SUPERIOR COURT OF CALIFORNIA, COUNTY OF ORANGE

Civil Complex Center 751 W. Santa Ana Blvd Santa Ana, CA 92701

SHORT TITLE: Lewallen vs. CVS Pharmacy Inc.

CLERK'S CERTIFICATE OF MAILING/ELECTRONIC SERVICE

CASE NUMBER:

30-2020-01152123-CU-OE-CXC

I certify that I am not a party to this cause. I certify that the following document(s), Order - Other dated 08/26/21, have been transmitted electronically by Orange County Superior Court at Santa Ana, CA. The transmission originated from Orange County Superior Court email address on August 26, 2021, at 3:52:09 PM PDT. The electronically transmitted document(s) is in accordance with rule 2.251 of the California Rules of Court, addressed as shown above. The list of electronically served recipients are listed below:

HAMNER LAW OFFICES, APLC CHAMNER@HAMNERLAW.COM

MORGAN, LEWIS & BOCKIUS, LLP JENNIFER.ZARGAROF@MORGANLEWIS.COM

MORGAN, LEWIS & BOCKIUS, LLP JULIANNE.PARK@MORGANLEWIS.COM

Clerk of the Court, by: Lang Brown, Deputy

1	MORGAN, LEWIS & BOCKIUS LLP Jennifer B. Zargarof, Bar No. 204382	SUPERIOR COURT OF CALIFORNIA COUNTY OF ORANGE
2	Julianne G. Park, Bar No. 312791 300 South Grand Avenue	CIVIL COMPLEX LITIGATION CENTER AUG 2 6 2021
3	Twenty-Second Floor	DAVID H. YAMASAKI, Clerk of the Court
4	Los Angeles, CA 90071-3132 Tel: +1.213.612.2500	BY: Lamp war, DEPUTY
5	Fax: +1.213.612.2501 jennifer.zargarof@morganlewis.com	DEPUTY
6	julianne.park@morganlewis.com	
7	Attorneys for Defendants CVS PHARMACY, INC. and CAREMARK, LL	\mathbf{C}
8	Christopher J. Hamner, Esq. (SBN 197117)	
9	HAMNER LAW OFFICES, APLC 26565 West Agoura Road, Suite 200-197	
10	Calabasas, California 91302 Telephone: (888) 416-6654	
11	chamner@hamnerlaw.com	
12	Attorneys for Plaintiff	
13	CAROL LEWALLEN and the proposed class	
14	SUPERIOR COURT OF TH	E STATE OF CALIFORNIA
15	COUNTY OF ORA	NGE - COMPLEX
16		G N G N 00 0000 044 50400 GV
17	CAROLE LEWALLEN, an individual California resident,	Case No Case No. 30-2020-01152123-CU- DE-CXC
18	Plaintiff,	Assigned for All Purposes to the Hon. Glenda Sanders, Dept. CX-101
19		11011. Clouda Dallacis, Dopt. C21-101
19	VS.	
20	CVS PHARMACY, INC., a Rhode Island	CLASS ACTION
	CVS PHARMACY, INC., a Rhode Island corporation; CAREMARK, LLC, a California limited liability company, and DOES 1 through	CLASS ACTION ORDER GRANTING PRELIMINARY
20	CVS PHARMACY, INC., a Rhode Island corporation; CAREMARK, LLC, a California limited liability company, and DOES 1 through 100, inclusive,	CLASS ACTION ORDER GRANTING PRELIMINARY APPROVAL OF CLASS AND PAGA SETTLEMENT AGREEMENT AND
20 21	CVS PHARMACY, INC., a Rhode Island corporation; CAREMARK, LLC, a California limited liability company, and DOES 1 through	CLASS ACTION ORDER GRANTING PRELIMINARY APPROVAL OF CLASS AND PAGA
20 21 22	CVS PHARMACY, INC., a Rhode Island corporation; CAREMARK, LLC, a California limited liability company, and DOES 1 through 100, inclusive,	CLASS ACTION ORDER GRANTING PRELIMINARY APPROVAL OF CLASS AND PAGA SETTLEMENT AGREEMENT AND
20 21 22 23	CVS PHARMACY, INC., a Rhode Island corporation; CAREMARK, LLC, a California limited liability company, and DOES 1 through 100, inclusive,	CLASS ACTION ORDER GRANTING PRELIMINARY APPROVAL OF CLASS AND PAGA SETTLEMENT AGREEMENT AND
20 21 22 23 24	CVS PHARMACY, INC., a Rhode Island corporation; CAREMARK, LLC, a California limited liability company, and DOES 1 through 100, inclusive,	CLASS ACTION ORDER GRANTING PRELIMINARY APPROVAL OF CLASS AND PAGA SETTLEMENT AGREEMENT AND
20 21 22 23 24 25	CVS PHARMACY, INC., a Rhode Island corporation; CAREMARK, LLC, a California limited liability company, and DOES 1 through 100, inclusive,	CLASS ACTION ORDER GRANTING PRELIMINARY APPROVAL OF CLASS AND PAGA SETTLEMENT AGREEMENT AND

