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SUPERIOR COURT OF CALIFORNIA COUNTY OF TULARE

10/06/2022

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14		
15	FOR THE COU	JNTY OF TULARE
16	DONALD ACKED individually and an	
	RONALD ACKER, individually, and on	Case No.: VCU283076
17	behalf of other members of the general public similarly situated and on behalf of	
	behalf of other members of the general	Case No.: VCU283076 Assigned for All Purposes to: Hon. David C. Mathias, Dept. 1
17	behalf of other members of the general public similarly situated and on behalf of other aggrieved employees pursuant to the	Assigned for All Purposes to: Hon. David C. Mathias, Dept. 1
17 18	behalf of other members of the general public similarly situated and on behalf of other aggrieved employees pursuant to the California Private Attorneys General Act;	Assigned for All Purposes to: Hon. David C.
17 18 19	behalf of other members of the general public similarly situated and on behalf of other aggrieved employees pursuant to the California Private Attorneys General Act; Plaintiff, vs.	Assigned for All Purposes to: Hon. David C. Mathias, Dept. 1 [PROPOSED] FINAL ORDER AND
17 18 19 20	behalf of other members of the general public similarly situated and on behalf of other aggrieved employees pursuant to the California Private Attorneys General Act; Plaintiff, vs. RITCHIE BROS AUCTIONEERS AMERICA INC., an unknown business	Assigned for All Purposes to: Hon. David C. Mathias, Dept. 1 [PROPOSED] FINAL ORDER AND JUDGMENT Hearing Date: October 6, 2022
17 18 19 20 21	behalf of other members of the general public similarly situated and on behalf of other aggrieved employees pursuant to the California Private Attorneys General Act; Plaintiff, vs. RITCHIE BROS AUCTIONEERS	Assigned for All Purposes to: Hon. David C. Mathias, Dept. 1 [PROPOSED] FINAL ORDER AND JUDGMENT
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17 18 19 20 21 22 23	behalf of other members of the general public similarly situated and on behalf of other aggrieved employees pursuant to the California Private Attorneys General Act; Plaintiff, vs. RITCHIE BROS AUCTIONEERS AMERICA INC., an unknown business entity; RITCHIE BROS AUCTION, an unknown business entity; and DOES 1	Assigned for All Purposes to: Hon. David C. Mathias, Dept. 1 [PROPOSED] FINAL ORDER AND JUDGMENT Hearing Date: October 6, 2022 Hearing Time: 8:30 a.m.

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TO ALL PARTIES AND THEIR ATTORNEYS OF RECORD:

The above-referenced Class Action ("Action") having come before the Court on October 6, 2022, for a hearing and Final Order Approving Class Action Settlement and Judgment ("Final Order"), consistent with the Court's December 2, 2021 Preliminary Approval Order and May 27, 2022 Amendment to the Court's December 2, 2021 Preliminary Approval of Class Action Settlement (collectively "Preliminary Approval Order"), and as set forth in the Joint Stipulation of Class Action and PAGA Settlement and Joint Stipulation re Amendment of Order Granting Plaintiff's Motion for Preliminary Approval and Increasing Maximum Settlement Amount (hereinafter "Settlement Agreement" or "Settlement"), and due and adequate notice having been given to all Class Members as required in the Preliminary Approval Order, and the Court having considered all papers filed and proceedings had herein and otherwise being fully informed and good cause appearing therefore, it is hereby ORDERED, ADJUDGED AND DECREED AS **FOLLOWS:**

- 1. All terms used herein shall have the same meaning as defined in the Settlement Agreement.
- 2. Consistent with the definitions provided in the Settlement Agreement, the term "Class" and "Class Members" shall mean the following: "all current or former hourly paid or nonexempt employees who were employed by Ritchie Bros. Auctioneers America Inc. and Ritchie Bros. Auction in the State of California at any time from June 4, 2016 until February 2, 2021".
- 3. This Court has jurisdiction over the subject matter of this Action and over all Parties to this Action, including all Class Members.
- 4. Distribution of the Class Notice directed to the Class Members as set forth in the Settlement Agreement and the other matters set forth therein has been completed in conformity with the Preliminary Approval Order, including individual notice to all Class Members who could be identified through reasonable effort, and the best notice practicable under the circumstances. The Class Notice provided due and adequate notice of the proceedings and of the matters set forth therein, including the proposed Settlement set forth in the Settlement Agreement, to all persons entitled to such Class Notice, and the Class Notice fully satisfied the requirements of due process.

