pursuant to Rule 23 of the Federal Rules of Civil Procedure (FRCP) for the same group of persons: All current and former non-exempt hourly paid employees, including sergeants and lieutenants, who were employed by NDOC as correctional officers during the Class Period. Excluded from the Class are all persons who elect to exclude themselves timely and properly per the provisions of this Agreement. If for any reason the Court does not approve this Settlement, or does not enter a Final Order and Judgment, or if this Settlement is terminated pursuant to the terms this agreement, the class certification shall become null and void, and the fact of certification shall not be used, cited to or admissible in any other proceeding.

- 6. Nothing related to this Agreement, or any communications, papers or orders related to the Settlement, shall be cited to as, construed to be, admissible as, or deemed an admission by Defendant or Released Parties of any liability, culpability, negligence, or wrongdoing toward Plaintiffs, the Class Members, or any other person, or that class or collective action certification is appropriate in this matter. Furthermore, nothing in this Agreement shall be cited to as, construed to be admissible as, or considered any form of waiver of any exhaustion of administrative remedies requirement and/or argument under Nevada law, including any argument that NRS chapter 284 does not contain a private right of action.
- 7. It is the desire of the Parties to fully, finally and forever settle, compromise, and discharge all Released Claims. To achieve a full and complete release of all Released Claims in favor of Defendant, each Class Member acknowledges that this Settlement is intended to include in its effect all claims reasonably arising out of the allegations made in the Action and all Released Claims against Defendant as of the date of the Court's Final Order and Judgment.
- 8. It is the intention of the Parties that this Settlement shall constitute a full, final, and complete settlement and release of Defendant and the Released Parties with respect to all Released Claims.
- 9. Class Counsel has conducted a thorough investigation into the facts of the Action, including a review of relevant documents and data, and have diligently pursued an investigation of Class Members' claims against Defendant. Based on their independent investigation and evaluation, Class Counsel are of the opinion that the Settlement is fair, reasonable and adequate and is in the

best interest of the Settlement Class in light of all known facts and circumstances. Defendant and Defendant's counsel also agree that the Settlement is fair and in the best interest of the Settlement Class.

10. The Parties agree to cooperate and take all steps necessary and appropriate to consummate this Settlement and to have a final order entered and judgment issued after all Settlement sums have been paid out in accordance with this Settlement.

### **TERMS OF SETTLEMENT**

- 11. NOW THEREFORE, in consideration of the mutual covenants, promises and agreements set forth herein, the Parties agree, subject to the Court's approval, as follows:
- a. <u>Settlement All-Inclusive</u>: It is agreed by and among Plaintiffs and Defendant that the Action and all Released Claims, damages or causes of action of any kind arising out of the disputes that reasonably arise or could have arisen out of the facts alleged in the Action, be settled and compromised as between the Settlement Class on the one hand and Defendant on the other hand, subject to the terms and conditions set forth in this Settlement and the approval of the Court. This Settlement shall bind the Class Members, Defendant, and their respective counsel, subject to the terms and conditions hereof and the Court's approval.
- b. Effective Date: The Settlement embodied in this Settlement shall become effective when all of the following events have occurred ("Effective Date"): (i) this Settlement has been executed by all Parties, Class Counsel and Defendant's counsel; (ii) the Maximum Settlement Amount (defined below) required under this Settlement has been approved by the Board of Examiners ("BOE") and the Interim Finance Committee ("IFC"); (iii) the Court has given preliminary approval to the Settlement; (iv) the Notice has been sent to the Settlement Class, providing them with an opportunity to opt out of the Settlement, or to object to the Settlement; (v) the Court has held a formal fairness hearing and entered the Court's Final Order and Judgment Dismissing this Action; and (iv) in the event there are written objections filed prior to the formal fairness hearing which are not later withdrawn, the later of the following events: (A) when the period for filing any appeal, writ or other appellate proceeding opposing the Settlement has elapsed without any appeal, writ or other appellate proceeding having been filed; (B) when any appeal, writ or other appellate proceeding opposing the Settlement has been dismissed finally and conclusively

with no right to pursue further remedies or relief; or (C) when any appeal, writ or other appellate proceeding has upheld the Court's Final Order and Judgment with no right to pursue further remedies or relief. In this regard, it is the intention of the Parties that the Settlement shall not become effective until the Court's Final Order and Judgment is completely final, and there is no further recourse by any appellant or objector who seeks to contest the Settlement.

- c. <u>Maximum Settlement Amount</u>: Defendant agrees to pay a maximum settlement amount of Fifty-Five Million Dollars and Zero Cents (\$55,000,000.00) ("Maximum Settlement Amount"), which includes all Settlement Awards to Class Members, the Service Awards to the Class Representatives, the attorneys' fees and costs to Class Counsel, the claims administration costs and expenses, and the employer share of employment taxes. Under no scenario shall Defendant be required to pay any monies in excess of this Maximum Settlement Amount.
- d. Net Settlement Amount: The net settlement amount ("Net Settlement Amount") for distribution to Class Members pursuant to the distribution formula set for in Paragraph 11(e) will be calculated by deducting from the Maximum Settlement Amount the following: (a) Service Awards to the Class Representatives approved by the Court; (b) Class Counsel Payment (for Class Counsel's attorneys' fees and expenses) approved by the Court; (c) Claims Administrator's reasonable fees and expenses approved by the Court; and (d) Defendant's share of employer taxes. If any portion of the Net Settlement Amount remains unpaid and/or unused after the expiration of the check cashing period set forth in Paragraph 19 of this Agreement, the Claims Administrator shall submit the uncashed funds to the State of Nevada's unclaimed property fund.
- e. <u>Settlement Awards to Class Members</u>: Subject to the terms and conditions of this Settlement, the Claims Administrator will distribute a payment from the Net Settlement Amount to each Class Member, according to a calculation of Settlement Awards to Class Members. Settlement Awards to Class Members will be determined by the Claims Administrator based upon a pro rata share of the number of workweeks worked by each Class Member in comparison to the total number of workweeks worked by all Class Members. The number of workweeks worked by Class Members during the Class Period will be determined by reference to Defendants' records, which will be presumed to be correct unless credible written evidence to the contrary is timely submitted

to the Claims Administrator. Defendants will provide the Claims Administrator with an excel spreadsheet calculation of the total number of workweeks worked. The Claims Administrator shall assign to each Class Member a "Settlement Ratio," which shall be a percentage of the total amount owed to all Class Members and the total amount to be recovered by each individual Class Member based upon the number of workweeks worked. The Claims Administrator shall then assign to each Class Member a "Settlement Award" which shall be calculated by multiplying that Class Member's Settlement Ratio by the Net Settlement Amount, adjusted as follows:

Opt-In Class Members: Settlement Awards for Class Members who had opted-in to this Action as of May 23, 2022. These Class Members will receive two (2) times as much on a per-class member basis than Non Opt-In Class Members.

Non Opt-In Class Members: Settlement Awards for Class Members who had not opted-in to this Action as of May 23, 2022. These Class Members will receive half (1/2) as much on a per-class member basis than Opt-In Class Members.

