SHEPPARD, MULLIN, RICHTER & HAMPTON LLP I A Limited Liability Partnership **Including Professional Corporations** PAUL S. COWIE, Cal. Bar No. 250131 3 MORGAN P. FORSEY, Cal. Bar No. 241207 JOHN ELLIS, Cal. Bar No. 269221 PATRICIA M. JENG, Cal. Bar No. 272262 379 Lytton Avenue Palo Álto, California 94301-1479 650.815.2600 Telephone: 650.815.2601 Facsimile: 6 pcowie@sheppardmullin.com E mail mforsey@sheppardmullin.com 7 JEllis@sheppardmullin.com PJeng@sheppardmullin.com 8

FILED ALAMEDA COUNTY

MAY 21 2020

CLERK OF THE SUPERIOR COURT

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27 **28** SUPERIOR COURT OF THE STATE OF CALIFORNIA

COUNTY OF ALAMEDA

DANA CURLEY and WILLIAM O'BRIEN, as individuals and on behalf of all others similarly situated,

Plaintiffs,

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Attorneys for Defendant

SAVE MART SUPERMARKETS

SAVE MART SUPERMARKETS and DOES 1 through 50 inclusive,

Defendants.

Case No. RG13685740

ASSIGNED FOR ALL PURPOSES TO JUDGE STEPHEN KAUS DEPT. 19

STIPULATION AND PROPOSEDI ORDER CLARIFYING ORDER GRANTING PRELIMINARY APPROVAL

Complaint Filed: Trial Date:

June 28, 2013 June 12, 2019

STIPULATION 1 2 Plaintiffs DANA CURLEY and WILLIAM O'BRIEN ("Plaintiffs") and Defendant SAVE MART SUPERMARKETS ("Defendant") (collectively, the "Parties") through their counsel of 3 record, hereby stipulate as follows: 4 5 WHEREAS, due to the California Stay At Home Order and the Alameda County Shelter In 6 Place Order and the resulting impact on Court operations, Plaintiffs were unable to file and have their Motion for Preliminary Approval ("Motion") of the settlement heard by a regularly noticed 9 motion; 10 WHEREAS, Plaintiffs consulted with the Court regarding options for having the Motion 11 decided during this period of limited Court operations and it was determined that the Motion could 12 be decided on May 8, 2020 on an ex parte basis subject to a stipulation by the Parties; 13 14 WHEREAS, the Parties negotiated and agreed to the terms of a stipulated ex parte 15 application for approval of the settlement of this matter (the "Stipulation"); 17 WHEREAS, a material term of the Stipulation is that the date of preliminary approval be 18 19 May 8, 2020; 20 WHEREAS, on April 24, 2020, Plaintiffs submitted the Stipulation and Proposed Order to 21 the Court with the understanding that the date of preliminary approval would be May 8, 2020; 22 23 WHEREAS, on April 24, 2020, the Court signed an Order Granting Preliminary Approval 24 (the "Order") approving the settlement; 25 26 WHEREAS, the Order was entered on April 27, 2020; 27 28

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WHEREAS, the Notice of Entry of the Order was served on May 8, 2020;

WHEREAS, the Order expressly states that the Court preliminarily approved the settlement pursuant to the terms of the Stipulation and as such, the Parties understand that the date of preliminary approval is the stipulated date of May 8, 2020;

WHEREAS, despite approving the settlement pursuant to the terms of the Stipulation, the Order does not expressly state that May 8, 2020 is the date of preliminary approval;

WHEREAS, because the Order was signed on April 24, 2020, entered on April 27, 2020 but the stipulated date of preliminary approval (May 8, 2020) is not stated in the Order, this could create confusion in future proceedings regarding the scope of the release, res judicata, or other decisions made by future courts that are based on the date of preliminary approval; and

WHEREAS, the Parties believe that clarifying the Order to expressly state that the date of preliminary approval is May 8, 2020, will prevent confusion, conflict and avoid unnecessary disputes should it become necessary to interpret the date of preliminary approval and/or the temporal scope of this settlement and the release therein.

