

1 Alan Harris (SBN 146079)  
2 David Garrett (SBN 160274)  
3 HARRIS & RUBLE  
4 655 North Central Avenue, 17<sup>th</sup> Floor  
5 Glendale, CA 91203  
6 Telephone: (323) 962-3777  
7 Facsimile: (323) 962-3004

8 David Harris (SBN 215224)  
9 North Bay Law Group  
10 116 E. Blithedale Ave.  
11 Ste. 2  
12 Mill Valley, CA 94941  
13 Telephone: (415) 388-8788  
14 Facsimile: (415) 388-8770

15 *Attorneys for Plaintiffs and the Settlement Class*

16  
17 **SUPERIOR COURT OF THE STATE OF CALIFORNIA**  
18 **FOR THE COUNTY OF SAN FRANCISCO**

19 TANIKA TURLEY and CHRISTOPHER  
20 THOMPSON, individually and on behalf of all  
21 others similarly situated,

22 Plaintiff,

23 v.

24 CHIPOTLE SERVICES, LLC and DOES 1-100,  
25 inclusive,

26 Defendant.

Case No. CGC-15-544936  
*Assigned to Hon. Anne-Christine Massullo*

**NOTICE OF MOTION AND MOTION FOR  
FINAL APPROVAL OF CLASS-ACTION  
SETTLEMENT; MEMORANDUM OF  
POINTS AND AUTHORITIES IN SUPPORT  
THEREOF**

*[Filed concurrently with the Declaration of  
Phoenix Administration, Declaration of  
Alan Harris; [Proposed] Order Granting  
Motion for Final Approval and Judgment*

Date: February 19, 2021  
Time: 9:15 a.m.  
Judge: Hon. Anne-Christine Massullo  
Dept.: 304  
Civic Center Courthouse  
400 McAllister Street  
San Francisco, CA 94102

Complaint Filed: March 25, 2015  
Class Cert. Granted: Nov. 2, 2018  
Prelim. App. Granted: Oct. 2, 2020

ELECTRONICALLY  
**FILED**  
*Superior Court of California,  
County of San Francisco*

**01/22/2021**  
**Clerk of the Court**  
BY: JUDITH NUNEZ  
Deputy Clerk

1 **TO THE COURT, ALL PARTIES, AND THEIR ATTORNEYS OF RECORD:**

2 **PLEASE TAKE NOTICE** that on **February 19, 2021**, at **9:15 a.m.**, or as soon thereafter as  
3 counsel may be heard, in **Department 304** of the San Francisco County Superior Court, located at Civic  
4 Center Courthouse, 400 McAllister Street, San Francisco, CA 94102, Plaintiff **TANIKA TURLEY**  
5 (“Turley” or “Plaintiff”) will move, and hereby does move, this Court for entry of a judgment, pursuant  
6 to California Rules of Court, Rules 3.766 and 3.769, and Code of Civil Procedure section 382, as follows:

- 7 1. Granting final approval of the Class Action Settlement Agreement and Release of Claims  
8 (the “Settlement”) between Plaintiff, individually and on behalf of all others she seeks to  
9 represent, and Defendant Chipotle Services, LLC (“Chipotle” or “Defendant”);
- 10 2. Finally approving the adequacy of the Class Notice and the procedures that provided  
11 notice to the Settlement Class and their opportunity to submit claims, opt out, and object;
- 12 3. Finally certifying the Settlement Class for settlement purposes only;
- 13 4. Finally appointing Plaintiff Tanika Turley as the Class Representative;
- 14 5. Finally appointing Plaintiff’s counsel as Class Counsel;
- 15 6. Determining the reasonableness of the request for an enhancement fee for Plaintiff;
- 16 7. Determining the reasonableness of the request for attorneys’ fees and reimbursement of  
17 costs incurred by Class Counsel.

18 Good cause exists for the granting of this motion as the proposed settlement is fair, adequate, and  
19 reasonable. The settlement has been received enthusiastically by the 7,081 class members. As of the  
20 filing hereof, with the opt-out and objection deadline having passed, there were no objections filed by  
21 any class members, no disputes, and only five opt outs (a 99.93% participation rate).

22 The motion is based on this Notice, the Memorandum of Points and Authorities, the Declaration of  
23 Claims Administration, the declarations of Alan Harris and Plaintiff, the complete files and records of this  
24 case, and any other evidence or argument which may be considered by the Court at the time of the hearing.

25 DATED: January 20, 2021

HARRIS & RUBLE



26  
27  
28  
Alan Harris  
David Garrett  
*Attorney for Plaintiffs*

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1 **MEMORANDUM OF POINTS AND AUTHORITIES**

2 **I. INTRODUCTION**

3 On October 2, 2020, this Court granted Preliminary Approval of the class action settlement in  
4 this case. The Parties now request final approval of the Settlement between Plaintiff, on behalf of the  
5 Settlement Class Members, on the one hand, and Defendant Chipotle Services, LLC (“Defendant” or  
6 “Chipotle”), on the other hand.<sup>1</sup> The \$1,750,000 Settlement is fair, adequate, and reasonable. Plaintiff’s  
7 counsel secured a settlement on behalf of the Settlement Class Members that will result in an average  
8 net recovery of nearly \$146 for each of the 7,081 Class Members, an excellent result.<sup>2</sup> Good cause  
9 exists for the granting of this motion as the proposed settlement is fair, adequate, and reasonable. The  
10 settlement has been received enthusiastically by the 7,081 class members. As of the filing hereof, there  
11 are no objections from any class members<sup>3</sup>, no disputes and only five opt outs (a 99.93% participation  
12 rate).

13 Defendant called upon experienced counsel—DLA Piper LLP, Sheppard Mullin and Messner  
14 Reeves—three formidable defense firms. Nevertheless, Plaintiff achieved an outstanding result with a  
15 \$1,750,000 settlement, which will provide payment to approximately 7,081 participating Class Members  
16 on account of alleged Labor Code violations. Decl. of Alan Harris in Support of Mot. for Final  
17 Approval (“Harris Decl.”) ¶ 4. In litigating this case for five years, Plaintiff’s counsel overcame a  
18 vigorous defense from three experienced law firms, a Motion to Decertify (which was denied) and a  
19 Motion for Summary Judgment (which was withdrawn). Plaintiff’s counsel conducted eight depositions,  
20 engaged in voluminous written discovery, and prevailed in motions to compel discovery responses. In  
21

22 <sup>1</sup> Unless otherwise noted, capitalized terms are as defined in the Settlement.

23 <sup>2</sup> The Parties estimate the Net Distributable Amount will be approximately \$1,039,175. The Entire  
24 Net Distributable Amount will be paid to the Participating Class Members. With approximately 7,081  
25 Class Members, the average net payment to each Class Member will be \$146 (= \$1,039,175 [Net  
26 Distributable Amount]/7,081 [Class Members]).

27 <sup>3</sup> Josh Barber (“Barber”), who is not a class member, has attempted to file an objection (the “Barber  
28 Objection”). The Barber Objection should be disregarded as only class members have the right to object  
to a class settlement. Gould v. Alleco, Inc. (4th Cir. 1989) 883 F2d 281, 284; In re School Asbestos  
Litig. (3rd Cir. 1990) 921 F2d 1330, 1332-1333. “California Rules of Court, rule 3.769 requires class  
representatives to notify *class members* of a pending settlement on the merits and provide them with the  
opportunity to object at the final settlement fairness hearing.” Hernandez v. Restoration Hardware, Inc.,  
4 Cal. 5th 260, 266, 409 P.3d 281, 285 (2018)(emphasis added). Barber is not a class member, and is  
not entitled to object or receive notice. Therefore, the Barber Objection should be disregarded.