The Claims Administrator will distribute a payment of a Settlement Award to each Class Member who does not file a timely request to be excluded from the Settlement. The Claims Administrator will include language on the back of each Settlement Award check, to be mutually agreed upon by the Parties, which states that that by cashing the check the Class Member agrees to opt-in to the Action and to be bound by the FLSA settlement and release of claims stated herein.

f. Taxes, Withholdings, and Allocation: Settlement Awards for each Class Member will be allocated from the Net Settlement Amount and paid as follows: one-half (1/2) to alleged unpaid wages for which IRS Forms W-2 will issue; and one-half (1/2) will be allocated to alleged unpaid interest and penalties for which IRS Forms 1099-MISC will issue. The Claims Administrator will calculate, withhold, remit and report each Class Member's share of applicable payroll taxes (including, without limitation, federal income tax withholding, FICA, Medicare and any local taxes) based on the wage portions of each person's Settlement Award. Payments treated as unpaid wages shall be made net of all applicable employment taxes, and shall be reported to the IRS and the payee under the payee's name and social security number on an IRS Form W-2. Defendant shall cooperate with the Claims Administrator to timely arrive at an amount equal to the

employer's share of the FICA tax and any federal and/or state unemployment tax due by employers, with respect to the amounts treated as wages, which amounts shall be paid from the Maximum Settlement Amount. The Claims Administrator shall be responsible for making all reporting, deposits, and withholdings with respect to all amounts payable to Class Members. In the event any taxing agency or authority takes the position that some or all of the monies paid are taxable or taxable in a different manner than set forth in this Agreement, Class Members shall be solely responsible for any and all tax obligations that arise and this Agreement shall remain in full force and effect. Class Members agree to indemnify, secure, and hold Defendant and Released Parties harmless from any costs, penalties, damages, or any other sums arising from any tax obligations imposed by any taxing authority as a result of the monies paid pursuant to this Agreement.

- g. Settlement Awards Do Not Trigger Additional Benefits: It is expressly understood and agreed that the receipt of a Settlement Award will not entitle any Class Member to additional compensation or benefits under any company bonus, contest or other compensation or benefit plan or agreement in place during the period covered by the Settlement, nor will it entitle any Class Member to any increased retirement benefits or matching benefits, or deferred compensation benefits. It is the intent of this Settlement that the Settlement Awards provided for in this Agreement are the sole payments to be made by Defendant to the Class Members in connection with this Settlement, and that the Class Members are not entitled to any new or additional compensation or benefits as a result of having received the Settlement Awards (notwithstanding any contrary language or agreement in any benefit or compensation plan document that might have been in effect during the period covered by this Settlement).
- h. <u>Class Representatives</u>: Subject to Court approval, lead Named-Plaintiffs will receive Class Representative Service Awards of \$20,000.00 each (\$140,000.00, total) for their dedication and service as lead Named-Plaintiffs and Class Representatives on behalf of Class Members. The Service Awards shall be part of the Maximum Settlement Amount. Plaintiffs will file a motion for approval of the Class Representative Service Awards no later than twenty-one (21) days following the Court's order granting preliminary approval of this Settlement, to which Defendant will not object. The outcome of the Court's ruling on the application for a Service

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Award shall not terminate this Agreement or otherwise affect the Court's ruling on the Motion for Final Order and Judgment. It is understood the Service Awards are in addition to any claimed individual Settlement Award to which Plaintiffs are entitled along with other claiming Class Members. The Claims Administrator will issue to Class Representatives an IRS Form 1099 for the Service Awards, and the Class Representatives will be solely responsible for correctly characterizing the Service Awards for tax purposes and for paying any taxes on the amounts received, if any. The Service Awards approved by the Court shall be distributed to Class Representatives by the Claims Administrator within ten (10) calendar days of receipt of all settlement amounts in the Settlement Account pursuant to Paragraph 11(i).

Establishment of Settlement Account: The Claims Administrator shall establish a settlement account for the purpose of safeguarding the Maximum Settlement Amount and paying all payment amounts identified by this Settlement and approved by the Court ("Settlement Account"). The Maximum Settlement Amount will be paid in two installments, the first being a good faith deposit following preliminary Court approval and the second being the remaining settlement funds following final Court approval. For the first payment, the good faith deposit, Defendant shall deposit a total of Twenty-Five Million Dollars (\$25,000,000.00) in the Settlement Account, by wire or check. Defendant shall deposit its portion of the \$25,000,000.00 no later than twentythirty-one (2130) days from the later of (i) the date that preliminary approval is entered, or (ii) the date that the Maximum Settlement Amount is approved by the BOE and IFC. Defendant's insurer, AIG, shall deposit its portion of the \$25,000,000.00 no later than forty-five (45) days from the date that preliminary approval is entered. For the second payment, the remaining settlement funds deposit, Defendant shall deposit a total of Thirty Million Dollars (\$30,000,000.00) in the Settlement Account, by wire or check, no later than ninety (90) days from the Effective Date of the Settlement, as set forth in Paragraph 11(b).

### CLAIMS ADMINISTRATION

12. The Claims Administrator will send out to the Class Members the Notice by first class United States mail. The Claims Administrator will calculate the Settlement Awards to Class Members in accordance with this Settlement. The Claims Administrator shall report in writing the substance of its findings to the Parties. The Claims Administrator shall be granted reasonable access

to Defendant's records to perform its duties. The Claims Administrator shall issue and mail the Settlement Award checks to the Class Members within ten (10) calendar days of receipt of all settlement amounts in the Settlement Account pursuant to Paragraph 11(i). Tax treatment of the Settlement Awards will be as set forth herein, and in accordance with state and federal tax laws; provided, however, Plaintiffs and other Class Members herein acknowledge that neither the Released Parties nor Defendant's counsel has or is providing any tax advice. The Claims Administrator shall provide periodic reports to counsel for the Parties with respect to the numbers of individuals submitting Requests for Exclusion and Objections, and will respond to reasonable requests for information concerning the status of the administration of the Settlement. All disputes relating to the Claims Administrator's performance of its duties shall be referred to the Court, if necessary, which will have continuing jurisdiction over the terms and conditions of this Settlement until all payments and obligations contemplated by this Settlement have been fully carried out.

### ATTORNEYS' FEES AND COSTS

- 13. Subject to approval of the Court, Class Counsel will receive a payment of up to 1/3 of the Maximum Settlement Amount for all current and future attorneys' fees and for up to \$200,000.00 in actual costs incurred in litigating this Action, defined as the "Class Counsel Payment." The Class Counsel Payment will cover all work performed and all fees and costs incurred prior to the enactment of this Settlement, and all work to be performed and all fees and costs to be incurred in connection with the approval by the Court of this Settlement, administration of the Settlement, obtaining judgment in the Action, and any challenges, writs or appeals to the Settlement. Should Class Counsel request a lesser amount, or the Court approve a lesser amount for the Class Counsel Payment, the difference between the lesser amount and the requested amount set forth above shall be added to the Net Settlement Amount. The Class Counsel Payment approved by the Court shall be distributed to Class Counsel by the Claims Administrator within ten (10) calendar days of receipt of all settlement amounts in the Settlement Account pursuant to Paragraph 11(i).
- 14. Class Counsel shall file a motion for approval of the Class Counsel Payment no later than twenty-one (21) days following the Court's order granting preliminary approval of this Settlement.

#### NOTICE TO THE SETTLEMENT CLASS

15. Notice of this Settlement shall be sent via U.S. Mail. The Notice shall be sent by the Claims Administrator to the Class Members by first class mail based on the following procedure. Any returned envelopes from this mailing with forwarding addresses will be used by the Claims Administrator to forward the Notice to Class Members.