All Class Members and all Released Claims are covered by and included within the Settlement and this Final Order.

- 5. The Court hereby finds the Settlement Agreement was entered into in good faith pursuant to and within the meaning of California Code of Civil Procedure section 877.6. The Court further finds that the Settlement is fair, adequate, and reasonable and that Plaintiff has satisfied the standards and applicable requirements for final approval of this class action settlement under California law, including the provisions of California Code of Civil Procedure section 382 and Federal Rule of Civil Procedure 23, approved for use by the California state courts in *Vasquez v. Superior Court*, 4 Cal.3d 800, 821 (1971).
- 6. The Court hereby approves the Settlement set forth in the Settlement Agreement and finds that the Settlement is, in all respects, fair, adequate and reasonable, and directs the Parties to effectuate the Settlement according to its terms. The Court finds that the Settlement has been reached as a result of intensive, serious and non-collusive arms-length negotiations. The Court further finds that the Parties have conducted extensive investigation and research, and counsel for the Parties are able to reasonably evaluate their respective positions. The Court also finds that Settlement at this time will avoid additional substantial costs, as well as avoid the delay and risks that would be presented by the further prosecution of the Action. The Court has reviewed the benefits that are being granted as part of the Settlement and recognizes the significant value to the Class Members. The Court also finds that the Class is properly certified as a class for settlement purposes only.
- 7. According to the terms of the Settlement Agreement, all Participating Class Members shall fully release and discharge Defendants Ritchie Bros. Auctioneers America Inc. and Ritchie Bros. Auction (collectively "Defendants") as named by Plaintiff in the operative complaint, and their past, present and/or future, direct and/or indirect, officers, directors, members, managers, employees, agents, representatives, attorneys, insurers, partners, investors, shareholders, administrators, parent companies, subsidiaries, affiliates, divisions, predecessors, successors, assigns, and joint venturers (the "Released Parties") from any and all claims that are alleged, or reasonably could have been alleged based on the facts and claims asserted in the

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operative complaint in the Action including the following claims: (i) unpaid wages, failure to pay minimum wage, failure to pay overtime, and any other claim for failure to pay wages under the Labor Code or Wage Order, and any claim for failure to pay wages or overtime wages at the correct regular rate of pay, including claims under Labor Code §§ 510, 1198, 1194, 1197, and 1197.1; (ii) failure to provide meal period premiums or failure to provide meal periods under the Labor Code or Wage Order; (iii) failure to pay rest period premiums or failure to authorize and permit rest periods under the Labor Code or Wage Order; (iv) failure to timely pay wages and/or waiting time penalties pursuant to Labor Code §§ 201 to 203; (v) failure to timely pay wages during employment and/or waiting time penalties pursuant to Labor Code § 204; (vi) failure to issue or provide adequate wage statements, whether for any penalty or wage; pursuant to Labor Code § 226; (vii) failure to maintain records under the Labor Code or Wage Order, including under Labor Code § 1174; (viii) penalties under the California Private Attorneys General Act based on the aforementioned Labor Code violations; (ix) unreimbursed business expenses under Labor Code §§ 2800 and 2802; (x) unfair business practices under Business and Professions Code § 17200 based on the aforementioned alleged Labor Code violations; and (xi) any other claims arising, or which could have arisen, from the operative facts alleged in Plaintiff's Complaint filed on June 4, 2020 (collectively the "Settled Claims" or "Released Claims"). The release of the Released Claims shall be applicable for the period between June 4, 2016 until April 3, 2020 (the "Class Period").