The Motion for Preliminary Approval of Class and Representative Action Settlement ("Motion") filed by Plaintiff Carole Lewallen ("Plaintiff") came on regularly for hearing on May 21, 2021. Having reviewed the Motion, the Supplemental Declaration of Christopher J. Hamner ("Supplemental Hamner Declaration") and exhibits thereto, including the Second Amended Class and PAGA Settlement Agreement and Release ("Settlement Agreement") (see Exhibit A to Supplemental Hamner Declaration, ROA No. 60), and good cause appearing therefore, the Court hereby finds and orders as follows:

- 1. The Motion concerns the following putative collective, class, and/or representative actions brought against Defendants CVS Pharmacy, Inc., and Caremark, LLC (collectively, "Defendants" or "CVS").
- 2. On November 4, 2019, Plaintiff filed a putative collective, class, and representative action in the United State District Court, Central District of California, Case No. 8:19-cv-02121-DOC-ADS, against Defendants alleging causes of action for failure to pay minimum wages, failure to pay overtime compensation, failure to provide meal and rest periods, failure to timely pay all wages to terminated employees, failure to provide accurate itemized statements, misclassification, injunction, unfair competition, and under the Private Attorneys General Act of 2004 ("PAGA").
- On March 12, 2020, the Parties filed a Joint Stipulation for Remand to State Court.
 On March 13, 2020, the Court remanded the case to Superior Court of the State of California,
 County of Orange.
- 4. On July 20, 2020, Plaintiff filed a putative class and representative action alleging Defendants (1) failed to pay minimum wages; (2) failed to provide rest breaks; (3) failed to provide meal periods; (4) failed to pay overtime wages; (5) failed to furnish accurate itemized wage statements; (6) failed to timely pay all wages to terminated employees; (7) violated California's Unfair Competition Law, California Business and Professions Code section 17200 et seq.; and (8) violated provisions of the Labor Code giving rise to civil penalty liability under the Labor Code Private Attorneys General Act of 2004 [Lab. Code § 2699, et seq.].
 - 5. On August 25, 2021, Plaintiff filed an amended complaint, based on the Parties'

joint stipulation, alleging Defendants (1) failed to pay minimum wages; (2) failed to provide rest breaks; (3) failed to provide meal periods; (4) failed to pay overtime wages; (5) failed to maintain and furnish accurate itemized wage statements; (6) failed to timely pay all wages to terminated employees; (7) failed to timely pay wages during employment; (8) failed to pay accrued vacation; (9) violated California's Unfair Competition Law, California Business and Professions Code section 17200 et seq.; and (10) violated provisions of the Labor Code giving rise to civil penalty liability under the Labor Code Private Attorneys General Act of 2004 [Lab. Code § 2699, et seq.].