1 addition, Plaintiff’s counsel fended off a Motion to Intervene, organized a global mediation of this case  
2 and three other PAGA cases, which were all settled, and is currently fighting off a second Motion to  
3 Intervene. Importantly, the participation rate of **99.93%** indicates that the settlement has been well-  
4 received by the members of the class.<sup>4</sup> Further, there are no disputes or objections from any class  
5 members. Thus, it is evident that the Settlement has been received positively by virtually all members  
6 of the Class. Accordingly, Plaintiff respectfully requests that the Court grant final approval of the  
7 Settlement so that the settlement funds may be distributed to the class.

8 **II. FACTUAL AND PROCEDURAL BACKGROUND**

9 **A. Procedural Background**

10 On March 25, 2015, Turley filed a Complaint on behalf of herself and other non-exempt  
11 employees who worked for Defendant in California, alleging: 1) failure to pay all earned wages upon  
12 termination (Labor Code §§201, 202 and 203); (2) unfair business practices (Bus. and Prof. Code  
13 (“BPC”) §17200); and (3) violation of the Private Attorney General Act (“PAGA”). Turley sought lost  
14 wages, interest, penalties, injunctive relief, attorneys’ fees and expenses. On July 23, 2015, Turley filed  
15 a First Amended Complaint (the “FAC”).

16 The Parties litigated the case extensively for five years, including formal written discovery,  
17 multiple depositions, the gathering of hundreds of declarations, and substantial motion practice.  
18 Thereafter, Plaintiff filed a Motion for Class Certification. On November 2, 2018, the Court issued an  
19 Order granting, in part, Plaintiff’s motion for class certification of a class with wage statement claims  
20 under Labor Code section 226 (the “Certified Class”) and denying Plaintiff’s motion with respect to the  
21 final pay, meal period, rest period, and derivative claims. The Court found that Turley was an adequate  
22 class representative for the Certified Class. The Certified Class contains approximately 7,081 class  
23 members who are all current and former non-exempt employees of Defendant, hired *before* August 1,  
24 2014 and who worked in California at any time during the Class Period (from October 1, 2014 through  
25 approximately March 31, 2015). Class Cert. Order, pp. 20-23.

26 Following Class Certification, the parties set up a mediation involving several Chipotle cases  
27 under the guidance of highly experienced wage and hour neutral, Jeff Krivis. To facilitate mediation,

28 <sup>4</sup> Only 5 individuals out of 7,081 requested exclusion from the settlement. Phoenix Decl. ¶ 14.

1 Defendant provided data on the number of paystubs issued, the number of class members, the number of  
2 workweeks at issue, and other relevant class data. This case was settled at that mediation, along with  
3 the other cases that were subject to the mediation.<sup>5</sup>

4 The Parties initially presented the Settlement in this matter for Preliminary Approval on  
5 February 24, 2020, but the Court denied the Motion without prejudice and expressed concerns regarding  
6 the appropriateness of Plaintiff to represent the broader class she initially sought to represent. After the  
7 proposed Settlement was resubmitted, the Court denied preliminary approval on or about July 1, 2020,  
8 focusing its concerns on the broader class of employees who had signed arbitration agreements.

9 Thereafter, based upon the Court's guidance, the Parties engaged in additional talks and, with  
10 input from the mediator and Judge Cheng at a Mandatory Settlement Conference, achieved a settlement  
11 limited to the Certified Class that addressed the Court's concerns with the initial settlement. On October  
12 2, 2020, this Court granted Preliminary Approval of the class action settlement.

13 **B. Discovery & Investigation**

14 Class Counsel conducted extensive formal discovery that yielded information and documentation  
15 concerning: the claims set forth in the Litigation, Defendant's policies and procedures regarding the  
16 payment of wages, the provision of meal and rest breaks, time keeping, including recording of hours  
17 worked, issuance of wage statements, and providing all wages at separation, as well as information  
18 regarding the number of putative class members and the mix of current versus former employees, the  
19 average number of hours worked, the wage rates in effect, and length of employment for the average  
20 putative class member.

21 Class Counsel represent that they conducted a thorough investigation into the facts of this case,  
22 and diligently pursued an investigation of the claims, including: (1) interviewing Class Members and  
23 analyzing the results of the interviews; (2) reviewing relevant policy documents; (3) researching the  
24 applicable law and the potential defenses; and (4) reviewing time records and pay data. The Parties have  
25 conducted significant investigation of the facts and law both before and after the Action was filed. Class  
26 Counsel facilitated the formal request for the records of Plaintiff pursuant to Cal. Labor Code sections 226

---

27 <sup>5</sup> These other PAGA-only cases were consolidated in Stanislaus Superior Court in *Porrás v.*  
28 *Chipotle Services, LLC*, Case No. CV-19-000937. The court in *Porrás* approved that PAGA-only  
settlement and entered Judgment thereon on June 19, 2020.



1 and 1198.5. Plaintiff diligently pursued an investigation of the claims, any and all applicable defenses,  
2 and the applicable law. Harris Decl. ¶¶6-12. The investigation included formal written discovery,  
3 depositions, and exchange of data pursuant to mediation.

4 The Parties litigated the case extensively for over five years, including exchanging multiple rounds  
5 of formal discovery (Chipotle provided 25,000 pages of payroll data, multiple depositions, Plaintiff  
6 deposed Chipotle PMK, a Team Director with responsibility for some 54 restaurants, as well a senior  
7 store manager), and engaging in substantial motion practice. Plaintiff’s counsel, moreover, interviewed  
8 dozens of class members and reviewed 350 declarations provided by Chipotle. Chipotle, for its part,  
9 deposed five class members and Plaintiff’s expert. Plaintiff’s counsel also reviewed the expert report of  
10 Berger Consulting Group, LLC (“BCG”), which analyzed timekeeping data for 11,000 class pay periods.

11 Chipotle vigorously denied the allegations and filed a Motion for Summary Judgment. Plaintiff  
12 considered the expense and length of continued proceedings through trial and possible appeals. Plaintiff  
13 also considered the uncertainty and risk of the outcome of further litigation, and the difficulties and  
14 delays inherent in such litigation, including the special issues involved in class actions. Defendant  
15 concluded that, because of the substantial expense of defending against the litigation, the length of time  
16 necessary to resolve the issues presented herein, the inconvenience involved, and the concomitant  
17 disruption to its business operations, it is in its best interests to accept the terms of the Settlement.  
18 Based on their own independent investigation and evaluation, Class Counsel are of the opinion that the  
19 Settlement is fair, reasonable and adequate and is in the best interest of the Class in light of all known  
20 facts and circumstances, including the risk of significant delay, defenses asserted by Defendant, and  
21 potential appellate issues. Harris Decl. ¶¶ 6-12.

22 **III. SUMMARY OF THE SETTLEMENT**

23 **A. The Settlement Class**

24 The settlement “Class” shall consist of any current or former employee of Chipotle who was hired  
25 before August 1, 2014 and who worked in California at any time between October 1, 2014 and August 1,  
26 2020 (“Class Period”). Each person in the class is a “Class Member,” and all such persons are referred to  
27  
28

1 as the “Class.”<sup>6</sup> Excluded from the Class are any California employees that are members of the collective  
2 action in the currently pending Turner v. Chipotle Mexican Grill, Inc., *Case No. 1:14-cv-02612-JLK-CBS*  
3 or who have filed individual arbitrations related to that action, as well as any other person who has a  
4 pending arbitration or lawsuit as of August 1, 2020.