IN LIGHT OF THE FORGOING, THE PARTIES STIPULATE AS FOLLOWS:

The Order Granting Preliminary Approval be revised to add the following statement in Paragraph 1:

The date of preliminary approval is May 8, 2020.

The Order will otherwise remain unchanged.

IT IS SO STIPULATED.

SMRH:4839-0396-2300:1

STIPULATION AND [PROPOSED] ORDER RE PRELIMINARY APPROVAL

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Pursuant to the stipulation of the parties, and good cause showing, the Court Orders as follows:

The following Order supersedes the Order Granting Preliminary Approval signed on April 24, 2020 and entered on April 27, 2020.

Dana Curley's and William O'Brien's ("Plaintiffs") Motion for Preliminary Approval of Stipulated Class Action Settlement ("Motion") came before this Court on the parties' Ex Parte Stipulation without hearing. The Court understands that the Stipulation and Settlement Agreement ("Stipulation"), together with other documents incorporated into the Stipulation, sets forth the terms and conditions for a proposed settlement and dismissal of the Action with prejudice (the "Settlement"). The Court having considered the terms of the Settlement set forth in the Stipulation, the papers submitted in support of the Motion, and good cause appearing therefor:

IT IS HEREBY ORDERED:

- 1. The Court grants preliminary approval of the Settlement based upon the terms set forth in the Stipulation. The date of preliminary approval is May 8, 2020. The case preliminarily settled for \$5,000,000. The estimated size of the class is 441. The Settlement Agreement states that attorneys' fees will be up to 40% of the settlement amount, or \$2,000,000, plus costs of suit in an amount not to exceed \$350,000. The Settlement Agreement calls for \$20,000 class service awards to each of Plaintiffs and administration costs estimated to be \$8,000. Thus, after expenses of approximately \$2,398,000, the class would receive approximately \$2,602,000. The average payout to class members would be approximately \$5,900.00.
- 2. This Order incorporates by reference the definitions in the Stipulation, and all terms defined therein shall have the same meaning in this Order as set forth in the Stipulation.
- 3. It appears to the Court on a preliminary basis that the Settlement is fair, adequate and reasonable. Settlement negotiations involved, inter alia, one day of mediation with mediator

Anthony Piazza, which resulted in a settlement. The court gives "considerable weight to the competency and integrity of counsel and the involvement of a neutral mediator in [concluding] that [the] settlement agreement represents an arm's length transaction entered without self-dealing or other potential misconduct." (Kullar v. Foot Locker Retail, Inc. (2008) 168 Cal.App.4th 116, 129.) (See also In re Sutter Health Uninsured Pricing Cases (2009) 171 Cal.App.4th 495, 504.) Additionally, Plaintiffs' papers make an adequate analysis required by Kullar v. Foot Locker Retail, Inc. (2008) 168 Cal.App.4th 116, because they provide a reasonable estimate of the number of Class members, the total estimated possible recovery and some explanation why the settlement was reasonable in light thereof. (See Moving Grover Dec. at 13-17, 22, 25-27. [sic]

4. The Court hereby conditionally certifies the following Settlement Class as defined in the Stipulation for settlement proposes only:

All persons who, at any time during the period of June 28, 2009 until the date of Preliminary Approval of the settlement, are or were employed as exempt Assistant Store Managers, Assistant Store Manager -- Customer Experience, Assistant Store Manager -- Customer Solutions, or Grocery Managers at any of Save Mart's corporately owned grocery stores located in the State of California including, without limitation Save Mart, S-Mart Foods, Lucky, FoodMaxx or Value Maxx who (a) did not previously exclude themselves from this action by submitting a valid Exclusion Request following the Court Approved Notice of Class Action on July 27, 2017 or (b) do not timely submit a valid Request for Exclusion from this Settlement.