- 6. It appears to the Court on a preliminary basis that the \$500,000 Gross Settlement falls within the range of reasonableness of a settlement which could ultimately be given final approval by this court. Further, it appears to the court on a preliminary basis that the settlement amount is (a) fair, adequate and reasonable to the Class Members when balanced against the probable outcome of further litigation relating to liability and damages issues; (b) sufficient investigation and research have been conducted such that counsel for the Parties at this time are able to reasonably evaluate their respective positions; (c) settlement at this time will avoid additional costs by all Parties, as well as avoid the delay and risks that would be presented by the further prosecution of the Action; and (d) the settlement has been reached as the result of serious, arms-length negotiations.
- 7. With respect to the Class and for purposes of proceeding pursuant to California Code of Civil Procedure § 382 for approval of the settlement only, the Court finds on a preliminary basis that (a) Class Members are ascertainable and so numerous that joinder of all Class Members is impracticable; (b) there are questions of law and fact common to the Class that predominate over any questions affecting only individual Class Members; (c) Plaintiffs' claims are typical of the Class' claims; (d) class certification is a superior method for implementing the Settlement and adjudicating this Action in a fair and efficient manner; (e) the Class Representative can fairly and adequately protect the Class' interests; and (f) Class Counsel are qualified to serve as counsel for the Class.
- 8. The Court provisionally certifies for settlement purposes only the following class ("Class"):

All employees who worked as Nurse Clinical Educators for Caremark, LLC, in the state of California, between November 4, 2015 to August 25, 2021 (the date of Preliminary Approval.)

- 9. The Court appoints Carole Lewallen as the Class and PAGA Representative for Settlement purposes.
- 10. The Court appoints Christopher J. Hamner of HAMNER LAW OFFICES, APLC as Class Counsel for Settlement purposes.
- 11. The Court appoints Phoenix Class Action Administration Solutions as the Settlement Administrator.
- 12. The Parties are ordered to carry out the Settlement according to the terms of the Amended Settlement Agreement attached as Exhibit A to the Supplemental Hamner Declaration (ROA 60).
- Settlement (together with the opt-out and objection forms) ("Class Notice"), attached **as Exhibit**A to this Order. The Court finds that the notice plan is the best means practicable under the circumstances for providing notice to the Class Members, and when completed, shall constitute due and sufficient notice of the class action, proposed settlement, and the final approval hearing to all persons entitled to such notice in compliance with the notice requirements of Code of Civil Procedure § 877.6.
- 14. A Final Fairness and Approval Hearing shall be held before this Court on December 10, 2021 at 1:30 p.m. in Department CX101 of the Superior Court of the State of California, County of Orange, located at 751 W. Santa Ana Blvd., Santa Ana, California. All papers in support of final approval and related awards for fees, costs, and Plaintiff's enhancement must be filed and served at least 16 court days before the final approval hearing.

/	//	1	7	//	//	//	7	//	//	7	//	7	//	7	//	//	7.	//	//	/	//	//	//	/	//	//	/	/,	//	//	//	//	//	7	//	//	/	//	//	7,	//	//	/	//	/	/,	//	/.	//	/	//	//	7.	//	7.	//	7.	//	7,	//	/,	//	1	//	/	//	//	7	//	7.	//	//	//	//	7.	Z		
/,	//	//	7	//	//	//	Z	//	//	//	//	7,	//	7	//	//	7.	//	//	7	//	//	//	7	//	//	/	7,	//	//	7,	//	//	7.	//	//	7.	//	//	7,	//	//	7,	//	//	//	//	7.	//	7	//	//	7.	//	7.	//	7,	//	7.	//	7,	//	1/.	//	//	7,	//	7.	//	7.	//	//	7,	//	7.	//	1	
/,	//	//	Ż	//	//	//	7	//	//	7	//	7	//	7	//	//	Z	//	//	7	//	//	//	/	//	//	7	/,	//	//	7	//	//	7.	//	//	7	//	//	Z	//	//	7	//	//	/,	//	7.	//	7	//	//	7.	//	7	//	7	//	7.	//	7	//	7.	//	//	7,	//	7.	//	7.	//	//	7	//	7	Z	//	7

The Court reserves the power to adjourn or continue the date of the Final Fairness 15. and Approval Hearing and all dates provided for in the Settlement and retains jurisdiction to consider all further applications arising out of or connected with the proposed Settlement. The class should be informed of any continuance(s) on the Administrator's website. IT IS SO ORDERED. AUG 2 6 2021 DATED: JUDGE OF THE SUPERIOR COURT

EXHIBIT A

NOTICE OF PROPOSED CLASS ACTION SETTLEMENT

Carole Lewallen. v. CVS Pharmacy, Inc., et al., Superior Court of the State of California, County of Orange, Case No. 30-2020-01152123-CU-OE-CXC

A court authorized this Notice. This is not a solicitation by a lawyer. You are not being sued.