5 **B. Settlement Terms**

6 In consideration for the release of claims, Defendant shall pay, or cause to be paid, the total sum  
7 of **\$1,750,000.00** (the “Gross Settlement Amount”), in cash, for payment of all claims, payment of  
8 claims administration, attorney fees, attorney expenses, a payment to the LWDA, and a service award to  
9 Plaintiff. The following litigation costs and costs of administration will be deducted from the Gross  
10 Settlement Amount: (a) claims administration fees not to exceed \$50,000; (b) a net payment to the  
11 LWDA of \$50,000; (c) a service award to Plaintiff Turley not to exceed \$2,500 for her services as Class  
12 Representative; (d) attorney fees in an amount not to exceed 33.33% (\$583,275) and reimbursement of  
13 actual costs not to exceed \$25,000. The “Net Settlement Amount,” the amount available for payment of  
14 claims to Class Members after deducting the above-referenced fees and costs from the Gross Settlement  
15 Amount will be \$1,039,225.

16 **Claims Administration Costs and Expenses.** The estimated costs and fees associated with  
17 administration of the Settlement is \$50,000. Settlement, ¶ C(17). The proposed administrator, Phoenix  
18 Class Action Administration (“Phoenix”) submitted a bid, capped at \$49,500, slightly less than the  
19 allocated cost used for the calculations herein.

20 **LWDA Payment.** In connection with the releases provided in the Settlement of penalty claims  
21 for alleged violations of Labor Code sections 201-203 of the California Labor Code pursuant to PAGA,  
22 California Labor Code section 2698 *et seq.*, Chipotle, via the claims administrator, will pay the *net*  
23 amount of Fifty Thousand Dollars (\$50,000.00) to the Labor Workforce Development Agency (the  
24 “LWDA”). Settlement, ¶ I(r). Because the net payment of \$50,000 represents 75% of the civil  
25 penalties, the total civil penalties would be \$66,667. *See Brooks v. AmeriHome Mortgage Co., LLC*  
26 (2020) 47 Cal.App.5th 624, 628-629 (“If the PAGA action results in penalties, LWDA recovers 75  
27

28 <sup>6</sup> There are 7,081 class members (slightly higher than originally estimated), of which approximately 6,400 are former employees.

1 percent and the aggrieved employees recovers the remaining 25 percent of those penalties.”

2 **Class Representative Enhancement Fee.** In recognition of her efforts and risk in prosecuting  
3 this matter, Plaintiff has applied for an enhancement fee of up to Two Thousand Five Hundred Dollars  
4 (\$2,500) for the services rendered as a class representative. Settlement, ¶ I(r).

5 **Attorneys’ Fees and Costs.** Plaintiff’s counsel intends to apply to the Court for a fee award, plus  
6 expenses and costs incurred. Defendant will not object to a claim for attorneys’ fees of up to 33.33% of  
7 the Gross Settlement Amount (or \$583,275), and actual costs as documented in billing statements,  
8 estimated not to exceed \$25,000. Settlement, ¶ II(r).

9 **Net Settlement Amount.** The “Net Settlement Amount” will be \$1,039,225. This is the net  
10 amount available for payment of claims to Class Members after deducting the above-referenced fees and  
11 costs from the Gross Settlement Amount. This will result in an average payment of approximately \$147  
12 (\$1,039,225 / 7,076) to each Class Member. Class Members do not have to submit claims forms or take  
13 any action to participate.

14 Class Members who do not affirmatively opt-out of the settlement will be mailed a check in an  
15 amount equal to a pro-rata share of the Net Settlement Amount based on the Class Members’ pay  
16 periods worked during the Class Period. This average payment to each Class Member will be  
17 approximately \$147. The allocation of payment of claims between the class members and tax treatment  
18 of such claims shall be 25% wages, 25% interest, and 50% penalties.<sup>7</sup>

19 If the amount of uncashed first checks exceeds \$27,500, the Parties will facilitate a second  
20 distribution to the Class Members who cashed their checks during the first round (the “Second  
21 Distribution”) in hopes of providing as much relief as practicable to the Class Members.<sup>8</sup> If any checks  
22 sent pursuant to the Second Distribution are not cashed within 180 days of payment, the funds shall be

23  
24 <sup>7</sup> The tax treatment of 25% wages, 25% interest, and 50% penalties is based on the estimated  
25 allocation of the claims released. Because the class was certified for the wage statement portion, the  
26 parties gave a 50% weight to penalties to take into account the potential penalties being released under  
27 Labor Code §226. Because uncertified wage claims such as §203 (Continuing Wages), Meal Breaks  
28 (§226.7) and Rest Breaks (§226.7) were also being released, the parties assigned 25% of the payments  
as wages. The final 25% in interest was allocated for the UCL Claim being released. Harris Decl., ¶10.

<sup>8</sup> This takes into account the costs of administering the second mailing, which would be  
approximately \$6,500, providing for checks of at least \$3.00 each to the Class Members. The  
administration costs for the Second Distribution, if it occurs, will be in addition to the estimated cost for  
initial administration of the Settlement.

1 paid to the California pro bono law firm, Public Counsel, if approved by the Court as the *cy pres*  
2 recipient, in accordance with CCP section 384, subd. (b). No counsel or party has any interest or  
3 involvement in the governance of work of the proposed *cy pres* recipient. The Court has indicated that it  
4 will consider whether the proposed *cy pres* recipient is appropriate at final approval.

5 **C. The Release**

6 If approved by the Court, the settlement will be binding on all Class Members who have not  
7 excluded themselves from the settlement. The Released Claims include claims which could have been  
8 pled based on or reasonably related to the facts and claims alleged in the Complaint, FAC, SAC, TAC,  
9 or arising out of or reasonably related to the transactions and occurrences pled in the Complaint, FAC,  
10 SAC, or TAC. Settlement, ¶X(A)(61). As of the date the Final Approval Order is entered by the Court,  
11 each Class Member who has not opted out will be deemed to have released claims as follows:

12 Once the settlement is finalized, all Class Members who have not submitted timely and  
13 valid Exclusion Letters will release and discharge Defendant, their past or present officers,  
14 directors, shareholders, employees, agents, principals, heirs, representatives, accountants,  
15 auditors, consultants, insurers and reinsurers, and their respective successors and  
16 predecessors in interest, subsidiaries, affiliates, parents and attorneys (the “Released  
17 Parties”) from all claims, demands, rights, liabilities and causes of action that were or could  
18 have been asserted (whether in tort, contract or otherwise) for violation of the California  
19 Labor Code, the California Business and Professions Code, the applicable Industrial  
20 Welfare Commission Orders or any similar state or federal law, whether for economic  
21 damages, non-economic damages, liquidated damages, punitive damages, restitution,  
22 penalties, other monies, or other relief based on any facts, transactions, events, policies,  
23 occurrences, acts, disclosures, statements, omissions or failures to act pled or arising out  
24 of or reasonably related to the facts, transactions, and occurrences pled in the Complaint,  
25 the First Amended Complaint, Second Amended Complaint, or Third Amended Complaint  
26 which are or could be the basis of claims for: (1) unpaid wages; (2) unpaid minimum wages;  
27 (3) unpaid or underpaid overtime wages; (4) failure to provide meal periods and claims  
28 regarding meal period premium pay; (5) failure to provide rest periods and claims regarding  
rest period premium pay; (6) failure to reimburse expenses; (7) failure to provide accurate  
wage statements; (8) failure to timely pay wages upon termination and during employment;  
(9) claims for unfair competition arising from the facts alleged in the operative complaints;  
and (10) related claims for penalties pursuant to the Labor Code Private Attorneys General  
Act of 2004 (“PAGA) for California Labor Code sections 201, 202, and 203 (collectively,  
the “Released Claims”). The release will exclude claims for vested benefits, wrongful  
termination (apart from that of Plaintiff Turley and any other named Plaintiff who will  
execute general releases of claims under Civil Code section 1542), unemployment  
insurance, disability, workers’ compensation, and claims outside of the Class Period. The  
Gross Individual Settlement Payment to Participating Class Members will not result in any  
additional benefit payments beyond those provided by this Agreement to Plaintiff and  
Participating Class Members. Participating Class Members will be deemed to have waived  
all such claims for benefits premised upon the Gross Individual Settlement Payments to  
them, whether known or unknown by them, as part of their Released Claims under this  
Agreement.