- a. Within seven (7) calendar days of preliminary approval of this Settlement by the Court, Defendant shall provide to the Claims Administrator a spreadsheet, which will list for each Class Member the Class Member's name, last-known address, social security number, and number of qualifying hours worked during the Class Period. The spreadsheet shall be based on payroll records and/or personnel records provided by Defendant and in a format reasonably acceptable to the Claims Administrator.
- b. The Claims Administrator will run a check of the Class Members' last-known addresses against those on file with the U.S. Postal Service's National Change of Address List. Within fourteen (14) calendar days of preliminary approval of this Settlement by the Court, the Claims Administrator will mail the Notice to the Class Members. The Class Members will have thirty (30) calendar days from the date of the Claims Administrator's initial mailing of the Notice in which to postmark requests for exclusion, or to file objections to the Settlement.
- c. Notices returned to the Claims Administrator as non-delivered shall be resent to the forwarding address, if any, on the returned envelope. If there is no forwarding address, the Claims Administrator will do a computer search for a new address using the Class Member's social security number. Said search will be performed by the Claims Administrator one time per Class Member for each Notice returned without a forwarding address. Upon completion of these steps by the Claims Administrator, Defendant, Class Counsel, and the Claims Administrator shall be deemed to have satisfied their obligations to provide the Notice to the affected Class Member and, regardless of whether the affected Class Member actually receives the Notice, the affected Class Member shall remain a member of the Settlement Class and shall be bound by all the terms of the Settlement and the Court's Final Order and Judgment.
- d. Class Counsel shall provide to the Court, at least seven (7) calendar days before the final fairness hearing, a declaration by the Claims Administrator of due diligence and proof of mailing with regarding to the mailing of the Notice.

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### SETTLEMENT AWARD PROCESS

16. Settlement Awards to Class Members shall be distributed by the Claims Administrator within ten (10) calendar days of receipt of all settlement amounts in the Settlement Account pursuant to Paragraph 11(i). Settlement Award checks shall remain valid and negotiable for one hundred and eighty (180) calendar days from the date of their issuance and will automatically be cancelled by the Claims Administrator if not cashed by the Class Member within that time, at which point the Class Member's claim will be deemed void and of no further force or effect, and the Class Member's claims will remain released by the Settlement. The Settlement Award checks provided to Class Members shall prominently state the expiration date or a statement that the checks will expire in one hundred and eighty (180) days, or alternatively, such a statement may be made in a letter accompanying the checks. Expired Settlement Award checks will not be reissued, except for good cause and as mutually agreed upon by the Parties in writing. Upon completion of its calculation of payments, the Claims Administrator shall provide Class Counsel and Defendant's Counsel with a report listing the amount of all payments to be made to each Class Member. Proof of payment will be provided to Class Counsel and Defendant's Counsel within one hundred and eighty (180) calendar days of Settlement Award checks being issued.

### **EXCLUSION PROCESS**

any payment of amounts under this Agreement, by submitting a Request for Exclusion to the Claims Administrator stating that the Class Member wants to be excluded from this Action. Class Members will have thirty (30) calendar days from the initial mailing date of the Notice within which to postmark their Requests for Exclusion to the Claims Administrator. The Claims Administrator will not send any reminder notices to Class Members about the exclusion process. No Request for Exclusion will be honored if postmarked after the thirty (30) calendar-day period. Class Members are responsible for maintaining a photocopy of the Request for Exclusion and record of proof of mailing. Unless a timely and valid Request for Exclusion is filed consistent with the terms of this Settlement, the Class Member shall be bound by this Settlement and the Settlement Class releases stated herein. The Parties agree to meet and confer if the intent of a particular Request for

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Exclusion is ambiguous, and may mutually agree to accept such Request for Exclusion for good cause shown; ambiguous does not mean untimely Requests for Exclusion.

18. Defendant has the right in its sole and exclusive discretion to terminate and withdraw from the Settlement at any time prior to a Final Order and Judgment of the Court if ten percent (10%) or more of all Class Members timely and validly opt out of the Settlement.

### **OBJECTION PROCESS**

19. A Class Member may object to this Settlement. For a Class Member to object to the Settlement, a Class Member must file with the Court no later than the Objection Deadline a notice of objection, signed by the Class Member or his or her counsel, stating the Class Member's: (i) name; (ii) current address; (iii) telephone number; (iv) last 4-digits of his or her social security number; and (v) basis of the objection. The Objection Deadline applies not withstanding any argument regarding non-receipt of the Notice. The Class Member objecting to the settlement must also serve a copy of his or her notice of objection on counsel for the Parties and the Claims Administrator by the Objection Deadline. The postmark date of the filing and service shall be deemed the exclusive means for determining that the notice of objection is timely. The Claims Administrator will not send any reminder notices to Class Members about the objection process. Class Members who fail to make objections in the manner specified above shall be deemed to have waived any objections, and shall be foreclosed from making any objections (whether by appeal or otherwise) to the Settlement. Class Members who file and serve timely notices of objection will have a right to appear at the Court's hearing to provide final approval of the Settlement in order to have their objections heard by the Court. At no time shall any of the Parties or their counsel seek to solicit or otherwise encourage Class Members to submit written objections to the Settlement or appeal from the Court's Final Order and Judgment. Class Counsel shall not represent any Class Members with respect to any such objections to this Settlement. If the Court rejects the Class Member's objection, the Class Member will still be bound by the terms of this Agreement.

### RELEASE OF CLAIMS

20. Upon final approval by the Court of this Settlement, and except as to such rights or claims as may be created by this Settlement, each Class Member who has not submitted a timely and valid Request for Exclusion and without the need to manually sign a release document, in

exchange for the consideration recited in this Agreement, on behalf of himself/herself and on behalf of his/her current, former, and future heirs, executors, administrators, attorneys, agents, and assigns, shall and does hereby fully and finally release the Defendant and Released Parties from any and all state, federal and local claims arising from his/her employment including statutory claims, whether known or unknown, in law or in equity, including but not limited to claims under any legal theory for failure to pay minimum wage, failure to pay overtime compensation, failure to properly calculate overtime compensation, failure to pay for all hours worked, failure to provide meals and rest periods, failure to timely pay wages or compensation or final wages or compensation, failure to reimburse for business expenses, making illegal deductions from wages or compensation, failure to furnish accurate wage statements or other notices, failure to keep accurate records, and any and all claims for recovery of compensation, overtime pay, minimum wage, premium pay, interest and/or penalties of any kind whatsoever, whether known or unknown, whether based on common law, regulations, statute, or a constitutional provision, under state, federal or local law, arising out of the allegations made in the Action and that reasonably arise or could have arisen out of the facts alleged in the Action. Notwithstanding the foregoing, nothing in this Agreement releases any claims that cannot be released as a matter of law. The Notice mailed to the Settlement Class will specifically set forth the claims being released.

### DUTIES OF THE PARTIES PRIOR TO COURT APPROVAL

- 21. Defendant shall promptly seek approval from the BOE and the IFC for approval of this Settlement. Defendant shall present this Settlement to the BOE for approval on or before the November 15, 2022, meeting. Defendant shall present this Settlement to the IFC at the earliest IFC meeting following BOE approval. Defendant shall promptly notify Class Counsel of all developments from the BOE and IFC meetings.
- 22. On or before November 11, 2022, Plaintiff's Counsel shall file a Motion for Preliminary Approval of Collective and Class Action Settlement, submit this Settlement, and request a determination by the Court as to the Settlement's fairness, adequacy, and reasonableness. In so doing, Class Counsel shall apply to the Court for the entry of the Preliminary Approval Order substantially in the following form and as attached hereto as Exhibit B:

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- a. Scheduling a fairness hearing on the question of whether the proposed Settlement, including payment of attorneys' fees and costs, costs of administration, and the Service Awards, should be finally approved as fair, reasonable, and adequate as to the members of the Settlement Class;
- b. Certifying the Settlement Class under Rule 23 of the FRCP, affirming Named-Plaintiffs as Class Representatives, and affirming Thierman Buck, LLP and Gabroy Messer Law Offices as Class Counsel;
- c. Approving as to form and content the proposed Notice attached hereto as Exhibit A;
  - d. Directing the mailing of the Notice by first class mail to the Class Members;
- e. Preliminarily approving the Settlement subject only to the objections of Class Members and final review by the Court; and
- 23. To effectuate the Settlement, the Parties agree that all Court deadlines be continued pending approval of the Settlement.
- 24. If for any reason the Settlement is not approved by the governmental bodies as set forth in Paragraph 21 or the Court as set forth in Paragraph 22, or if Defendant terminates and withdraws from the Settlement pursuant to this Paragraph 18, this Settlement Agreement and any related settlement documents shall be null and void. In such an event, neither the Settlement Agreement, nor the settlement documents, nor the negotiations leading to the Settlement may be used as evidence for any purpose. The Parties will promptly notify the Court in the event that the Settlement is not approved or is terminated and will seek to reinstate all pending case deadlines.