- 5. Class Members shall have the opportunity to request exclusion from the Settlement.
- 6. The Court hereby designates and appoints Plaintiffs Dana Curley and William O'Brien to represent the Settlement Class also referred to as "Class Members."
- 7. The Court hereby designates and appoints Righetti Glugoski P.C. and Jones Law Firm LLC as Class Counsel for the Settlement Class. Class Counsel are authorized to act on behalf of the Class Members with respect to all acts or consents required by, or which may be given pursuant to, the Settlement, and such other acts reasonably necessary to effectuate the terms of the Stipulation.

- 8. Class Members may enter an appearance through counsel of such individual's own choosing and at such individual's own expense. Any Class Member who does not enter an appearance or appear on his or her own with or without individual counsel will be represented by Class Counsel.
- 9. The Court hereby preliminarily approves the definition and disposition of the Class Settlement Amount and related matters provided for in the Stipulation. The proposed class notice form and procedure, as revised, are acceptable to the Court.
- approval hearing. The Court cannot award attorneys' fees without reviewing information about counsel's hourly rate and the amount of time Plaintiffs' legal counsel spent on the case. This is the law even if the parties have agreed that Defendants will not oppose the motion for fees. (Robbins v. Alibrandi (2005) 127 Cal. App. 4th 438, 450-451.) The court notes that counsel seeks fees of \$2,000,000, which is 40.00% of the total fund. The court sets out its standard analysis below. Counsel may address that analysis in the fee application. When using the percentage of recovery approach, the court's benchmark for fees is 30% of a total fund. (Laffitte v. Robert Half Internat. Inc. (2016) 1 Cal.5th 480, 495; Schulz v. Jeppesen Sanderson, Inc. (2018) 27 Cal.App.5th 1167, 1175; Consumer Privacy Cases (2009) 175 Cal.App.4th 545, 557 fn 13; Chavez v. Netflix, Inc. (2008) 162 Cal.App.4th 43, 66 fn 11.) When cross-checking with the lodestar/multiplier, the court will evaluate the lodestar based on reasonable fees that would have been charged at hourly rates and then apply a multiplier. The multiplier includes contingent fee risk and other factors.
- 11. The Court will not decide the amount of any service award until final approval hearing. Each of Plaintiff must submit evidence regarding the nature of his or her participation in the action, including a description of his or her specific actions and the amount of time he or she

committed to the prosecution of the case. (Clark v. American Residential Services LLC (2009) 175 Cal.App.4th 785, 804-807.)

- 12. The Court ORDERS that 10% of any fee award to be kept in the administrator's trust fund until the completion of the distribution process and Court approval of a final accounting.
- 13. The Court will set a compliance hearing after the completion of the distribution process and the expiration of the time to cash checks for counsel for plaintiff and the Administrator to comply with CCP 384 and to submit a summary accounting how the funds have been distributed to the class members and the status of any unresolved issues. If the distribution is completed, the Court will at that time release any hold-back of attorney fees.
- October 26, 2020 at 3:00 p.m.in Dept. 19 of the Alameda County Superior Court, located at 1221 Oak Street, 3rd Floor, Oakland, California, 04612, before the Honorable Stephen Kaus, to determine all necessary matters concerning the Settlement, including: whether the proposed settlement of the Action on the terms and conditions provided for in the Stipulation is fair, adequate and reasonable and should be finally approved by the Court; whether the plan of allocation contained in the Stipulation should be approved as fair, adequate and reasonable to the Class Members; and to finally approve Class Counsel's requested Attorneys' Fees and Costs, Plaintiffs' Class Representative Enhancement Payments, and the Claims Administration Costs.
- 15. The Court hereby appoints Phoenix Settlement Administrators as Claims Administrator and hereby directs the Claims Administrator to mail or cause to be mailed to Class Members the Notice by first class mail within five (5) days of receipt of the Class List from Defendant Save Mart using the procedures set forth in the Stipulation.
- Any Class Member may choose to be excluded from the Settlement as provided in the Notice by following the instructions for requesting exclusion from the Settlement that are set

forth in the Notice. Any such person who chooses to be excluded from the Settlement will not be entitled to any recovery under the Settlement and will not be bound by the Release of Claims or any other provision of the Settlement or have any right to object, appeal or comment thereon. Any written request for exclusion must be signed by each such person opting out. Class Members who have not requested exclusion shall be bound by all determinations of this Court, by the Settlement, and by the Final Judgment.