Settlement, ¶ X(A)(62-63). The PAGA release is limited only to claims for continuing wages under

1 Labor Code sections 201, 202, and 203.<sup>9</sup>

2 **IV. ARGUMENT**

3 **A. The Court Should Grant Final Approval Of The Proposed Settlement**

4 **1. The Proposed Settlement Is Entitled To A Presumption Of Fairness**

5 A presumption of fairness for class action settlements exists where: (1) the settlement is reached  
6 through arm's length bargaining; (2) investigation and discovery are sufficient to allow counsel and the  
7 court to act intelligently; (3) counsel is experienced in similar litigation; and (4) the percentage of  
8 objectors is small. In re Microsoft I-V Cases, 135 Cal. App. 4th 706, 723 (2006); 7-Eleven Owners for  
9 Fair Franchising v. Southland Corp., 85 Cal. App. 4th 1135, 1146 (2000). The proposed Settlement  
10 satisfies each of these prerequisites.

11 **a. The Proposed Settlement Was Reached Through Arm's Length Bargaining**

12 The Settlement was reached following extensive negotiations. The settlement negotiations were  
13 at arm's length and, although conducted in a professional manner, were adversarial. Harris Decl. ¶ 10.  
14 The Parties went into settlement discussions willing to explore the potential for a settlement of the  
15 dispute, but each side was also prepared to litigate its position through trial and appeal if a settlement  
16 had not been reached. Harris Decl. ¶ 9. Plaintiff considered the settlement negotiations conducted by  
17 the Parties and the recommendations of the Mediator, who is highly experienced in employment  
18 litigation, including class and complex litigation.

19 **b. Plaintiff Conducted Sufficient Investigation And Discovery To Allow The Court**  
20 **And The Parties To Act Intelligently**

21 Experienced Class Counsel conducted a thorough investigation, engaged in extensive legal  
22 research, and reviewed voluminous documents. Harris Decl. ¶¶8-10. Such discovery and investigation  
23 included, *inter alia*, interviews of potential witnesses and putative class members as well as the  
24 exchange of detailed information regarding the putative class members' employment. Plaintiff and her  
25 counsel diligently pursued an investigation of the Class Members' claims, any and all applicable  
26 defenses, and the applicable law. Id. The investigation included the exchange of information pursuant

27 <sup>9</sup> Importantly, PAGA claims for sections 201 to 203 for the period after September 21, 2017 are  
28 covered by the Porras PAGA settlement for all non-exempt current and former California employees of  
Chipotle. Thus, this release only covers these PAGA claims for the period until September 21, 2017.

1 to discovery and Plaintiff’s records request, as well as extensive formal discovery and meetings between  
2 Class Counsel and Chipotle Counsel. Prior to Mediation, Class Counsel reviewed the time and wage  
3 records and prepared a detailed damages model. Based on this investigation, Class Counsel was able to  
4 act intelligently and effectively in negotiating the proposed Settlement. Id.

5 c. Class Counsel Has Extensive Experience In Class Action Litigation

6 The settlement negotiations were conducted by highly capable and experienced counsel. Class  
7 Counsel are respected members of the bar with a strong record of vigorous and effective advocacy for  
8 their clients, and they are experienced in handling complex wage and hour class-action litigation. In a  
9 four-decade career specializing in the prosecution of class actions, Alan Harris has successfully tried  
10 class actions for plaintiffs and assisted in defending a class action trial for a defendant, Allstate  
11 Insurance Company. Although Plaintiff and her counsel were prepared to litigate the claims in the  
12 Action, they support the proposed Settlement as being in the best interests of the Class Members. Id.

13 d. A Tiny Percentage of Opt Outs and No Class Objections were Received

14 The Settlement has been received positively by the members of the Class. As of the filing  
15 hereof, following the expiration of the Notice period, there are no disputes, no class member objections,  
16 and only five (5) opt outs from the class of 7,081 (0.07%), indicating a participation rate of **99.93%**.  
17 The exceedingly low rate of objections, 0%, is a strong indication of fairness. Microsoft, 135 Cal. App.  
18 4th at 723. “[T]he absence of a large number of objections to a proposed class action settlement raises a  
19 strong presumption that the terms are favorable to the class members.” Nat’l Rural Telecomms. Coop.,  
20 221 F.R.D. at 529; see also Barbosa v. Cargill Meat Sols. Corp., 297 F.R.D. 431, 448 (E.D. Cal. 2013)  
21 (“Where a settlement agreement enjoys overwhelming support from the class, this lends weight to a  
22 finding that the settlement agreement is fair, adequate, and reasonable.”).<sup>10</sup> That not a single class  
23

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24 <sup>10</sup> As stated above, Barber is not a class member, and therefore lacks standing to object. Edwards v.  
25 Heartland Payment Sys., Inc., 29 Cal. App. 5th 725, 734 (2018). Essentially, Barber is attempting to  
26 derail this Settlement while not being subject to the Settlement. Barber has no personal interest in this  
27 outcome, because he is excluded from the class. Hernandez, 4 Cal. 5th at 266.

28 Further, a *class member* has standing to object to an attorney fees award only if he or she is  
“aggrieved” (injured) by the fee award itself. [Glasser v. Volkswagen of America, Inc. (9th Cir. 2011)  
645 F3d 1084, 1088-1089—objector’s contention that plaintiffs’ claims were meritless and that  
settlement would harm objector’s interests as shareholder of defendant or consumer of defendant’s  
products insufficient. Here, Barber is not even a class member, so the objection is unwarranted.

1 member objected to the settlement, despite a 60-day period of time within which to do so, raises a strong  
2 presumption that the settlement was fair, adequate, and reasonable.

## 3 **2. The Proposed Settlement Is Fair, Adequate, And Reasonable**

4 The Settlement is not only presumptively fair and reasonable, it is in fact fair and reasonable.  
5 The trial court has broad discretion to determine “whether a settlement [is] fair and reasonable.” In re  
6 Cellphone Fee Termination Cases, 186 Cal. App. 4th 1380, 1389 (2010). In considering whether a  
7 settlement is reasonable, the trial court should consider relevant factors, which may include the strength of  
8 plaintiff’s case; the risk, expense, complexity and likely duration of further litigation; the risk of  
9 maintaining class action status through trial; the amount offered in settlement; the extent of discovery  
10 completed and the stage of the proceedings; the experience and views of counsel; and the reaction of the  
11 class members to the proposed settlement. Kullar v. Foot Locker Retail, Inc., 168 Cal. App. 4th 116, 128  
12 (2008). In order to approve a class action settlement, the court must satisfy itself that the class settlement  
13 is within the “ballpark” of reasonableness. Id. at 133. “The most important factor is the strength of the  
14 case for plaintiffs on the merits, balanced against the amount offered in settlement.” Kullar, 168 Cal. App.  
15 4th at 130; Clark v. American Residential Servs. LLC, 175 Cal. App. 4th 785, 799 2009. An informed  
16 evaluation of a settlement requires “an understanding of the amount in controversy and the realistic range  
17 of outcomes of the litigation.” Clark, 175 Cal. App. 4th at 801.