### DUTIES OF THE PARTIES FOLLOWING FINAL COURT APPROVAL

- 25. Following final approval by the Court of the Settlement provided for in this Settlement, Class Counsel will submit a proposed Court's Final Order and Judgment in substantially the form attached hereto as Exhibit C:
- a. Approving the Settlement, adjusting the terms thereof to be fair, reasonable and adequate, and directing consummation of its terms and provisions;

- b. Approving the Class Counsel Payment, not to exceed the maximum amount set forth herein;
- c. Approving the Service Award to the Class Representative, not to exceed the maximum amount set forth herein;
  - d. Approving the Claims Administration fees and costs; and
- e. Entering a final order of the Action, and permanently barring and enjoining all members of the Settlement Class from prosecuting against the Released Parties any individual or class or collective claims released herein, upon satisfaction of all payments and obligations hereunder.

### PARTIES' AUTHORITY

26. The signatories hereto hereby represent that they are fully authorized to enter into this Settlement and bind the Parties hereto to the terms and conditions thereof.

### **MUTUAL FULL COOPERATION**

27. The Parties agree to fully cooperate with each other to accomplish the terms of this Settlement, including but not limited to, execution of such documents and taking such other action as reasonably may be necessary to implement the terms of this Settlement. The Parties to this Settlement shall use their reasonable best efforts, including all efforts contemplated by this Settlement and any other efforts that may become necessary by order of the Court, or otherwise, to effectuate this Settlement and the terms set forth herein. As soon as practicable after execution of this Settlement, Class Counsel shall, with the assistance and cooperation of Defendant and its counsel, take all necessary steps to secure the Court's final approval of this Settlement.

### NO PRIOR ASSIGNMENTS

28. The Parties and their respective counsel represent, covenant and warrant that they have not directly or indirectly, assigned, transferred, encumbered or purported to assign, transfer or encumber to any person or entity any portion of any liability, claim, demand, action, cause of action or right herein released and discharged.

#### NO ADMISSION

29. Nothing contained herein, nor the consummation of this Settlement, is to be construed or deemed an admission of liability, culpability, negligence, or wrongdoing on the part of

any Defendant. Each of the Parties hereto has entered into this Settlement solely with the intention to avoid further disputes and litigation with the attendant inconvenience and expenses.

### **ENFORCEMENT ACTIONS**

30. In the event one or more of the Parties to this Settlement institutes any legal action or other proceeding against any other Party or Parties to enforce the provisions of this Settlement or to declare rights or obligations under this Settlement, the successful Party or Parties shall be entitled to recover from the unsuccessful Party or Parties reasonable attorneys' fees and costs, including expert witness fees incurred in connection with any enforcement actions.

#### **NOTICES**

31. Unless otherwise specifically provided herein, all notices, demands or other communications given hereunder shall be in writing and shall be deemed to have been duly given as of the first business day after mailing by overnight courier with confirmed delivery, addressed as follows:

### To Plaintiff and the Settlement Class:

Mark R. Thierman, Esq. mark@thiermanbuck.com
Joshua D. Buck, Esq. josh@thiermanbuck.com
Leah L. Jones, Esq. leah@thiermanbuck.com
Joshua R. Hendrickson, Esq. joshh@thiermanbuck.com
THIERMAN BUCK LLP
7287 Lakeside Drive
Reno, Nevada 89511

### To Defendant:

Sheri M. Thome, Esq.

Sheri.Thome@wilsonelser.com

WILSON ELSER MOSKOWITZ EDELMAN & DICKER LLP
6689 Las Vegas Blvd. South, Suite 200

Las Vegas, NV 89119

#### CONSTRUCTION

32. The Parties agree that the terms and conditions of this Settlement are the result of lengthy, intensive arms-length negotiations between the Parties, and this Settlement shall not be construed in favor of or against any Party by reason of the extent to which any Party or his, her or its counsel participated in the drafting of this Settlement.

### **CAPTIONS AND INTERPRETATIONS**

33. Paragraph titles or captions contained herein are inserted as a matter of convenience and for reference, and in no way define, limit, extend or describe the scope of this Settlement or any provision of it. Each term of this Settlement is contractual and not merely a recital.

### **MODIFICATION**

34. This Settlement may not be changed, altered, or modified, except in writing and signed by the Parties hereto and approved by the Court. This Settlement may not be discharged except by performance in accordance with its terms or by a writing signed by the Parties.

### **INTEGRATION CLAUSE**

35. This Settlement contains the entire agreement between the Parties relating to the settlement and transaction contemplated hereby, and all prior or contemporaneous agreements, understandings, representations and statements, whether oral or written and whether by a Party or such Party's legal counsel, are merged herein. No rights hereunder may be waived except in writing.

### BINDING ON ASSIGNS

36. This Settlement shall be binding upon and inure to the benefit of the Parties and their respective heirs, trustees, executors, administrators, successors, and assigns; provided, however, that a Party's rights and obligations hereunder may not be assigned or delegated without the express prior written consent of the other Parties.

### **CLASS MEMBER SIGNATORIES**

37. It is agreed that because the Class Members are so numerous, it is impossible or impractical to have each Class Member execute this Settlement. The Notice will advise all Class Members of the binding nature of the release, and the release shall have the same force and effect as if this Settlement were executed by each Class Member.

#### **COUNTERPARTS**

Page 19 of 22

		DocuSigned by:
1		By: Travis Zufelt
2	DATED:	
3		DocuSigned by:
4		By: 71BBD82177634FE
5		Timothy Ridenour
6	DATED:	PLAINTIFF DANIEL TRACY
7		DocuSigned by:
8		By: Daniel Tracy Daniel Tracy
9		Damei Tracy
	Class Counsel	
10	DATED:	THIERMAN BUCK, LLP
11		DocuSigned by:
12		By: Josh Buck
13		Mark R. Thierman
14		Joshua D. Buck Leah L. Jones
15		Joshua R. Hendrickson
16	DATED:	GABROY MESSER
		DocuSigned by:
17		By:
18		Christian Gabroy
19	<b>Defendant</b>	Kaine Messer
20	DATED: 10.17.2022	THE STATE OF NEVADA, EX REL. NEVADA
21		DEPARTMENT OF CORRECTIONS
22		1: 1/
23		By:
24		Print: W. A. "Bill" Gittere
25		Title: Acting Director
26		
27		
28		
		Page 21 of 22

276313346v.1

1	Defendant's (	<u>Counsel</u>	
2	DATED:	0/14/2022	STATE OF NEWADA
3			STATE OF NEVADA, OFFICE OF THE ATTORNEY GENERAL
4			DocuSigned by:
5			By: Steve Shevorski
6			Steve Shevorski
7	DATED: 10	0/13/2022	WILSON ELSER MOSKOWITZ EDELMAN
8			& DICKER LLP
9 10			By: Docusigned by:
11			Sheri Thome
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## **EXHIBIT A**

Class Notice

**EXHIBIT A** 

### UNITED STATES DISTRICT COURT

### DISTRICT OF NEVADA

DONALD WALDEN, JR., et al., etc.,

Case No.: 3:14-cv-00320-MMD-CSD

Plaintiffs,

V

THE STATE OF NEVADA, EX REL. NEVADA DEPARTMENT OF CORRECTIONS, and DOES 1-50,

Defendants.