8. Named Plaintiff Ronald Acker, for himself only, also fully releases the Released Parties from any and all Released Claims and also generally releases and discharges the Released Parties from all claims related to his employment or alleged employment with Defendants including all claims alleged in the Action, and all claims known and unknown, without exception, except as may be prohibited by law. Specifically, Plaintiff waives all rights and benefits afforded by California Civil Code Section. Specifically, Plaintiff Ronald Acker waives all rights and benefits afforded by California Civil Code Section 1542, which provides:

A GENERAL RELEASE DOES NOT EXTEND TO CLAIMS THAT THE CREDITOR OR RELEASING PARTY DOES NOT KNOW OR SUSPECT TO

EXIST IN HIS OR HER FAVOR AT THE TIME OF EXECUTING THE RELEASE AND THAT, IF KNOWN BY HIM OR HER, WOULD HAVE MATERIALLY AFFECTED HIS OR HER SETTLEMENT WITH THE DEBTOR OR RELEASED PARTY.

- 9. No Class Member objected to the terms of the Settlement.
- 10. No Class Member requested to be excluded from the terms of the Settlement. Accordingly, 658 Class Members are bound by this Judgment.
- Agreement to be fair and reasonable in light of all of the circumstances. Pursuant to the terms of the Settlement Agreement, the Court orders Defendants to fund the Maximum Settlement Amount of \$1,278,998.00 within ten (10) business days after the Effective Date as set forth in the Settlement Agreement to provide payments for Settlement Checks to all Participating Class Members and all PAGA Members, the PAGA Penalties to the to the California Labor and Workforce Development Agency pursuant to Labor Code Section 2698, *et seq.*, the Class Representative Incentive Payment to Plaintiff, Class Counsel's Fees and Costs, and the Settlement Administrator's Costs. The calculations and the payments shall be made administered in accordance with the terms of the Settlement Agreement.
- 12. Pursuant to the terms of the Settlement Agreement, and the authorities, evidence and argument submitted by Class Counsel, the Court hereby awards Class Counsel attorneys' fees in the amount of thirty-five percent (35%) from the Maximum Settlement Amount (\$447,649.30) and attorneys' costs in the amount of \$15,697.47 from the Maximum Settlement Amount as final payment for and complete satisfaction of any and all attorneys' fees and costs incurred by and/or owed to Class Counsel and any other person or entity related to the Action. The Court further orders that the award of attorneys' fees and costs set forth in this Paragraph shall be administered pursuant to the terms of the Settlement Agreement.
- 13. The Court hereby approves and orders a Class Representative Incentive Payment of \$7,500.00 to named Plaintiff Ronald Acker from the Maximum Settlement Amount in accordance with the terms of the Settlement Agreement.

- 14. The Court also hereby approves and orders payment from the Maximum Settlement Amount for actual settlement administration expenses incurred by the Settlement Administrator, Phoenix Settlement Administrators in the amount of \$10,000.00.
- 15. The Court hereby approves and orders payment of individual settlement payments from the Net Settlement Amount to the Participating Class Members on a *pro rata* basis as set forth in the Settlement Agreement.
- 16. The Court also hereby approves and orders that any checks distributed from the Gross Settlement Amount yet remaining un-cashed after one hundred and eighty (180) calendar days after being issued will be transferred to the California State Controller's Office pursuant to California Civil Code § 1500 and held in trust for such Settlement Class Members. As such, no "unpaid residue" under California Code of Civil Procedure section 384 will result from the Settlement.
- 17. Provided the Settlement becomes effective under the terms of the Settlement Agreement, the Court also hereby orders that the deadline for mailing the Court-approved individual settlement payments, attorneys' fees and costs, and Class Representative Incentive Payment to Plaintiff is as set forth in the Settlement Agreement.
- 18. Neither the Settlement nor any of the terms set forth in the Settlement Agreement is an admission by Defendants, or any of the other Released Parties, nor is this Final Order a finding of the validity of any claims in the Action or of any wrongdoing by Defendants, or any of the other Released Parties. In entering into the Settlement Agreement, Defendants do not admit, and specifically deny they have violated any federal, state, or local law; violated any regulations or guidelines promulgated pursuant to any statute or any other applicable laws, regulations or legal requirements; breached any contract; violated or breached any duty; engaged in any misrepresentation or deception; or engaged in any other unlawful conduct with respect to its employees. Neither the Settlement or the Settlement Agreement, nor any of its terms or provisions, nor any of the negotiations connected with it, shall be construed as an admission or concession by Defendants of any such violations or failure to comply with any applicable law. Except as necessary in a proceeding to enforce the terms of the Settlement Agreement, the