IF YOU WERE EMPLOYED AS NURSE CLINICAL EDUCATOR FOR CAREMARK, LLC IN CALIFORNIA AT ANY TIME DURING THE PERIOD OF NOVEMBER 4, 2015 TO [DATE OF PRELIMINARY APPROVAL], THIS PROPOSED CLASS ACTION SETTLEMENT MAY AFFECT YOUR RIGHTS.

Why should you read this Notice?

A proposed settlement (the "Settlement") has been reached in the class and representative action lawsuits entitled *Carole Lewallen. v. CVS Pharmacy, Inc., et al.*, Superior Court of the State of California, County of Orange, Case No. 30-2020-01152123-CU-OE-CXC between Plaintiffs Carole Lewallen ("Plaintiff") and Defendants CVS Pharmacy, Inc., and Caremark, LLC ("Defendants" or "CVS").

The purpose of this Notice of Proposed Class Action Settlement ("Notice") is to briefly describe the Action and to inform you of your rights and options in connection with the Action and the proposed Settlement. The proposed Settlement will resolve all claims in the Action.

A hearing regarding final approval of the proposed Settlement will be held before the Honorable Glenda Sanders on ______, at _____, in Department CX-101 of the Orange County Superior Court, 751 West Santa Ana Blvd., Santa Ana, California 92701, to determine whether the Settlement is fair, adequate, and reasonable ("Final Approval Hearing"). As a Settlement Class Member, you are eligible to receive an individual Settlement Share under the Settlement and will be bound by the release of claims described in this Notice and the Settlement Agreement filed with the Court, unless you timely request to be excluded from the Settlement.

Your	Libicall <mark>Regions and Openions in Thirs Stephilipalibrius</mark>
Do Nothing	If you do nothing, you will be considered part of the Settlement Class and will receive settlement benefits as explained more fully below. You will also give up rights to pursue a separate legal action against Defendants for the Released Claims asserted in the Action as explained more fully below.
EXCLUDE YOURSELF FROM THE SETTLEMENT CLASS	You have the option to pursue separate legal action against Defendants about the claims in the Action (in court or in arbitration, as applicable). If you exclude yourself from the Settlement (also called "opting out"), you will give up your right to receive your Individual Class Settlement Payment (described below), although you will still receive your pro-rata share, if any, of the PAGA Employee Payment (also described below). If you exclude yourself, you retain any right to pursue a separate legal action against Defendants regarding the claims in this Action. If you want to exclude yourself, you must do so by submitting a written Exclusion Request by the Response Deadline set forth below.
Овјест	To object to the Settlement, you may mail a written explanation of why you don't like the Settlement to the Settlement Administrator, appear at the final approval hearing, or hire an attorney at your expense to object for you. This option is available only if you do <u>not</u> exclude yourself from the Settlement. Do <u>not</u> submit an Exclusion Request if you wish to object.

Who is affected by this proposed Settlement?

The Court has certified, for settlement purposes only, the following class (the "Settlement Class"):

All current and former employees who worked as Nurse Clinical Educators for Caremark, LLC, in the state of California, at any time during the period of November 4, 2015 through [Date of Prelim. Approval] ("Class Period").

According to Defendants' records, you are a member of the Settlement Class (a "Class Member").

What is this case about?

In the Action, Plaintiff Carole Lewallen ("Plaintiff") allege on behalf of herself and the Settlement Class that Defendants: (1) failed to pay minimum wages; (2) failed to provide rest breaks; (3) failed to provide meal periods; (4) failed to pay overtime wages; (5) failed to furnish accurate itemized wage statements; (6) failed to timely pay all wages to terminated employees; (7) violated California's Unfair Competition Law, California Business and Professions Code section 17200 et seq.; and (8) violated provisions of the Labor Code giving rise to civil penalty liability under the Labor Code Private Attorneys General Act of 2004 [Lab. Code § 2699, et seq.]. Plaintiff seeks unpaid wages, actual damages, statutory penalties, civil penalties under PAGA, restitution, interest, attorneys' fees, and costs.