## NOTICE OF PENDENCY OF COLLECTIVE AND CLASS ACTION SETTLEMENT AND FINAL HEARING DATE

# YOUR LEGAL RIGHTS MAY BE AFFECTED WHETHER YOU ACT OR DO NOT ACT. PLEASE READ THIS NOTICE CAREFULLY.

## SUMMARY OF YOUR LEGAL RIGHTS AND OPTIONS IN THIS SETTLEMENT:

Do Nothing and Remain Eligible to Receive a Payment	To be eligible to receive a cash payment from the Settlement, you do not have to do anything.
and the second	Your potential Settlement Share is based upon the number of workweeks that you worked for as a correctional officer with Defendant the State of Nevada, ex rel. Nevada Department of Corrections, from May 12, 2011 to [insert date of preliminary approval].
	Your estimated Settlement Share is [insert Settlement Share].
	In exchange for participating in the Settlement, you will release your claims against the Defendant, as detailed below.
Exclude Yourself	If you wish to exclude yourself from the Settlement and <i>not</i> release your claims, you must send a written request for exclusion to the Settlement Administrator, as provided below. If you request exclusion, you will not be eligible to receive any cash payment from the Settlement, but you will retain all your legal claims.
	If you have previously filed a consent to join in this action and decide to exclude yourself from this action, you will be required to retain your own legal counsel or proceed on your own behalf.
Object	You may write to the Court about why you do not like the Settlement.

A proposed collective and class action settlement (the "Settlement") of this lawsuit pending in the United States District Court, District of Nevada (the "Court"), has been reached between Plaintiffs Donald Walden Jr., Nathan Echeverria, Aaron Dicus, Brent Everist, Travis Zufelt, Timothy Ridenour, and Daniel Tracy ("Plaintiffs") and Defendant the State of Nevada, Ex. Rel. Department of Nevada Corrections ("Defendant" or "NDOC"). The Court granted preliminary approval of this Settlement on [insert date].

You have received this Class Notice because you have been identified as a member of the Class, which is defined as:

All current and former non-exempt hourly paid employees, including sergeants and lieutenants, who have been employed by Defendant as correctional officers at any time from May 12, 2011 to [insert date of preliminary approval] ("Class Period").

This Class Notice explains the lawsuit, the Settlement, and your legal rights. It is important that you read this Notice carefully as your rights may be affected by the Settlement.

### II. WHAT IS THIS COLLECTIVE AND CLASS ACTION LAWSUIT ABOUT?

On May 12, 2014, a collective and class action lawsuit was filed by Plaintiffs, on behalf of themselves and all other similarly situated employees, for the recovery of unpaid wages under federal and state law (the "Action"). The Action alleged that Defendant violated federal and state wage-hour laws by failing to compensate correctional officers (COs) for time spent performing pre and post shift work activities. The Action asserted five (5) separate causes of action: (1) Failure to pay wages for all hours worked in violation of the Fair Labor Standards Act (FLSA), 29 U.S.C. § 201, et seq.; (2) Failure to pay overtime in violation of the FLSA, 29 U.S.C. § 207; (3) Failure to pay minimum wages in violation of the Nevada Constitution; (4) Failure to pay overtime in violation of NRS 284.180; and (5) Failure to comply with the terms of its contract with Plaintiffs to pay an agreed upon hourly wage for all hours worked.

The Court granted conditional certification in this Action under the FLSA and seven hundred and forty-eight (748) of you previously filed consents to join with the Court on or before May 23, 2022. The Court granted Plaintiffs' motion for summary judgment on the compensability of the pre and post shift work activities at issue in this case under the FLSA but did not rule how much, if any, damages could be recovered. Plaintiffs sought to re-assert the previously alleged state law claims that had previously been dismissed by the Court. Defendant continues to dispute the allegations asserted in the Action, both under the FLSA and under state law, and disputes that amount of potential damages that could be recovered, if at all.

### III. WHAT DOES THE SETTLEMENT PROVIDE?

- A. Maximum Settlement Amount. The total amount of the Settlement is \$55,000,000.00 ("Maximum Settlement Amount"). The Maximum Settlement Amount includes the payment of all Settlement Shares to Participating Class Members, the Class Representative Service Payments to the Plaintiffs, Class Counsels' attorneys' fees and costs, the expenses of the Settlement Administrator, and the employer's share of employment taxes.
- B. Net Settlement Amount. The net amount of the Settlement is the amount to be paid out to Participating Class Members after deducting the court approved payments of the Service Awards to the Class Representatives, Class Counsels' attorneys' fees and costs, the expenses of the

Settlement Administrator, and the employer's share of employment taxes ("Net Settlement Amount"). The Net Settlement Amount shall be distributed to class members who do not request exclusion ("Participating Class Members").

- C. <u>Settlement Share Calculations</u>. The Settlement Share for each Participating Class Member will be calculated on a pro rata basis depending on the total number of workweeks worked by each Participating Class Member in comparison with the hours worked by all Participating Class Members. The Settlement Share ratio for each Participating Class Member will then be adjusted depending on whether the Participating Class Member had previously filed a consent to join in this action on or before May 23, 2022, as follows:
  - i. **Opt-In Class Members:** Settlement Awards for Class Members who had opted-in to this Action as of May 23, 2022. These Class Members will receive two (2) times as much on a per-class member basis than Non Opt-In Class Members.
  - ii. Non Opt-In Class Members: Settlement Awards for Class Members who had not optedin to this Action as of May 23, 2022. These Class Members will receive half (1/2) as much on a per-class member basis than Opt-In Class Members.
- C. <u>Class Representative Service Payment.</u> A Service Award to the Class Representatives of up to \$20,000 to each Named-Plaintiff, or such lesser amount as may be approved by the Court, to compensate them for services on behalf of the Class in initiating and prosecuting the Action, and for the risks they undertook, subject to Court approval.
- D. <u>Attorneys' Fees and Costs.</u> Payment to Class Counsel of no more than \$18,333,333.33 (1/3 of the Maximum Settlement Amount) for all past and future legal fees, and up to \$200,000 for all litigation expenses incurred, both subject to Court approval. Class Counsel has been prosecuting the Action on behalf of Plaintiff and the Class on a contingency fee basis (that is, without being paid any money to date) and has been paying all litigation costs and expenses out of pocket. Class Counsel's attorney-client agreement with Plaintiffs provides for Class Counsel to recover up to 35% of any potential recovery.
- E. <u>Settlement Administration Expenses.</u> Payment to the Settlement Administrator, estimated not to exceed \$50,000, for expenses, including expenses of establishing a settlement account, preparing and sending out this Class Notice, processing opt-outs, and distributing settlement payments.
- F. <u>Tax Matters.</u> Neither Class Counsel nor Defendant's counsel intend anything contained in this Settlement to constitute advice regarding taxes or taxability. You may wish to consult a tax advisor concerning the tax consequences of the payments received under the Settlement.
- G. <u>Court Approval Required.</u> This Settlement is conditioned upon the Court entering an order granting final approval of the Settlement and entering judgment.
- I. <u>Detailed Terms of Settlement.</u> This notice summarizes the Settlement. For the precise terms and conditions of the Settlement, please see the settlement agreement available at <<u>Settlement Administrator's website</u>>, by contacting class counsel at info@thiermanbuck.com or (775) 284-1500, by accessing the Court docket in this case, through the Court's online records at [insert PACER] or by visiting the office of the Clerk of the Court for the United States District Court, District of Nevada, located at 400 S. Virginia St., Reno, NV 89501, between 9:00 a.m. and 4:00 p.m., Monday through Friday, excluding Court holidays. **PLEASE DO NOT TELEPHONE**

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### IV. WHAT AM I GIVING UP IN EXCHANGE FOR THE SETTLEMENT?