18 While Plaintiff and Class Counsel remain confident in the merits of Plaintiff’s case, a legitimate  
19 controversy exists as to each cause of action. Harris Decl. ¶ 9. Plaintiff also recognizes that proving the  
20 amount of wages due to each Settlement Class Member would be an expensive, time-consuming, and an  
21 extremely uncertain proposition. Harris Decl. ¶ 9. Furthermore, the risk that Defendant may appeal  
22

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23 The Barber/Delgado case in Orange County is currently stayed, and set for arbitration in August,  
24 2021. In that case, counsel for Barber has not certified a class, nor have they filed a motion for class  
25 certification or even sent out a Belaire West Notice in five years of litigation. Neither has counsel for  
26 Barber taken more than one deposition or conducted any substantial discovery in five years. Counsel for  
27 Barber has failed to secure any money for any aggrieved Chipotle employees in five years, while class  
28 counsel herein has negotiated the instant settlement and a \$4.9 million settlement in the Porras PAGA  
case. In short, the Barber Objection is based merely on a case of “sour grapes,” having chosen not to  
attend the global mediation for the statewide Chipotle cases that was held in October, 2019.

As will be addressed in a separate response, the Barber Objection is completely meritless on its face.  
This Settlement is an excellent result for the Class Members as indicated by the high participation rate  
and lack of any class member objections.

1 class certification is obviated by the Settlement. Id. Moreover, continued litigation would very likely  
2 reduce and substantially delay recovery by Settlement Class Members. Id. In contrast, because of the  
3 proposed Settlement, Settlement Class Members will receive timely relief and avoid the risk of an  
4 unfavorable judgment. Id. In sum, when the risks of litigation, the uncertainties involved in achieving  
5 class certification, the burdens of proof necessary to establish liability, and the probability of appeal in  
6 the event of a favorable judgment are balanced against the merits of Plaintiff's claims, it is clear the  
7 settlement is well within the "ballpark" of reasonableness and final settlement approval is appropriate.

8 The Parties analyzed these and other Kullar factors at greater length in the preliminary approval  
9 briefing, and agreed that the Settlement is fair, adequate, and reasonable for the Settlement Class  
10 Members. The Court preliminarily concluded the same when preliminarily approving the Settlement.  
11 There is no reason to change that conclusion at this stage, after overwhelming acceptance of the  
12 settlement by the Class.

### 13 **3. Class Counsel's Requested Fees And Costs Are Reasonable**

14 The Settlement provides for attorney's fees to Class Counsel to a maximum fee award of  
15 **\$583,275**, plus reasonable and actual litigation estimated expenses of **\$25,000** (less than the \$38,427.47  
16 actually incurred). Harris Decl. ¶12. The request for attorney's fees is supported by the "common fund  
17 theory," where "one who expends attorneys' fees in winning a suit which creates a fund from which  
18 others derive benefits, may require those passive beneficiaries to bear a fair share of the litigation costs."  
19 Serrano v. Priest, 20 Cal. 3d 25, 35 (1977). This approach "spread[s] litigation costs proportionally  
20 among all the beneficiaries so that the active beneficiary does not bear the entire burden alone." Vincent  
21 v. Hughes Air West, Inc., 557 F.2d 759, 769 (9th Cir. 1977); City and County of San Francisco v.  
22 Sweet, 12 Cal. 4th 105, 110 (1995) (recognizing that the common fund doctrine has been applied  
23 "consistently in California when an action brought by one party creates a fund in which other persons  
24 are entitled to share"). The requested fees are reasonable, as they are well-within the range of fees  
25 generally sought and approved in common fund class action cases.

26 "Empirical studies show that, regardless whether the percentage method or the lodestar method is  
27 used, fee awards in class actions average around one-third of the recovery." In re Consumer Privacy  
28



1 Cases, 175 Cal. App. 4th 545, 558 n.13 (2009) ; Newberg on Class Actions, 4th Ed. 2002, § 14: 6  
2 (historically courts have awarded percentage fees in the range of 20% to 50%).

3 The Court should also consider that the efforts of Class Counsel have resulted in substantial  
4 benefits to the Settlement Class in the form of a significant settlement fund established to compensate for  
5 the alleged wage and hour violations. Without the efforts of Class Counsel, the claims alleged in the  
6 Complaint would likely have gone without remedy. After this case was filed, Chipotle, for the first time,  
7 instituted procedures under which local store managers had authority to pay workers on the day on which  
8 he or she was fired, abandoning its past practice of preparing such checks from an out-of-state center,  
9 resulting in routine late payments of final wages. Additionally, Class Counsel has invested significant  
10 time and resources in this case, with any payment at all, or reimbursement of costs, deferred to the end of  
11 the litigation and entirely contingent on a positive outcome. Harris Decl. ¶ 12. Class Counsel may be  
12 awarded reimbursement of out of pocket costs pursuant to Labor Code section 1194. Plaintiff's request  
13 for costs is reasonable, as they were incurred in prosecuting this action. Harris Decl. ¶ 15. The Class  
14 Counsel hourly rates have been approved by other courts in Los Angeles and San Francisco, as reflected  
15 in recent decisions in state and federal court. Harris Decl. ¶ 20.

#### 16 **4. The Requested Class Representative Enhancement Fees Are Reasonable**

17 As explained in detail in Plaintiff's Fee Motion, the Settlement provides for a total \$2,500 class  
18 representative enhancement fee to Plaintiff, subject to Court approval. Harris Decl. ¶ 7. Named plaintiffs  
19 in class action lawsuits are eligible for reasonable incentive payments as compensation "for the expense  
20 or risk they have incurred in conferring a benefit on other members of the class." Munoz v. BCI Coca-  
21 Cola Bottling Company of Los Angeles, 186 Cal. App. 4th 399, 412(2010). The factors to consider in  
22 determining whether to make an incentive award include: (1) the risk to the class representative in  
23 commencing suit, both financial and otherwise; (2) the notoriety and personal difficulties encountered by  
24 the class representative; (3) the amount of time and effort spent by the class representative; (4) the  
25 duration of the litigation; and (5) the personal benefit (or lack thereof) enjoyed by the class representative  
26 as a result of the litigation. In re Cellphone Fee Termination Cases, 186 Cal. App. 4th at 1394-95, citing  
27 Van Vranken v. Atlantic Richfield Co., 901 F. Supp. 294, 299 (N.D. Cal. 1995). An incentive award to a  
28 class representative must not be disproportionate to the amount of time and energy expended in pursuit of

1 the lawsuit. Id. Incentive awards “are not uncommon and can serve an important function in promoting  
2 class action settlements.” Sheppard v. Consol. Edison Co. of N.Y., Inc., 2002 U.S. Dist. LEXIS 16314 at  
3 \*16 (E.D.N.Y. filed Aug. 1, 2002). “Courts routinely approve incentive awards to compensate named  
4 plaintiffs for the services they provided and the risks they incurred during the course of the class action  
5 litigation.” In re S. Ohio Corr. Facility, 175 F.R.D. 270, 272 (S.D. Ohio 1997).

6 The requested \$2,500 class enhancement fee payment to Plaintiff is reasonable given: (1) the  
7 substantial time and effort Plaintiff has expended on behalf of the Settlement Class; (2) the risks Plaintiff  
8 faces as a result of bringing this Action; (3) the fact that Plaintiff put the interests of the class ahead of her  
9 own; (4) the substantial benefit conferred upon the Settlement Class as result of Plaintiff’s Action; and  
10 (5) the broad release of Plaintiff’s claims. Harris Decl. ¶ 10. Indeed, enhancements are especially  
11 appropriate where, as here, plaintiff is a former employee whose recommendation may be at risk by  
12 reason of having prosecuted the suit, and therefore is lending her name and efforts to the prosecution of  
13 litigation at some personal peril. See, e.g., Roberts v. Texaco, 979 F. Supp. 185, 201 (S.D.N.Y. 1997).