Defendants deny all liability and are confident they have strong legal and factual defenses to these claims. However, Defendants recognize the risks, distractions, and costs associated with litigation. Defendants contend that their conduct is and has been lawful at all times relevant and that Plaintiff's claims do not have merit and do not meet the requirements for class certification.

This Settlement is a compromise reached after good faith, arm's-length negotiations between Plaintiff and Defendants (the "Parties"), through their attorneys, and is not an admission of liability on the part of Defendants. Both sides agree that, in light of the risks and expenses associated with continued litigation, this Settlement is fair, adequate, and reasonable. Plaintiff also believe this Settlement is in the best interests of all Settlement Class Members.

The Court has not ruled on the merits of Plaintiff's claims or Defendants' defenses.

Who are the attorneys representing the Parties?

The Court has granted preliminary approval of the Settlement and has appointed the lawyers bringing the Class Action to serve as "Class Counsel" to represent all Settlement Class Members affected by the Settlement. The attorneys representing the Settlement Class Members are:

Class Counsel

Christopher J. Hamner, Esq. HAMNER LAW OFFICES, APLC 26565 West Agoura Road, Suite 200-197 Calabasas, California 91302 Telephone: (888) 416-6654 chamner@hamnerlaw.com

What are the Settlement terms?

Subject to final Court approval, Defendants will pay \$500,000 (the "Gross Settlement Amount") for: (a) Class Settlement Payments to Settlement Class Members who do not request to be excluded from the Settlement ("Participating Class Members"); (b) the Court-approved Service Enhancement to Plaintiff; (c) the Court-approved attorneys' fees and costs to Class Counsel; (d) the costs of administering the Settlement; (e) payment to the State of California Labor and Workforce Development Agency ("LWDA") for PAGA penalties; and (f) the PAGA Employee Payment to the PAGA Employees.

<u>Individual Class Settlement Payments.</u> After deduction from the Gross Settlement Amount for Class Counsel's attorneys' fees and costs, the Service Enhancement to Plaintiff, the payment to the LWDA for PAGA penalties, the PAGA Employee Payment to the PAGA Employees, and the costs of administering the Settlement, there will be a Net Settlement Amount. From this Net Settlement Amount, Defendants will make an individual Class Settlement Payments to each Settlement Class Member who does not ask to be excluded from the Settlement ("Participating Class Members").

The Net Settlement Amount shall be divided among all Participating Class Members on a pro rata basis based upon the total number of workweeks each respective Participating Class Member worked as Nurse Clinical Educator by Caremark, LLC in California during the Class Period. Your estimated individual Settlement Share is listed on the **Settlement Payment Information Sheet** accompanying this Notice.

For tax reporting purposes, the payments to Participating Class Members will be allocated 25% as wages, 50% as penalties, and 25% as interest. The wage portion of the individual Class Settlement Payments shall be subject to the withholding of applicable local, state, and federal taxes, and the Settlement Administrator shall deduct applicable employee-side payroll taxes from the wage portion of the individual Class Settlement Payments. The portion of the Class Settlement Payments allocated to penalties and interest shall be classified as other miscellaneous income and reported on IRS Form 1099-MISC. Any taxes owed on that other miscellaneous income will be the responsibility of each Participating Class Member receiving those payments. The employer's share of any payroll taxes will be separately paid by Defendants.

Any amount paid to Participating Class Members will not count or be counted for determination of eligibility for, or calculation of, any employee benefits (for example, vacations, holiday pay, retirement plans, non-qualified deferred compensation plans, etc.), or otherwise modify any eligibility criteria under any employee pension benefit plan or employee welfare plan sponsored by Defendants, unless otherwise required by law.

Settlement checks will remain valid for 180 days from issuance. If a settlement check remains uncashed after 180 days, the Settlement Administrator shall distribute the value of the uncashed checks to the State of California Controller pursuant to the Unclaimed Property Law, Code of Civil Procedure section 1500, et seq. with the identity of the Participating Class Members to whom the funds belong to be held for them. The Settlement Administrator shall void any tax documents issued to Participating Class Members who did not cash their checks within 180 days of issuance. In such event, the Participating Class Members will remain bound by the terms of the Settlement and all Court orders.