By participating in the Action and accepting the settlement payment, you will not be able to make a claim or file a lawsuit for the claims that were alleged in this Action—i.e., that Defendant owes you any unpaid wages for not compensating you for the unpaid pre and post shift activities that you performed during the Class Period. Specifically, the "Release of Claims" set forth in the Settlement states as follows:

Upon final approval by the Court of this Settlement, and except as to such rights or claims as may be created by this Settlement, each Class Member who has not submitted a timely and valid Request for Exclusion and without the need to manually sign a release document, in exchange for the consideration recited in this Agreement, on behalf of himself/herself and on behalf of his/her current, former, and future heirs, executors, administrators, attorneys, agents, and assigns, shall and does hereby fully and finally release the Defendant and Released Parties from any and all state, federal and local claims arising from his/her employment including statutory claims, whether known or unknown, in law or in equity, including but not limited to claims under any legal theory for failure to pay minimum wage, failure to pay overtime compensation, failure to properly calculate overtime compensation, failure to pay for all hours worked, failure to provide meals and rest periods, failure to timely pay wages or compensation or final wages or compensation, failure to reimburse for business expenses, making illegal deductions from wages or compensation, failure to furnish accurate wage statements or other notices, failure to keep accurate records, and any and all claims for recovery of compensation, overtime pay, minimum wage, premium pay, interest and/or penalties of any kind whatsoever, whether known or unknown, whether based on common law, regulations, statute, or a constitutional provision, under state, federal or local law, arising out of the allegations made in the Action and that reasonably arise or could have arisen out of the facts alleged in the Action. Notwithstanding the foregoing, nothing in this Agreement releases any claims that cannot be released as a matter of law.

### V. HOW MUCH WILL MY PAYMENT BE?

Your Settlement Share will be based on the number of workweeks that you worked as a non-exempt hourly paid CO during the Class Period and whether you previously opted-in to the federal portion of the Action.

Defendant's records reflect that you worked [insert number] of workweeks during the Class Period. You [did/did not] file a consent to join in the federal portion of this action on or before May 23, 2022.

Your estimated Settlement Share is [insert Settlement Share]

If you wish to challenge the information set forth above, then you must submit a written, signed dispute challenging the information along with supporting documents, to the Settlement Administrator at the address provided in this Class Notice no later than <a href="date-30">date-30</a> days after mailing of class notice>.

### VI. HOW CAN I BE ELIGIBLE TO RECEIVE A PAYMENT?

To be eligible to receive money from the Settlement, you do not have to do anything. A check for your settlement payment will be mailed automatically to the same address as this Class Notice. If your address is incorrect or has changed, you must notify the Settlement Administrator. The Settlement Administrator is: [insert Settlement Administrator information]

### VII. WHAT IF I DON'T WANT TO BE A PART OF THE SETTLEMENT?

If you do not wish to participate in the Settlement, you may exclude yourself from the Settlement or "opt out." If you opt out, you will not be eligible to receive any money from the Settlement, but you will not be bound by its terms. If you previously filed a consent to join in the federal portion of this Action but wish to opt-out, you will be required to retain your own legal counsel or proceed on your own behalf.

To opt out, you must submit to the Settlement Administrator, by First Class Mail, a written, signed and dated request for exclusion postmarked no later than <30 days after mailing of class notice>. The address for the Settlement Administrator is *Walden, et. al. v. State of Nevada, Ex. Rel. Nevada Department of Corrections*, c/o [insert Settlement Administrator name and information]. The request for exclusion must state in substance: "I have read the Class Notice and I wish to opt out of the class action and settlement of the case *Walden, et. al. v. State of Nevada, Ex. Rel. Nevada Department of Corrections*, Case No.: 3:14-cv-00320-MMD-CSD." The request for exclusion must contain your name, address, signature and the last four digits of your Social Security Number for verification purposes. The request for exclusion must be signed by you. No other person may opt out for a member of the Class.

## VIII. HOW DO I TELL THE COURT THAT I DON'T LIKE THE SETTLEMENT?

Any Class Member, who has not opted out and believes that the Settlement should not be finally approved by the Court for any reason, may object to the proposed Settlement. Objections must be in writing and state the Class Member's name, current address, telephone number, and describe why you believe the Settlement is unfair and whether you intend to appear at the final approval hearing. All objections or other correspondence must also state the name and number of the case, which *is Walden, et. al. v. State of Nevada, Ex. Rel. Nevada Department of Corrections*, Case No.: 3:14-cv-00320-MMD-CSD.

To object to the Settlement, you must not opt out. If the Court approves the Settlement, you will be bound by the terms of the Settlement in the same way as Class Members who do not object. Any Class Member who does not object in the manner provided in this Class Notice shall have waived any objection to the Settlement, whether by appeal or otherwise.

If you file a timely written objection, you may, but are not required to, appear at the Final Approval Hearing, either in person or through your own attorney. If you appear through your own attorney, you are responsible for hiring and paying that attorney. All written objections and supporting papers must (a) clearly identify the case name and number (*Walden, et. al. v. State of Nevada, Ex. Rel. Nevada Department of Corrections*, Case No.: 3:14-cv-00320-MMD-CSD), (b) be submitted to the Court either by mailing them or by filing them in person with the Clerk of the Court for the Clerk of the Court for the United States District Court, District of Nevada, located at 400 S. Virginia St., Reno, NV 89501, and (c) be filed or postmarked on or before <30 days following mailing of the Class Notice>.

1	The addresses for the Parties' Counsel are as follows:		
2	Class Counsel:  Mark R. Thierman  Counsel for Defendant:  Sheri M. Thome, Fig.		
3	mark@thiermanbuck.com Sheri.Thome@wilsonelser.com		
4	Joshua D. Buck  josh@thiermanbuck.com  WILSON ELSER MOSKOWITZ  EDELMAN & DICKER LLP		
	Leah L. Jones 6689 Las Vegas Blyd. South		
5	leah@thiermanbuck.com Suite 200		
6	Joshua R. Hendrickson Las Vegas, NV 89119 joshh@thiermanbuck.com		
7	THIERMAN BUCK LLP		
8	7287 Lakeside Drive Reno, Nevada 89511		
9	Christian Gabroy christian@gabroy.com		
10	Kaine Messer		
11	kmesser@gabroy.com GABROY MESSER		
12	170 S. Green Valley Pkwy., Suite 280		
	Henderson, Nevada 89012		
13	IX. WHEN AND WHERE WILL THE COURT DECIDE WHETHER TO APPROVE TH	117	
14	SETTLEMENT?	L	
15	The Court will hold a Final Approval Hearing at <time> a.m. on <date>, in Courtroom &lt;#&gt; before Chic</date></time>		
16	Reno, NV 89501. At this hearing, the Court will consider whether the Settlement is fair reasonable and		
17	adequate. The purpose of this hearing is for the Court to determine whether to grant final approval to the Settlement. If there are objections, the Court will consider them. The Court will listen to people who have	0	
18	made a timely written request to speak at the hearing. This hearing may be rescheduled by the Court without further notice to you. You may check the settlement website identified in Section III above to confirm that the date has not been changed. You are not required to attend the Final Approval Hearing,		
19			
20	although any Class Member is welcome to attend the hearing.	,,	
21	X. HOW DO I GET MORE INFORMATION ABOUT THE SETTLEMENT?		
22	You may call the Settlement Administrator at <pre>phone</pre> or write to Walden, et. al. v. State of Nevada, Ex		
	Ret. Nevada Department of Corrections, Settlement Administrator, c/o [insert Settlement Administrator	r	
23	name and information]; or contact Class Counsel at 775-284-1500 or email at info@thiermanbuck.com		
24	This notice summarizes the Settlement. More details are in the Settlement Agreement. You may receive	a	
25	copy of the Settlement Agreement, the Final Judgment or other Settlement documents by writing to Class Counsel, or by going to the Settlement Administrator's website at <a href="website"><u>website</u></a> .	5	
26	PLEASE DO NOT CALL THE COURT ABOUT THIS NOTICE.		
27			