- Any Class Member may appear at the Final Approval/Settlement Fairness Hearing and may object to or express their views regarding the Settlement. However, absent good cause found by the Court, no papers or briefs submitted by a Class Member or any other person shall be considered by the Court, unless on or before forty-five (45) days after the mailing of the Notice that person has served by hand or by first class mail written objections and copies of any papers and briefs in support of their position and verification of their membership in the Class upon: (1) Class Counsel via Charles A. Jones, Jones Law Firm LLC, 9585 Prototype Court, Suite B, Reno, NV 89521; and (2) Paul Cowie of Sheppard Mullin, Four Embarcadero Center, 17th Floor, San Francisco California 94111, and also filed the objections, papers and briefs with the Clerk of this Court at least fourteen (14) days before the Final Approval Hearing.
- All papers in support of the Settlement, including Plaintiffs' application for an award of Attorney's Fees and Costs and Class Representative Enhancement Payments to Plaintiffs, shall be filed with the Court and served no later than sixteen (16) court days before the Final Approval/Settlement Fairness Hearing.
- Pending final determination as to whether the Settlement should be approved, Class

 Members shall not, directly, representatively, or in any other capacity, institute or prosecute against
 the Released Parties any claims released in the Settlement.

- 20. The Settlement is not a concession or admission, and shall not be used against Save Mart or any of the Released Parties as an admission or indication with respect to any claim of any fault or omission by Save Mart or any of the Released Parties.
- 21. This Order shall continue and affirm a stay in the Action, including a stay on all dates and deadlines associated with the Action, other than those pertaining to the administration of the Settlement of the Action.
- 22. If the Settlement does not become effective in accordance with the terms of the Stipulation, or the Settlement is not finally approved, or is terminated, canceled or fails to become effective for any reason, this Order shall be rendered null and void and shall be vacated, and the Parties shall revert to their respective positions as of before entering into the Stipulation.
- 23. The Court reserves the right to adjourn or continue the date of the Final Approval/Settlement Fairness Hearing and all dates provided for in the Stipulation without further notice to Class Members, and retains jurisdiction to consider all further applications arising out of or connected with the proposed Settlement.
- As a condition for this Order to be entered on an Ex Parte basis, in light of the court's current Covid-19 related closure, the parties waive service of this Order by the court. A copy of this Order, when scanned by the Court, will be available on the Court's Domain website. The Court, instead, ORDERS Plaintiffs to serve a Notice of Entry of this Order on all interested parties, including without limitation the Claims Administrator, and to file an appropriate proof of service with the court.

IT IS SO ORDERED.

Dated: 5-20-2020

HONORABLE STEPHEN KAUS

ALAMEDA COUNTY SUPERIOR COURT

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SMRH:4839-0396-2300:1

SUPERIOR COURT OF CALIFORNIA COUNTY OF ALAMEDA

Case Number: RG13685740

Case name: CURLEY v. SAVE MART SUPERMARKETS

DECLARATION OF SERVICE BY MAIL

I certify that I am not a party to this cause and that a true and correct copy **Granted Stipulation and Order Clarifying Order Granting Preliminary Approval** filed on May 21, 2020 was mailed first class, postage prepaid, in a sealed envelope, addressed as shown on the foregoing document or on the attached, and that the mailing of the foregoing and execution of this certificate occurred at 1221 Oak Street, Oakland, California.

I declare under penalty of perjury that the foregoing is true and correct. Executed on May 22, 2020.

Chad Finke, Executive Officer/Clerk of the Superior Court

Bv.

Angelica Mendola Deputy Clerk

Matthew Righetti Righetti Glugoski, P.C. 456 Montgomery Street, Ste #400 San Francisco, CA 94104

Paul Cowie Sheppard, Mullin, Richter & Hampton, LLC 379 Lytton Avenue Palo Alto, CA 94301