14 **B. The Class Notice Was Appropriate And Satisfied Due Process**

15 The class notice ““must fairly apprise the class members of the terms of the proposed  
16 compromise and of the options open to dissenting class members.”” Wershba v. Apple Computer, Inc.,  
17 91 Cal. App. 4th 224, 251 (2001) (citations omitted). Additionally, the notice should have a reasonable  
18 chance of reaching class members. Cartt v. Superior Court, 50 Cal. App. 3d 960, 974 (1975). Direct  
19 mail notice to class members’ last known address is the best possible notice. Eisen v. Carlisle &  
20 Jacquelin, 417 U.S. 156, 173, 176 (1974); Phillips Petroleum v. Shutts, 472 U.S. 797, 811-812 (1985).

21 Here, Phoenix satisfied these due process prerequisites by ensuring compliance with the Court-  
22 approved notice and procedures. It mailed a straightforward class notice, as approved by the Court, to  
23 all Class Members, using the last available contact information in Defendant’s files, updated as  
24 necessary through a records search. Phoenix Decl. ¶¶5-10. Further, a shortened notice was also emailed  
25 to Class Members directing them to the settlement website, where all relevant documents could be  
26 viewed and downloaded.

27 **C. The Court Should Finally Certify The Class For Settlement Purposes Only**

28 A class may be certified where there is: (1) an ascertainable class; (2) a well-defined community

1 of interest in the questions of law or fact affecting the parties to be represented; and (3) certification that  
2 will provide substantial benefits to litigants and the courts, i.e., proceeding as a class is superior to other  
3 methods. Fireside Bank v. Superior Court, 40 Cal. 4th 1069, 1089 (2007).

4 Plaintiff contends that the Settlement Class is plainly ascertainable. It is objectively defined as  
5 California-based former and current employees of the Defendant which, during any part of the Class  
6 Period, has been classified by Defendant as non-exempt, and contains 7,081 Class Members, who have  
7 been identified through Defendant's personnel records.

8 Plaintiff also contends that the Settlement Class satisfies the community of interest requirement,  
9 which embodies three factors: (1) predominant questions of law and fact; (2) class representatives with  
10 claims or defenses typical of the class; and (3) class representatives who can adequately represent the  
11 class. Dunk v. Ford Motor Co., 48 Cal. App. 4th 1794, 1806 (1996). Plaintiff believes that all of these  
12 requirements are satisfied. The predominance requirement is met, since Plaintiff alleges that  
13 Defendant's policies and practices were uniform as to all Settlement Class Members. Second, typicality  
14 refers to the nature of the claim or defense, and not the specific facts from which it arose. Seastrom v.  
15 Neways, Inc., 149 Cal. App. 4th 1496, 1502 (2007). As Settlement Class Members' claims are based on  
16 the same legal theories, Plaintiff claims that typicality is also satisfied. Third, adequacy exists where the  
17 plaintiff's attorney is qualified, and the plaintiff's interests are not antagonistic to the class. McGhee v.  
18 Bank of America, 60 Cal. App. 3d 442, 450 (1976). Here, Class Counsel includes qualified and  
19 accomplished trial lawyers, and Plaintiff has no antagonistic interests.

20 Finally, Plaintiff contends that a class action is the superior means of resolving this dispute, as  
21 the Settlement Class Members and Court will derive substantial benefits. See Linder v. Thrifty Oil Co.,  
22 23 Cal. 4th 429, 434 (2000) (relevant considerations include whether class approach would deter and  
23 redress alleged wrongdoing). Individual actions arising out of the same operative facts would unduly  
24 burden the courts and could produce inconsistent results. In preliminarily approving the Settlement, the  
25 Court preliminarily accepted these conclusions, and there is no reason to change them now.

26 **D. The Court Should Approve the Request for Fees and Costs**

27 **1. A Contingent Fee Award is Justified by the Common Fund Doctrine**

28 In connection with the \$1,750,000 settlement generated by counsel on behalf of the absent

1 Settlement Class Members whom she seeks to represent, this Court should approve Plaintiff's Request  
2 for Award of Attorneys' Fees, Reimbursement of Costs and Enhancement Award by granting this  
3 application for an award of **\$583,275** for attorneys' fees and **\$25,000** for reimbursement of costs, for a  
4 total of **\$608,275**. The requested fee represents approximately 33.33% of the total settlement value.

5 This amount will compensate fairly Plaintiff's Counsel for work already performed in the case  
6 and for all of the work remaining to be performed in the case, including efforts to ensure that the  
7 settlement is fairly administered and fully implemented. The requested fee represents roughly a third of  
8 the lodestar (the number of hours reasonably expended multiplied by the reasonable hourly rate) of  
9 \$1,611,061.50 in this Action.

10 Defendants called upon experienced counsel—DLA Piper LLP, Sheppard Mullin and Messner  
11 Reeves—three formidable defense firms. Nevertheless, Plaintiff achieved an outstanding result with a  
12 \$1,750,000 settlement. In litigating this case for five years, Plaintiff's counsel overcame a vigorous  
13 defense from the three experienced law firms, a Motion to Decertify (which was denied), a Motion for  
14 Summary Judgment (which was withdrawn), and is currently litigating a second Motion to Intervene.  
15 Plaintiff's counsel conducted eight deposition, voluminous written discovery and prevailed in motions to  
16 compel discovery responses. In addition, Plaintiff's counsel fended off a Motion to Intervene and  
17 organized a global mediation of this case and three other PAGA cases, which were all settled. Chipotle  
18 has advised of significant changes in their payroll practices. These employment practice changes appear  
19 to have been, in part, the result of the efforts of Plaintiffs' counsel in pursuing this case. The outstanding  
20 result warrants a reasonable fee. In re Heritage Bond Litig., 2005 WL 1594403 at \*19 (C.D. Cal. 2005)  
21 (“[t]he result achieved is a significant factor to be considered in making a fee award.”).

22 California public policy recognizes the extreme importance of deterring the type of misconduct  
23 Plaintiff has alleged in this action. California law provides for payment of mandatory attorneys' fees and  
24 costs in the event of the nonpayment of wages. See Cal. Lab. Code § 218.5. California Labor Code  
25 section 2699(g)(1) provides “[a]ny employee who prevails in any action shall be entitled to an award of  
26 reasonable attorney's fees and costs.” Cal. Lab. Code § 2699(g)(1). Labor Code section 226(e)  
27 provides that an employee “is entitled to an award of costs and reasonable attorney's fees.” Cal. Lab.  
28 Code § 226(e). Section 218.5 of the Labor Code provides for the award of attorneys' fees in Labor Law

1 cases “to the prevailing party if any party to the action requests attorney's fees and costs upon the  
2 initiation of the action.” “[S]tatutes governing conditions of employment are to be construed broadly in  
3 favor of protecting employees.” Peabody v. Time Warner Cable, Inc., 59 Cal. 4th 662, 667 (2014);  
4 Bureerong v. Uvawas, 922 F. Supp. 1450, 1469 (C.D. Cal. 1996) (“the California law governing wages  
5 is remedial in nature and must be ‘liberally construed’”). An award of contingent attorney’s fees to  
6 counsel is justified under the “common fund” doctrine. Serrano v. Priest, 20 Cal. 3d 25, 34 (1977). An  
7 attorney who recovers a common fund for the benefit of persons other than his or her clients is entitled  
8 to a fee from the common fund. Mills v. Electric Auto-Lite Co., 396 U.S. 375, 392–96 (1970). It is  
9 well-established that the “experienced trial judge is the best judge of the value of professional services  
10 rendered in [the] court . . . .” Serrano, 20 Cal. 3d at 49.