None of the Parties or attorneys make any representations concerning the tax consequences of this Settlement or your participation in it. Settlement Class Members should consult with their own tax advisors concerning the tax consequences of the Settlement. Class Counsel is unable to offer advice concerning the state or federal tax consequences of payments to any Settlement Class Member.

Class Counsel Attorneys' Fees and Costs, Class Representative Service Enhancements, Settlement Administration Costs, and Payment to the LWDA. Class Counsel will ask the Court to award attorneys' fees up to \$165,000.00 (33%) of the Gross Settlement Amount and reimbursement of reasonable litigation costs, not to exceed \$15,000, incurred in the Action. In addition, Class Counsel will ask the Court to authorize Class Representative Service Enhancement in a total amount of up to \$25,000 to Plaintiff Carole Lewallen for her efforts in bringing the case on behalf of the Class. The Parties estimate that the cost of administering the Settlement will not exceed \$3,000. A payment in the amount of \$15,000 will also be made to the LWDA for its share of PAGA penalties, which represents 75% of the \$20,000 set aside for payment under PAGA; the remaining \$5,000 ("PAGA Employee Payment") of the \$20,000 allocated to PAGA penalties shall be distributed pro rata to those Class Members ("PAGA Employees") who worked as Nurse Clinical Educators for Caremark, LLC in California at any time during the period of October 21, 2018 to [Date of Preliminary Approval] ("PAGA Period"); the prorata distribution shall be based on the number of pay periods each PAGA Employee worked during the PAGA Period.

What claims are being released by the proposed Settlement?

Upon the final approval by the Court of this Settlement, and except as to such rights or claims as may be created by the Settlement, Plaintiff and all Participating Class Members release Defendants CVS Pharmacy, Inc., Caremark, LLC, and their affiliates, divisions, subsidiaries, parents, predecessors, any merged entity or merged entities and/or its or their present and former officers, partners, directors, managers, supervisors, employees, attorneys, agents, shareholders and/or successors, assigns, trustees, heirs, administrators, executors, representatives and/or principals thereof, from Class Claims include all wage and hour claims, rights, demands, liabilities and causes of action of every nature and description related to the claims litigated in the Action, or claims that were or could have been brought as part of the Action against Defendants, including without limitation statutory, constitutional, contractual or common law claims for wages, damages, unpaid costs, penalties, liquidated damages, punitive damages, interest, restitution, equitable relief, or any other relief,

including, but not limited to, claims based on the following categories of allegations during the Class Period: (a) all claims for unpaid overtime; (b) all claims for meal and rest period violations; (c) all claims for unpaid minimum wages; (d) all claims for failure to timely pay wages upon termination; (e) all claims for failure to timely pay wages during employment; (f) all claims for failure to pay wages; (g) all claims for failure to provide accurate, itemized, or otherwise proper wage statements; (h)all claims for failure to reimburse business expenses; (i) all claims asserted through California Labor Code sections 2699 et seq. (the Private Attorneys General Act of 2004 ("PAGA") arising out of the aforementioned claims; (j) all claims asserted through California Business & Professions Code §17200 et seq. arising out of the aforementioned claims; and (l) all other claims for penalties, liquidated damages, punitive damages, interest, attorneys' fees, litigation costs, restitution, equitable relief, or additional damages that allegedly arise out of the aforementioned claims.

The Released Class Claims specifically include any and all claims arising under the California Labor Code, including, without limitation, §§ 201-204, 210, 218.5, 226, 226.3, 226.7, 227.3, 510, 512, 558, 1174, 1174.5, 1175, 1182.12, 1194, 1194.2, 1197, 1197.1, 2802, 2698-2699.5, Cal. Business & Professions Code §§ 17200, et seq., and Cal. Code of Civil Procedure § 1021.5, any derivative claims based on such alleged violations, including those under the Fair Labor Standards Act ("FLSA") or any applicable California Industrial Welfare Commission Wage Order.

All Participating Class Members will release any and all causes of action under the FLSA relating to claims alleged or which could have been alleged in the Action based on the factual allegations in the FAC.