## **EXHIBIT B**

Order Granting Preliminary Approval of Class Action Settlement

**EXHIBIT B** 

1	Mark R. Thierman, Nev. Bar No. 8285	Sheri M. Thome, Esq.
2	mark@thiermanhuok.com	Nevada Bar No. 008657
2	Joshua D. Buck, Nev. Bar No. 12187	WILSON ELSER MOSKOWITZ
3		EDELMAN & DICKER LLP
	Leah L. Jones, Nev. Bar No. 13161	6689 Las Vegas Blvd. South, Suite 200
4	111 1 0 1 1	Las Vegas, NV 89119
	Joshua R. Hendrickson, Nev. Bar No. 12225	Telephone: (702) 727-1400
5	II' 11 (~)(1') 1 1 1	Facsimile: (702) 727-1401
_		Sheri.Thome@wilsonelser.com
6	7287 Lakeside Drive	Thomas will be will be a second to the secon
7	Reno, Nevada 89511	AARON FORD
•	list to the second and a	Attorney General
8		Steve Shevorski
_		Chief Litigation Counsel
9	GABROY LAW OFFICES	Nevada Bar No. 008256
10	Christian Gabroy (#8805)	Kiel B. Ireland
10	Kaine Messer (#14240)	Deputy Attorney General
11	The District at Green Valley Ranch	Nevada Bar No. 15368C
	11 1/0 South Green Valley Parkway, Suite 280	State of Nevada
12	Henderson, Nevada 89012	Office of the Attorney General
10	1101 (102) 239-1111	555 E. Washington Ave., Ste. 3900
13	Tan (702) 233-7704	Las Vegas, NV 89101
14	omistian@gabioy.com	Telephone: (702) 486-3420
17		Facsimile: (702) 486-3773
15		sshevorski@ag.nv.gov
		kireland@ag.nv.gov
16		3 116
17		Attorneys for Defendant The State of Nevada,
1 /		ex rel. its Department of Corrections
18		- · · · · · · · · · · · · · · · · · · ·
	LINUTED OR A royce	DIOTRIC CONTRACTOR
19	UNITED STATES	DISTRICT COURT
20	DISTRICT	OF NEVADA
	DONALD WALDEN ID.	
21	DONALD WALDEN, JR., et al., etc.,	Case No.: 3:14-cv-00320-MMD-WGC
22	Plaintiffs,	
<i>~~</i>	,	ORDER GRANTING PRELIMINARY
23	V.	APPROVAL OF CLASS ACTION SETTLEMENT
24	THE STATE OF NEVADA, EX REL. NEVADA	· · · ·
	DEPARTMENT OF CORRECTIONS, and DOES 1-50,	
25		
26	Defendants.	
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## TO ALL PARTIES AND THEIR RESPECTIVE COUNSEL OF RECORD:

Plaintiffs' Motion for Preliminary Approval of Collective and Class Action Settlement came before this Court, the Honorable Chief Judge Miranda M. Du presiding, on December 1, 2022, at 2:30 p.m.. This Court, having considered the papers submitted in support of the Motion, HEREBY ORDERS THE FOLLOWING:

- 1. This Court grants preliminary approval of the Settlement and the Settlement Classes based upon the terms set forth in the Collective and Class Action Settlement and Release between Plaintiffs Donald Walden, Jr., et. al. and Defendant the State of Nevada, Ex. Rel. Nevada Department of Corrections ("Settlement"). The Settlement preliminarily appears to be fair, adequate, and reasonable to the Class. The Joint Motion for Preliminary Approval is GRANTED.
- 2. The Settlement falls within the range of reasonableness and appears to be presumptively valid, subject only to any objections that may be raised at the final fairness hearing and final approval by this Court.
- 3. A final fairness hearing on the question of whether the proposed Settlement should be finally approved as fair, reasonable, and adequate as to the members of the Class is scheduled in accordance with the Implementation Schedule set forth below.
- 4. This Court approves, as to form and content, the Notice of Pendency of Collective and Class Action Settlement and Final Hearing Date ("Class Notice of Settlement"), in substantially the form attached to the Settlement as Exhibit A. This Court approves the procedure for Class Members to (i) participate (i.e., do nothing), (ii) opt out, or (iii) object, to the Settlement as set forth in the Class Notice of Settlement.
- 5. The Court directs the mailing of Class Notice of Settlement by first class mail to the Class Members in accordance with the Implementation Schedule set forth below. This Court finds the dates selected for the mailing and distribution of the Class Notice of Settlement, as set forth in the Implementation Schedule, meet the requirements of due process and provide the best notice practicable under the circumstances and shall constitute due and sufficient notice to all persons entitled thereto.
- 6. This Court has already conditionally certified the opt-in collective group pursuant to the Fair Labor Standards Act (FLSA) and that decision is not disturbed. It is further ordered that a

Settlement Class, as defined in the Settlement, is preliminarily certified pursuant to Rule 23 of the Federal Rules of Civil Procedure for settlement purposes only.

- 7. This Court re-affirms Plaintiffs DONALD WALDEN JR., NATHAN ECHEVERRIA, AARON DICUS, BRENT EVERIST, TRAVIS ZUFELT, TIMOTHY RIDENOUR, and DANIEL TRACY as Class Representatives.
- 8. This Court re-affirms Mark Thierman, Esq., Joshua Buck, Esq., Leah Jones, Esq., and Joshua R. Hendrickson, Esq. of Thierman Buck, LLP of Reno, Nevada and Christian Gabroy, Esq. and Kaine Messer, Esq. of Gabroy Messer of Henderson, Nevada as Class Counsel.
- 9. This Court confirms [insert Settlement Administrator] as the Settlement Administrator.
  - 10. This Court orders the following Implementation Schedule for further proceedings:

a.	Deadline for Defendant to Submit Class Member Information to Claims Administrator	
b.	Deadline for Claims Administrator to Mail the Notice to Class Members	
c.	Deadline for Defendant to Make Good Faith Deposit To Settlement Account maintained by Claims Administrator	
d.	Deadline for Defendant's Insurer to Make Good Faith Deposit To Settlement Account maintained by Claims Administrator	
e.	Deadline for Class Counsel to File Motion for Final Approval of Fees, Costs, and Service Awards	
f.	Deadline for Class Members to Postmark Requests for Exclusions	

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g.	Deadline for Receipt by Court and Counsel of any Objections to Settlement	, 2023 [30 calendar days after initial mailing of the Notice to Class Members]
h.	Deadline for Class Counsel to file Motion for Final Approval of Settlement, Attorneys' Fees, Costs, and Enhancement Award	, 2023 [7 calendar days prior to Final Approval Hearing]
i.	Deadline for Class Counsel to File Declaration from Claims Administrator of Due Diligence and Proof of Mailing	, 2023 [7 calendar days prior to Final Approval Hearing]
j.	Final Fairness Hearing and Final Approval	, 2023
k.	Deadline for Defendant to Deposit Remaining Settlement Fund To Settlement Account maintained by Claims Administrator	
1.	Deadline for Claims Administrator to wire transfer the Attorneys' Fees and Costs to Class Counsel (if Settlement is Effective)	
m.	Deadline for Claims Administrator to mail the Settlement Awards to Class Members and the Enhancement Awards to Class Representatives (if Settlement is Effective)	
n.	Claims Administrator to File Proof of Payment of Settlement Awards, Enhancement Award, Attorneys' Fees and Costs (if Settlement is Effective)	, 2023 [180 days after Settlement Awards being issued]
о.	Uncashed Checks to be Voided and Monies Remitted	, 2023 [180 days after Settlement Awards being