11 Both state and federal courts in California have embraced this doctrine. Serrano, 20 Cal. 3d at  
12 35; See Vasquez v. Coast Valley Roofing, 266 F.R.D. 482 (E.D. Cal.2010) (in wage-and-hour action  
13 class-action an award of **33.3 percent** appropriate); See also In re Activision Sec. Litig., 723 F. Supp.  
14 1373, 1377–78 (N.D. Cal. 1989) (“*nearly all* common fund awards range around **30%** ”); Betancourt v.  
15 Advantage Human Resourcing, Inc., No. 14-CV-01788-JST, 2016 WL 344532, at \*9 (N.D. Cal. Jan. 28,  
16 2016) (**34.3%** of common fund “fair and reasonable”); Deaver v. Compass Bank, No. 13-CV-00222-  
17 JSC, 2015 WL 8526982, at \*11 (N.D. Cal. Dec. 11, 2015) (**33%**); Boyd v. Bank of Am. Corp., No.  
18 SACV 13-0561-DOC, 2014 WL 6473804, at \*12 (C.D. Cal. Nov. 18, 2014) (**33.3 %**); Fernandez v.  
19 Victoria Secret Stores, LLC, No. CV 06-04149 MMM SHX, 2008 WL 8150856, at \*16 (C.D. Cal. July  
20 21, 2008) (**34%** award is “fair and reasonable”); Stuart v. Radioshack Corp., No. C–07–4499 EMC,  
21 2010 WL 3155645, at \*6 (N.D. Cal. Aug. 9, 2010) (**33.3%**).<sup>11</sup>

22 In the present case, the facts supporting payment of fees by the beneficiaries of the common fund  
23 are satisfied. Under the doctrine, courts have historically and consistently recognized that class  
24 litigation is increasingly necessary to protect the rights of individuals whose injuries and/or damages are  
25

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26 <sup>11</sup> See also Chavez v. Petrissans, Case No. 1:08–cv–00122 LJO GSA, Doc. No. 89 (E.D. Cal.  
27 Dec. 15, 2009) (awarding of attorneys’ fees of 33.3 percent of the common fund); Romero v. Producers  
28 Dairy Foods, Inc., No. 1:05–cv–0484–DLB, 2007 WL 3492841, at \* 4 (E.D. Cal. Nov.14, 2007) (in a  
class-action settlement attorneys’ fees in the amount of 33 percent of common fund were warranted);  
Bond v. Ferguson Enterprises, Inc., No. 1:09–cv–01662–OWW–MJS, 2011 WL 2648879, at \*11 (E.D.  
Cal. June 30, 2011) (approving attorneys’ fees in the amount of 30 percent of the common fund).

1 too small to economically justify individual representation. In Paul, Johnson, Alston & Hunt v. Graulty,  
2 886 F. 2d 268, 271 (9th Cir. 1989), the Ninth Circuit stated that “it is well settled that the lawyer who  
3 creates a common fund is allowed an extra reward, beyond that which he has arranged with his client.”  
4 Paul, Johnson, Alston & Hunt v. Graulty, 886 F. 2d at 271.

5 Accordingly, in the determination of a reasonable, common-fund fee award, the awarding of  
6 attorneys’ fees serves as an economic incentive for counsel to bring class-action litigation to achieve  
7 increased access to the judicial system for meritorious claims and to enhance deterrents to wrongdoing.  
8 When this case was originally filed, the prospect of a long, drawn-out battle with Defendant was almost  
9 a certainty, and that proved to be a reality. Effective prosecution and ultimate settlement of this case  
10 took creativity, as well as tenacity on such mundane tasks as researching case law and analyzing  
11 documents. Accordingly, this case provided remedies to the Settlement Class Members that otherwise  
12 would have been at public expense, or the employees would never have received payment of the  
13 compensation to which they are entitled.

14 Since 1977, California has followed the policy of awarding attorney’s fees in cases involving  
15 matters of public interest. Serrano v. Priest, 20 Cal. 3d 25 (1977) (“Serrano III”). In doing so, it has,  
16 from the beginning, adopted a “lodestar” cross check approach. Serrano III at 48 n. 23. The efforts of  
17 counsel, resulting in payments to Plaintiff and those Class members who participated, vindicated a  
18 fundamental public policy of the Labor Code of the State of California. The lodestar figure is arrived at  
19 by compiling the professional time reasonably spent and the applicable hourly rate for each attorney.  
20 Serrano III, 20 Cal. 3d at 48; Ramos v. Countrywide Loans, 82 Cal. App. 4th 615, 622–23 (2000). The  
21 hourly rates to be utilized in establishing the lodestar figure are market rates. The market-rate principle  
22 applies both to attorney’s fees and to services such as paralegal fees. That the hourly rate to be used in  
23 calculating the lodestar is not the actual cost of the services but is instead the market rate was re-  
24 affirmed by the California Supreme Court in PLCM, in which the Court rejected a contention that,  
25 because the services were rendered by in-house counsel, the rate should be based upon the cost to the  
26 client. PLCM Grp. v. Drexler, 22 Cal. 4th 1084, 1096 (2000), as modified, (June 2, 2000).

27 Although a trial judge is deemed to possess unique insight into the value of services rendered in  
28 his or her courtroom, this may properly be supplemented by reference to expert testimony, especially as

1 to the value of services rendered before other judges and in other courtrooms. Mandel v. Lackner, 92  
2 Cal. App. 3d 747, 762 (1979). The relevant community is that in which the court sits, in this case San  
3 Francisco County. The rates used by Class Counsel are within the range of rates recently approved for  
4 class actions. See, e.g., Pierce v. County of Los Angeles, 2012 U.S. Dist. LEXIS 150492, at \*42–52 &  
5 n.16 (C.D. Cal. Mar. 2, 2012) (approving rates of up to \$850); In re HP Laser Printer Litig., 2011 U.S.  
6 Dist. LEXIS 98759, at \*14–19 (C.D. Cal. Aug. 31, 2011) (approving rates of up to \$800); Multi-Ethnic  
7 Immigrant Workers Org. Network v. City of Los Angeles, 2009 U.S. Dist. LEXIS 132269, at \*15–16  
8 (C.D. Cal. June 24, 2009) (approving rates of up to \$800)<sup>12</sup>. Moreover, Class Counsel’s rates have been  
9 approved in connection with other class-wide settlements. (Harris Decl. ¶ 12.) This establishes their  
10 reasonableness. See Rutti, 2012 U.S. Dist. LEXIS 107677, at \*30–31 (explaining that “[a]ffidavits of  
11 the Plaintiff’s attorney and other attorneys regarding prevailing fees in the community, and rate  
12 determination in other cases, particularly those setting a rate for the Plaintiff’s attorney, are satisfactory  
13 evidence of the prevailing market rate”).

14 **2. The Total Hours Expended Were Reasonably Required.**

15 Class Counsel spent a reasonable number of hours for the work required in this matter, and all  
16 hours should be considered in computing the lodestar award. Plaintiff is seeking minimal fees for pre-  
17 filing services. (Harris Decl. ¶ 13.) Professional time reasonably and necessarily expended in securing  
18 an award of attorney’s fees is subject to reimbursement. Serrano IV, 32 Cal. 3d 621, 624 (1982).

19 **3. The Total Lodestar Dollar Amount Is Reasonable.**

20 Again, despite Defendant calling on three different, highly experienced defense firms, Plaintiff  
21 achieved an outstanding result, which will result in payments to participating Settlement Class Members  
22 on account of alleged Labor Code violations. The total hours and expenses incurred is reasonable for a  
23 case of this nature. The total lodestar in this case is \$1,611,061.50 for approximately 2,180 hours of  
24 work through completion of this case. Harris Decl. ¶¶ 15-17. Here, the amount requested is only  
25 approximately one-third of the total lodestar. Harris Decl. ¶¶ 12. This amount is reasonable considering  
26 the extraordinary result obtained for the Class Members.