What are my options in this matter?

You have two options under this Settlement, each of which is further discussed below. You may: (A) remain in the Settlement Class and receive a Class Settlement Payment; or (B) exclude yourself from the Settlement. If you choose option (A), remaining in the Settlement Class, you may also object to the Settlement, as explained below.

If you remain in the Settlement Class, you will be represented at no cost by Class Counsel. Class Counsel, however, will not represent you for purposes of making objections to the Settlement. If you do not exclude yourself from the Settlement, you will be subject to any Judgment that will be entered in the Action, including the release of the Released Class Claims as described above.

OPTION A. Remain in the Class. If you wish to remain in the Settlement Class and be eligible to receive a Class Settlement Payment, you do not need to take any action. By remaining in the Settlement Class and receiving a Class Settlement Payment, you consent to the release of the Released Class Claims described above.

Objecting to the Settlement: If you believe the proposed Settlement is not fair, reasonable, or adequate, you may object to it. To object, you may appear in person (including by telephone) at the Final Approval Hearing, have an attorney object for you, or mail a written statement of objection ("Notice of Objection") to the Settlement Administrator at Lewallen v. CVS et al., c/o Phoenix Settlement Solutions, 1411 N. Batavia St., Suite 105 Orange, CA 92867. If you submit a written objection, the Notice of Objection must be postmarked no later than [60 days after mailing of Class Notice] and set forth the following: (1) the name of the case and case number (shown on page 1 of this Notice), (2) your full name, address, and dates of employment, (3) the basis for any objection, and (4) the name and address of your counsel if you are represented by counsel for purposes of objecting. The Parties shall file responses to any Notices of Objection before the Final Approval Hearing. If you do not object in writing, the Court may, in its sole discretion, permit you to state any objections you may have at the Final Approval Hearing. Even if you submit an objection, you will be bound by the terms of the Settlement, including the release of the Released Class Claims set forth above, unless the Court does not grant final approval of the Settlement.

OPTION B. Request to Be Excluded from the Settlement and Receive No Money from the Class Settlement Payment. If you do not want to be part of the Settlement, you must sign and return the attached "Request for Exclusion" to the Settlement Administrator at Lewallen v. CVS et al., c/o Phoenix Settlement Solutions, 1411 N. Batavia St., Suite 105 Orange, CA 92867. In order to be valid, your Request for Exclusion must include your name, address, telephone number and the last four digits of your social security number (to confirm your identity

and make certain that only persons requesting exclusion are removed from the Settlement), along with a statement like the following:

"I WISH TO BE EXCLUDED FROM THE CLASS IN THE 'LEWALLEN v. CVS' CLASS ACTION LAWSUIT. I UNDERSTAND THAT IF I ASK TO BE EXCLUDED FROM THE CLASS, I WILL NOT RECEIVE ANY MONEY FROM THE SETTLEMENT."

Your Request for Exclusion must then be *signed by you* and *postmarked no later than* [60 days after mailing of Class Notice]. If you do not submit a signed Request for Exclusion on time (as evidenced by the postmark), your Request for Exclusion will be rejected, you will be deemed a Participating Class Member, and you will be bound by the release of Released Class Claims as described above and all other terms of the Settlement. You will still receive your pro-rata portion of the PAGA Employee Payment.

What is the next step in the approval of the Settlement?

The Court will hold the Final Approval Hearing to decide whether the Settlement is fair, reasonable, and adequate on ______, at _____, in Department CX-101 of the Orange County Superior Court, 751 West Santa Ana Blvd., Santa Ana, California 92701. The Final Approval Hearing may be continued without further notice. You need not attend the Final Approval Hearing to receive a Class Settlement Payment.

If the Court grants Final Approval of the Settlement, the Order granting Final Approval and entering a Judgment will be posted on a website created by the Settlement Administrator for this case for a period of 90 days following the entry of that Order in the Court record, in compliance with California Rules of Court, rule 3.771. That website is: www.Phoenixclassaction.com/LewallenCVS.com.

Class Settlement Payments will be mailed to Participating Class Members after the Court grants Final Approval of the Settlement. If the Court grants Final Approval, there may be appeals. If there are any appeals, resolving them could take some time, so please be patient.