	11		To State of Nove de	
1			To State of Nevada Unclaimed Property Fund	issued]
2		p.	Unclaimed Settlement Monies	, 2024
			Revert to the State of Nevada	[1 year following Settlement Awards
3				being remitted to State of Nevada
4				unclaimed property fund]
5	IT IS	so	ORDERED.	
6	Dated	d:		
7			Γ	DISTRICT COURT JUDGE
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## **EXHIBIT C**

[Proposed] Order Granting Final Approval of Class Action Settlement

**EXHIBIT C** 

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17		Attorneys for Defendant The State of Nevada,
17		ex rel. its Department of Corrections
18		
19	UNITED STATES	DISTRICT COURT
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20	DISTRICT	OF NEVADA
21	DONALD WALDEN, JR., et al., etc.,	Case No.: 3:14-cv-00320-MMD-WGC
		1, 35
22	Plaintiffs,	[PROPOSED] ORDER GRANTING FINAL
23	v.	APPROVAL OF CLASS ACTION
	THE STATE OF NEWADA EX DEL MEMADA	SETTLEMENT
24	THE STATE OF NEVADA, EX REL. NEVADA DEPARTMENT OF CORRECTIONS, and	
25	DOES 1-50,	
23	<b>D</b> 2 .	
26	Defendants.	
27		I
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- 2. This Court has jurisdiction over the subject matter of this Action and over all Parties to this Action, including all Class Members.
- 3. Distribution of the Notice directed to the Class Members as set forth in the Settlement and the other matters set forth therein have been completed in conformity with the Preliminary Approval Order, including individual notice to all Class Members who could be identified through reasonable effort, and as otherwise set forth in the Settlement. The Notice provided due and adequate notice of the proceedings and of the matters set forth therein, including the proposed Settlement set forth in the Settlement, to all persons entitled to such Notice, and the Notice fully satisfied the requirements of due process. All Class Members and all Released Claims are covered by and included within the Settlement and the Court's Final Order and Judgment.
- 4. The Court hereby finds the Settlement was entered into in good faith. The Court further finds that Plaintiff has satisfied the standards and applicable requirements for final approval of this class action settlement.
- 5. The Court hereby approves the Settlement set forth in the Settlement and finds the Settlement is, in all respects, fair, adequate and reasonable, and directs the Parties to effectuate the Settlement according to its terms. The Court finds that the Settlement has been reached as a result of intensive, serious and non-collusive, arm's-length negotiations. The Court further finds the Parties have conducted extensive and costly investigation and research, and counsel for the Parties are able to reasonably evaluate their respective positions. The Court also finds the Settlement at this time will avoid additional substantial costs, as well as avoid the delay and risks that would be presented by the further prosecution of the Action. The Court has reviewed the benefits that are being granted as part of the Settlement and recognizes the significant value to the Class Members. The Court also finds the Class is properly certified as a settlement class. The Court also hereby finds there were no objections to the Settlement filed prior to or raised by any person on the record at the Final Approval Hearing that change the Court's decision to approve the Settlement; there were only [insert] of persons who opted-out of the settlement.
- 6. As of the date of the Court's Final Order and Judgment, each and every Class Member is and shall be deemed to have conclusively released the Released Claims as against the Defendant and Released Parties. In addition, as of the date of the Court's Final Order and

Judgment, each Class Member who has not submitted a valid Request for Exclusion is forever barred and enjoined from instituting or accepting damages or obtaining relief against the Defendant and Released Parties relating to the Released Claims.

- 7. The Court hereby finds the Maximum Settlement Amount of \$55,000,000.00 provided for under the Settlement to be fair and reasonable in light of all the circumstances. Defendant made a good faith deposit in the amount of \$25,000,000.00 to the settlement account safeguarded and maintained by the Settlement Administrator ("Settlement Account"). The Court hereby orders Defendant to make the remaining settlement fund deposit in the amount of \$30,000,000.00 to the Settlement Account, as set forth in the Settlement. The Court further orders the calculations and the payments of the Net Settlement Amount (Maximum Settlement Amount minus attorneys fees, costs, enhancement payment, and third party administrator fees) to be made and administered to Class Members under the Settlement in accordance with the pro rata distribution as set forth in the Settlement.
- 8. The Court hereby re-affirms Mark R. Thierman, Esq., Joshua D. Buck, Esq., Leah L. Jones, Esq., and Joshua R. Hendrickson, Esq. of Thierman Buck, LLP and Christian Gabroy, Esq. and Kaine Messer, Esq. of Gabroy Messer Law Offices as Class Counsel. Pursuant to the terms of the Settlement, and the authorities, evidence and argument submitted by Class Counsel, the Court hereby awards Class Counsel attorneys' fees in the amount of \$18,333,333.33, and the attorney costs in the amount of \$200,000.00, to be deducted and paid from the Maximum Settlement Amount, as final payment for and complete satisfaction of any and all attorneys' fees and costs incurred by and/or owed to Class Counsel and any other person or entity related to the Action. The Court further orders that the award of attorneys' fees and costs set forth in this Paragraph shall be administered pursuant to the terms of the Settlement, and transferred and/or made payable to Class Counsel in the Action.
- 9. The Court hereby re-affirms Plaintiffs DONALD WALDEN JR., NATHAN ECHEVERRIA, AARON DICUS, BRENT EVERIST, TRAVIS ZUFELT, TIMOTHY RIDENOUR, and DANIEL TRACY as Class Representatives of the Settlement Class and approves and orders a Service Award to each Class Representative in the amount of \$20,000.00 to be paid from the Maximum Settlement Amount as set forth in the Settlement.

1	10. The Court hereby re-affirms [insert Settlement Administrator] as the Claims
2	Administrator and approves and orders payment for actual claims administration expenses incurred
3	by the Claims Administrator in the amount of \$50,000.00 to be paid from the Maximum Settlement
4	Amount as set forth in the Settlement.
5	11. The Court finally finds and orders that the Settlement is and constitutes a fair,
6	reasonable, and adequate compromise of the Released Claims against the Released Party.
7	12. The Court hereby enters judgment in the Action, as of the date of entry of the
8	Court's Final Order and Judgment, pursuant to the terms set forth in the Settlement.
9	13. Should the Settlement Account not be fully funded by Defendant for whatever
10	reason pursuant to the terms of the Settlement, post-judgment interest shall accrue as of the date of
11	this Order until the Settlement Account is fully funded, pursuant to 28 U.S.C. § 1961.
12	14. Without affecting the finality of the Court's Final Order and Judgment in any way,
13	the Court hereby retains continuing jurisdiction over the interpretation, implementation, and
14	enforcement of the Settlement, and all orders entered in connection therewith.
15	15. Finally, the Court hereby orders that the Parties file a "Settlement Status Report"
16	with respect to the status of Settlement payments not later than 180-days following this Order.
17	IT IS SO ORDERED.
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19	Dated:
20	DISTRICT COURT JUDGE
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## **EXHIBIT D**

List of Opt-in Plaintiffs

**EXHIBIT D** 

List of Opt-in Plaintiffs to be provided at a later date.