27 <sup>12</sup> See also Astorga v Snap-On, No. BC506474, 2018 WL 2198826, at \*1 (Cal.Super. Jan. 24,  
28 2018)(noting partner received \$1,200 per hour in class action); Orian v. Fed’n Int’l des Droits de  
L’Homme, 2012 WL 994643, at \*2-3 (C.D. Cal. Mar. 22, 2012) (approving \$900 per hour).

1 Risk multipliers are available under California law. Compare City of Burlington v. Dague, 505  
2 U.S. 557 (1992) with Ketchum v. Moses, 24 Cal. 4th 1122 (2001). Contingent risk multipliers can also  
3 be awarded under California law. See Ketchum v. Moses, 24 Cal. 4th 1122, 1136-37 (2001); Greene v.  
4 Dillingham Construction N.A., Inc., 101 Cal. App. 4th 418, 426-29 (2002); Weeks v. Baker &  
5 McKenzie, 63 Cal. App. 4th 1128, 1169-77 (1998); Flannery v. California Highway Patrol, 61 Cal. App.  
6 4th 629 (1998); see also Mangold v. Cal. Pub. Util. Comm'n, 67 F.3d 1470, 1478 (9th Cir. 1995). “The  
7 contingency adjustment may be made at the lodestar phase of the court’s calculation or by applying a  
8 multiplier to the non-contingency lodestar calculation (but not both).” Horsford v. Board of Trustees of  
9 California State University, 132 Cal. App. 4th 359, 395 (2005). The size of the monetary recovery by  
10 itself does not limit the amount of fees. City of Riverside v. Rivera, 477 U.S. 561 (1986) (\$33,350  
11 damages award; \$245,456 fees award). Enhancement is available for exceptional quality of  
12 representation and results. Pennsylvania v. Delaware Valley Citizens’ Council, 478 U.S. 546 (1986);  
13 Wing v. Asarco, Inc., 114 F.3d 986, 989 (9th Cir. 1997) (upholding 2.0 multiplier awarded for quality of  
14 representation and exceptional results). Notwithstanding the fact that multipliers are regularly awarded  
15 in class action cases, Class Counsel is not requesting any multiplier in this case, and in fact, requests  
16 approximately one-third of its total lodestar.

17 **4. The Award Should Include All Reasonably Incurred Costs & Expenses.**

18 The cases cited in the preceding sections of this Memorandum universally approve awards that  
19 include reimbursement of all costs and expenses reasonably incurred by counsel in the litigation. These  
20 are not limited to the costs recoverable under sections 1032 and 1033.5 of the Code of Civil Procedure.  
21 In other words, those costs and expenses that would properly be included in a memorandum of costs and  
22 disbursements. Bussey v. Affleck, 225 Cal. App. 3d 1162, 1166 (1990). The Harris Declaration filed  
23 and served herewith sets out such expenses in detail. Harris Decl., Ex. 1. The costs and expenses that  
24 are set forth in the Harris Declaration should be included in the award. The request for reimbursement  
25 of **\$25,000** in costs is reasonable considering the results obtained by the class.

26 **5. The Court Should Award Plaintiff A \$2,500 Enhancement Award**

27 The Settlement provides for a payment in the amount of up to \$2,500 to Plaintiff on account of  
28 the service she has rendered to the Settlement Class in bringing this litigation, the time that she has



1 devoted, as well as the broad release made. “Courts routinely approve incentive awards to compensate  
2 named plaintiffs for the services they provided and the risks they incurred during the course of the class  
3 action litigation.” In re S. Ohio Corr. Facility, 175 F.R.D. 270, 272 (S.D. Ohio 1997); St. Marie v.  
4 Eastern R.R. Ass’n., 72 F.R.D. 443, 449 (S.D.N.Y. 1976) (“The risks entailed in suing one’s employer  
5 are such that the few hardy souls who come forward should be permitted to speak for others when the  
6 vocal ones are otherwise fully qualified”). Courts should consider “the risk to the class representative in  
7 commencing suit, both financial and otherwise,” as well as “the amount of time and effort spent by the  
8 class representative” and “the personal benefit (or lack thereof) enjoyed by the class as a result of the  
9 litigation.” Clark v. Am. Residential Servs. LLC, 175 Cal.App.4th 785, 804 (2009).

10 Here, all of the factors support approving the award. First, as a direct result of Plaintiff having  
11 brought this suit, participating Settlement Class Members will receive substantial payments. Second,  
12 and as set forth in the contemporaneously filed declaration, Plaintiff has expended considerable time  
13 conferring with Class Counsel, providing factual background and support, analyzing and provided data,  
14 and consulting with Counsel during settlement discussions. Third, Plaintiff “undertook the financial risk  
15 that, in the event of a judgment in favor of [MTI] in this action, [the named Plaintiff] could have been  
16 personally responsible for any costs awarded in favor of [MTI].” Vasquez v. Coast Valley Roofing,  
17 Inc., 266 F.R.D. 482, 491 (E.D. Cal. 2010). Plaintiff sought out and obtained the services of counsel,  
18 participated in discovery, had her deposition taken and assisted throughout the negotiation of the  
19 settlement and bringing the case to closure. In doing so, she has successfully maintained claims that  
20 may have never been brought had she not step forward to file this Action.

## 21 **V. CONCLUSION**

22 The Parties respectfully request that this Court enter judgment:

- 23 1. Granting final approval of the Settlement;
- 24 2. Finally approving the adequacy of the Class Notice and the procedures that provided  
25 notice to the Settlement Class and their opportunity to opt out;
- 26 3. Finally certifying the Settlement Class for settlement purposes only;
- 27 4. Finally appointing Plaintiff as the Class Representative;
- 28 5. Finally appointing Plaintiff’s counsel as Class Counsel;

6. Determining the reasonableness of the enhancement fee (\$2,500) for Plaintiff;
7. Determining the reasonableness of the request for attorneys' fees (\$583,275) and reimbursement of costs (\$25,000) incurred by Class Counsel.

Dated: January 10, 2021

HARRIS & RUBLE

By: /s/ Alan Harris  
Alan Harris  
David Garrett  
Attorneys for Plaintiff

**PROOF OF SERVICE**

I am an attorney for Plaintiff(s) herein, over the age of eighteen years, and not a party to the within action. My business address is 655 N. Central Ave., 17<sup>th</sup> Floor, Glendale, CA 91203. On January 22, 2021, I served the within document(s):

**NOTICE OF MOTION AND MOTION FOR FINAL APPROVAL**

Facsimile: I caused such envelope to be uploaded electronically via e-mail (File & Serve) to:

angela.agrusa@us.dlapiper.com  
levi.heath@us.dlapiper.com  
Steve.hernandez@dlapiper.com

Electronic Service: Based on a court order, I cause the above-entitled document(s) to be served through Case Anywhere addressed to all parties appearing on the electronic service list for the above-entitled case and on the interested parties in this case:

Angela C. Agrusa  
Levi W. Heath  
Steve L. Hernández  
DLA PIPER LLP (US)  
2000 Avenue of the Stars  
Suite 400 North Tower  
Los Angeles, California 90067-4704

MESSNER REEVES LLP  
Charles C. Cavanagh  
1430 Wynkoop Street, Suite 300  
Denver, Colorado 80202

I declare under penalty of perjury that the above is true and correct. Executed on January 22, 2021, at Los Angeles, California.

  
\_\_\_\_\_  
David Garrett