It is your responsibility to maintain your current address with the Settlement Administrator, Phoenix Class Action Administration Solutions. If you move, you should send a letter updating your address to Lewallen v. CVS et al., c/o Phoenix Settlement Solutions, 1411 N. Batavia St., Suite 105 Orange, CA 92867. Maintaining your current address with the Settlement Administrator is the best way to ensure that you receive your Class Settlement Payment.

How can I get additional information?

This Notice summarizes the Action and the basic terms of the Settlement. More details are in the Consolidated Amended Complaint and the Class and PAGA Settlement Agreement and Release, which are posted on the Settlement Administrator's website. These documents and all other records relating to the Action are available for inspection and/or copying at the Civil Records Office of the Orange County Superior Court. You may also request a copy of the Settlement Agreement from Class Counsel, at the addresses listed above. You may also locate court records on the court website by visiting:

https://ocjustice.occourts.org/civilwebShoppingNS/ShowCase.do?index=0&number=30-2020-01152123-CU-OE-CXC&tab=0#caseAnchor

PLEASE DO <u>NOT</u> CONTACT THE COURT, CVS, OR CVS'S COUNSEL FOR INFORMATION REGARDING THIS SETTLEMENT.

REQUEST FOR EXCLUSION FROM CLASS ACTION SETTLEMENT

Carole Lewallen. v. CVS Pharmacy, Inc., et al., Superior Court of the State of California, County of Orange, Case No. 30-2020-01152123-CU-OE-CXC

What is this form for?

Fill out and sign this form ONLY IF you do not want to receive funds from this class action settlement and do not want to release any claims. If you exclude yourself, you will not receive any money from Class Settlement Payments. You cannot opt out of the PAGA Employee Payment, and you will receive your pro-rata portion of the PAGA Employee Payment, if any, even if you submit this Request for Exclusion from Class Action Settlement.

When must this be sent?

You must mail this so that it is postmarked no later than [Insert Date]. If you mail it so that it is postmarked after [Insert Date], then this exclusion will be deemed invalid and you will be bound to the terms of the settlement.

Where should this be mailed to?

Mail this completed form to Lewallen v. CVS et al., c/o Phoenix Settlement Solutions, 1411 N. Batavia St., Suite 105 Orange, CA 92867.

I WISH TO BE EXCLUDED FROM THE CLASS IN THE *LEWALLEN v. CVS* CLASS ACTION LAWSUIT. I UNDERSTAND THAT IF I ASK TO BE EXCLUDED FROM THE CLASS, I WILL NOT RECEIVE ANY MONEY FROM THE CLASS SETTLEMENT.

I confirm that I was employed by CVS Pharmacy, Inc., and/or Caremark, LLC and worked as an hourly employee within the State of California at any time between November 4, 2015 through [Date of Prelim. Approval]. I confirm that I received a notice of the proposed settlement in this action. I have decided to be excluded from the class and I have decided not to participate in the proposed settlement. I will/have sought the advice of counsel with respect to the applicable statute of limitations.

1 (dille)		
Address:		
Telephone:		
Last Four Social Security Number:		
Signature	Date:	

Name:

NOTICE OF OBJECTION TO CLASS ACTION SETTLEMENT

Carole Lewallen. v. CVS Pharmacy, Inc., et al., Superior Court of the State of California, County of Orange, Case No. 30-2020-01152123-CU-OE-CXC

What is this form for?

If you believe the proposed Settlement is not fair, reasonable, or adequate, you may object to it by filling out this form. You are not excluding yourself from the settlement by submitting this form.

When must this be sent?

You must mail this so that it is postmarked no later than [Insert Date].

Where should this be mailed to?

Mail this completed form to Lewallen v. CVS et al., c/o Phoenix Settlement Solutions, 1411 N. Batavia St., Suite 105 Orange, CA 92867.

Please state: (1) your full name, address, and name and address of your counsel if you are			ıe
mane and address of your coaliser if you are	represented by counser for p	ourposes or objecting.	
		· · · 	
·			
Name:			
Address:			-
Telephone:			
Last Four Social Security Number:			
Cionatura	Data		